

Problem set 9.

Problem Sommerfeld expansion

(1.a) $f_{\beta}(z) = \frac{1}{e^{\beta z} + 1}$

$\frac{\partial}{\partial z} f_{\beta}(z) = -\frac{\beta e^{\beta z}}{(e^{\beta z} + 1)^2} = f_{\beta}^{(1)}(z); f_{\beta}^{(1)}(z) = -\frac{\beta e^{-\beta z}}{(e^{-\beta z} + 1)^2} = \frac{-\beta e^{-\beta z} \cdot e^{2\beta z}}{(e^{-\beta z} + 1)^2 \cdot e^{2\beta z}} = \frac{-\beta e^{\beta z}}{(e^{\beta z} + 1)^2} = f_{\beta}^{(1)}(z)$

(1.b) Define $G_w(\epsilon) \equiv \int_{-\infty}^{\epsilon} dz H_w(z), \frac{\partial}{\partial \epsilon} G_w(\epsilon) = H_w(\epsilon).$

$w = \int_{-\infty}^{\infty} d\epsilon H_w(\epsilon) f_{\beta}(\epsilon - \mu)$

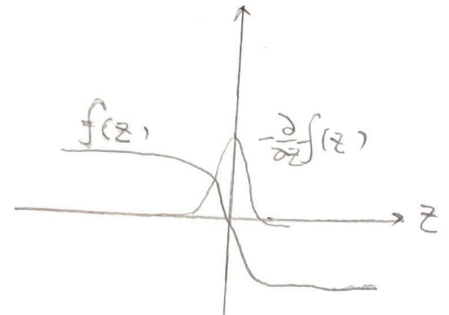
Integrate by part

$w = G_w(\epsilon) f_{\beta}(\epsilon - \mu) \Big|_{-\infty}^{+\infty} - \int_{-\infty}^{+\infty} d\epsilon \cdot G_w(\epsilon) \cdot \frac{\partial}{\partial \epsilon} f_{\beta}(\epsilon - \mu)$

The first term vanishes when $\epsilon \rightarrow \pm\infty$

$-w = \int_{-\infty}^{+\infty} d\epsilon G_w(\epsilon) \left(-\frac{\partial}{\partial \epsilon} f_{\beta}(\epsilon - \mu)\right)$

$-f_{\beta}^{(1)}(z)$ decay rapidly away from 0, should be good approximation to evaluate near $\epsilon = \mu$



(1.c) expand $G_w(\epsilon)$ around μ

$G_w(\epsilon) = G_w(\mu) + G_w^{(1)}(\mu)(\epsilon - \mu) + \frac{1}{2} G_w^{(2)}(\mu)(\epsilon - \mu)^2 + \dots + \frac{1}{k!} G_w^{(k)}(\mu)(\epsilon - \mu)^k.$

Insert into the integral gives

$w = \sum_k \frac{1}{k!} \int_{-\infty}^{+\infty} d\epsilon G_w^{(k)}(\mu) (\epsilon - \mu)^k \cdot \left(-\frac{\partial f_{\beta}}{\partial \epsilon}\right) = \sum_k \frac{1}{k!} G_w^{(k)}(\mu) \int_{-\infty}^{+\infty} d\epsilon \left(-\frac{\partial f_{\beta}(\epsilon - \mu)}{\partial \epsilon}\right) (\epsilon - \mu)^k$

When k is an odd number, the integral vanishes

$w = \sum_k \frac{1}{(2k)!} G_w^{(2k)}(\mu) \int_{-\infty}^{+\infty} d\epsilon \frac{\beta e^{\beta(\epsilon - \mu)}}{(e^{\beta(\epsilon - \mu)} + 1)^2} (\epsilon - \mu)^{2k}$

let $\beta(\epsilon - \mu) = z,$

$-w = \sum_k \frac{1}{(2k)!} G_w^{(2k)}(\mu) (kBT)^{2k} \int_{-\infty}^{+\infty} dz z^{2k} \frac{e^z}{(e^z + 1)^2}$

$$\begin{aligned} I &= \int_{-\infty}^{\infty} dz z^{2k} \frac{e^z}{(e^z + 1)^2} \\ &= 2 \int_0^{\infty} dz z^{2k} \frac{e^z}{(e^z + 1)^2} \\ &= 2 \int_0^{\infty} dz z^{2k} \left(-\frac{\partial f}{\partial z}\right) \quad f = \frac{1}{e^z + 1} \\ &= 2 \left(-z^{2k} \cdot f(z)\right) \Big|_0^{\infty} + 2k \int_0^{\infty} dz z^{2k-1} f(z) \\ &= 4k \int_0^{\infty} dz z^{2k-1} \frac{1}{e^z + 1} \end{aligned}$$

Alternative derivation

$$\omega = I = \int_{-\infty}^{\infty} d\varepsilon H(\varepsilon) f_{\beta}(\varepsilon)$$

Let $\tau x = \varepsilon - \mu$, where $\tau = \beta^{-1}$

$$\omega = I = \int_{-\infty}^{\infty} \tau dx \cdot H(\tau x + \mu) \frac{1}{e^x + 1} \quad \text{using property of } \frac{1}{e^{-x} + 1} = \frac{e^x}{1 + e^x} = 1 - \frac{1}{e^x + 1}$$

$$= \int_{-\infty}^0 \tau dx H(\tau x + \mu) \frac{1}{e^x + 1} + \int_0^{\infty} \tau dx H(\tau x + \mu) \frac{1}{e^x + 1}$$

$$I_1 = \int_{-\infty}^0 \tau dx H(\tau x + \mu) \left(1 - \frac{1}{e^x + 1}\right) = \int_{-\infty}^0 \tau dx H(\tau x + \mu) - \int_{-\infty}^0 \tau dx H(\tau x + \mu) \frac{1}{e^x + 1}$$

$$\stackrel{x \rightarrow -x}{=} \int_{-\infty}^0 \tau dx H(\tau x + \mu) - \int_0^{+\infty} \tau dx H(-\tau x + \mu) \frac{1}{e^x + 1}$$

$$\omega = I_1 + I_2 = \int_{-\infty}^0 \tau dx H(\tau x + \mu) + \int_0^{+\infty} \tau dx \frac{1}{e^x + 1} [H(\mu + \tau x) - H(\mu - \tau x)]$$

Approximate $H(\mu + \tau x) - H(\mu - \tau x) \approx 2\tau x H'(\mu)$

$$\omega = \int_{-\infty}^0 \tau dx H(\tau x + \mu) + 2\tau^2 \int_0^{+\infty} \frac{x dx}{e^x + 1} \cdot H'(\mu)$$

Knowing $\int_0^{+\infty} \frac{x dx}{e^x + 1} = \frac{\pi^2}{12}$

$$\omega = \int_{-\infty}^{\mu} d\varepsilon \frac{H(\varepsilon)}{e^{\beta(\varepsilon - \mu)} + 1} + \frac{\pi^2}{6} (k_B T)^2 H'(\mu)$$

The "knowing"

Remind $\int_0^{+\infty} \frac{x dx}{e^x - 1} = \zeta(2) \cdot \Gamma(2)$ (In Debye model)

Let $\lambda = e^{-x}$, $\frac{1}{e^x - 1} = \frac{\lambda}{1 - \lambda} = \sum_{k=1}^{\infty} e^{-kx}$

$$\int_0^{+\infty} \frac{x dx}{e^x - 1} = \sum_{k=1}^{\infty} \int_0^{+\infty} dx x e^{-kx} = \sum_{k=1}^{\infty} \frac{1}{k^2} \int_0^{+\infty} dz z \cdot z \cdot e^{-z} = \sum_{k=1}^{\infty} \frac{1}{k^2} \int_0^{+\infty} z^2 e^{-z} dz = \zeta(2) \Gamma(2) = \frac{\pi^2}{6}$$

$1 + \frac{1}{2^2} + \frac{1}{3^2} + \dots$

Now for $\int_0^{+\infty} \frac{x dx}{e^x + 1}$, $\frac{1}{e^x + 1} = \frac{\lambda}{1 + \lambda} = (-1) \sum_{k=1}^{\infty} (-1)^k e^{-kx}$

Similarly, $\int_0^{+\infty} \frac{x dx}{e^x + 1} = \sum_{k=1}^{\infty} \int_0^{+\infty} (-1)^{k+1} dx x \cdot e^{-kx} = \sum_{k=1}^{\infty} (-1)^{k+1} \frac{1}{k^2} \Gamma(2)$

$$\sum_{k=1}^{\infty} (-1)^{k+1} \frac{1}{k^2} = 1 - \frac{1}{2^2} + \frac{1}{3^2} - \frac{1}{4^2} + \dots = 1 + \frac{1}{2^2} + \frac{1}{3^2} + \frac{1}{4^2} + \dots$$

$$= \sum_{k=1}^{\infty} \frac{1}{k^2} - 2 \sum_{k=1}^{\infty} \frac{1}{(2k)^2} = \frac{\pi^2}{6} - \frac{1}{2} \cdot \frac{\pi^2}{6} = \frac{\pi^2}{12}$$

(1/2) $\zeta(2)$

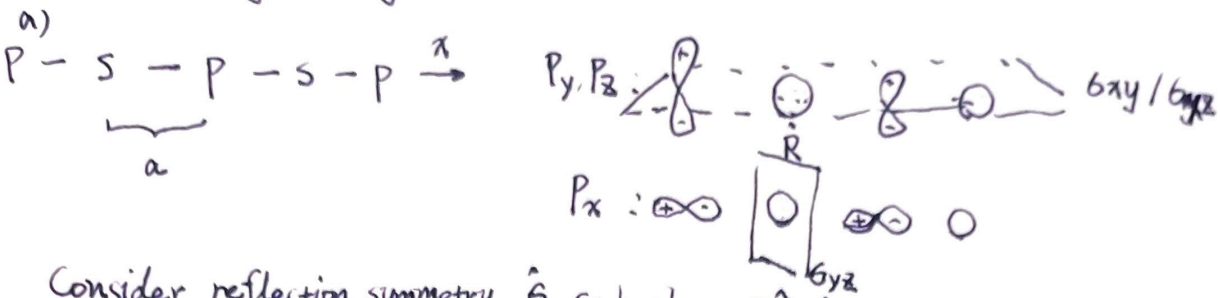
converge series

Similarly, one can get

$$\int_0^{+\infty} \frac{x^{s-1} dx}{e^x + 1} = \left\{ \sum_{k=1}^{\infty} \frac{1}{k^s} - 2 \sum_{k=1}^{\infty} \frac{1}{(2k)^s} \right\} \Gamma(s)$$

$$= \left\{ 1 - \frac{1}{2^s} \right\} \zeta(s) \Gamma(s)$$

Problem 2. tight-binding with s,p



Consider reflection symmetry $\hat{\sigma}$ such that $[\hat{h}, \hat{\sigma}] = 0, \hat{\sigma}^2 = 1$

Suppose $\hat{\sigma}|s\rangle = |s\rangle, \hat{\sigma}|p\rangle = -|p\rangle$, transfer integral J

$$J = \langle p | \hat{h} | s \rangle = \langle p | \hat{h} \hat{\sigma} | s \rangle = \langle p | \hat{\sigma} \hat{h} | s \rangle \stackrel{\hat{\sigma}^\dagger = \hat{\sigma} = 1}{=} -\langle p | \hat{h} | s \rangle = 0$$

Therefore J_y, J_z vanishes since we can find $\hat{\sigma}_{xy}|p_z\rangle = -|p_z\rangle, \hat{\sigma}_{xz}|p_y\rangle = -|p_y\rangle$

For $\hat{\sigma}_z$, it still satisfies $[\hat{h}, \hat{\sigma}_z] = 0$

$$\hat{\sigma}_z(R)|s(R)\rangle = |s(R)\rangle, \hat{\sigma}_z(R)|p(R+a)\rangle = -|p(R-a)\rangle$$

$$J_x^R = \langle p(R+a) | \hat{h} | s(R) \rangle = \langle p(R+a) | \hat{h} \hat{\sigma}_z | s(R) \rangle = + \langle p(R+a) | \hat{\sigma}_z \hat{h} | s(R) \rangle \\ = - \langle p(R-a) | \hat{h} | s(R) \rangle = -J_x^L$$

b)

$$\hat{H} = \sum_R J [c_s^\dagger(R) \cdot c_{p_x}(R+a) + \text{h.c.}] - J [c_s^\dagger(R) \cdot c_{p_x}(R-a) + \text{h.c.}] + \sum_R \epsilon_s \hat{n}_s(R) + \sum_R \epsilon_p [\hat{n}_{p_x}(R+a) + \hat{n}_{p_y}(R+a) + \hat{n}_{p_z}(R+a)]$$

$$\hat{c}_s(R) = \frac{1}{\sqrt{N}} \sum_k e^{ikR} c_s(k), \hat{c}_p(R+a) = \frac{1}{\sqrt{N}} \sum_k e^{i(kR+a)} \hat{c}_p(R+a)$$

Knowing that ~~homogeneous~~ ^{on-site} $\epsilon(R)$ will not have k dependency

$$\sum_R \epsilon \hat{n}(R) = \sum_k \epsilon \hat{n}(k)$$

$$\text{hopping terms} = \sum_R \sum_{kk'} \frac{J}{N} [e^{-ikR} e^{+ik'(R+a)} c_s^\dagger(k) c_{p_x}(k') - e^{-ikR} e^{+ik'(R-a)} c_s^\dagger(k) c_{p_x}(k') + \text{h.c.}]$$

$$\text{using } \sum_R \frac{1}{N} e^{-ick-k'R} = \delta(k-k')$$

$$= \sum_k J [e^{ika} c_s^\dagger(k) c_{p_x}(k') - e^{-ika} c_s^\dagger(k) c_{p_x}(k) + \text{h.c.}]$$

$$= \sum_k J [2i \sin ka c_s^\dagger(k) c_{p_x}(k) + \text{h.c.}]$$

$$\hat{H} = \sum_k \hat{\psi}_k^\dagger \begin{pmatrix} \epsilon_s & 2i \sin ka \\ 2i \sin ka & \epsilon_p \\ & \epsilon_p & \epsilon_p \end{pmatrix} \psi(k), \text{ where } \psi(k) = \begin{pmatrix} \hat{c}_s(k) \\ \hat{c}_{p_x}(k) \\ \hat{c}_{p_y}(k) \\ \hat{c}_{p_z}(k) \end{pmatrix}$$

Diagonalizing the matrix gives

$$\begin{aligned}(\epsilon_s - \lambda)(\epsilon_p - \lambda) - 4J^2 \sin^2(ka) = 0 &\Rightarrow \lambda = \frac{1}{2} (\epsilon_s + \epsilon_p \pm \sqrt{(\epsilon_s + \epsilon_p)^2 - 4(\epsilon_s \epsilon_p - 4J^2 \sin^2(ka))}) \\ &= \frac{1}{2} (\epsilon_s + \epsilon_p \pm \sqrt{(\epsilon_s - \epsilon_p)^2 + 4J^2 \sin^2(ka)})\end{aligned}$$

Obviously, without off-diagonal part ϵ_p , ϵ_s remain dispersionless

