

Motivation: to simplify treatment of exchange symmetry in many-particle systems

$$H = \sum_i \epsilon_i c_i^\dagger c_i + \sum_{ij} t (c_i^\dagger c_j + c_j^\dagger c_i) + \sum_{ij} V_{ij} c_i^\dagger c_i c_j^\dagger c_j$$

on-site energy
hopping between sites i and j
interaction between sites i and j

$$[c_i, c_j^\dagger]_\zeta = \delta_{ij} \quad , \quad [c_i, c_j]_\zeta = 0, \quad [c_i^\dagger, c_j^\dagger]_\zeta = 0,$$

$$[A, B]_\zeta = AB + \zeta BA, \quad \zeta = \pm 1 \quad \text{for } \begin{cases} \text{bosons} \\ \text{fermions} \end{cases}$$

Assumed background:

elementary quantum mechanics, Dirac bra-ket notation, Bose and Fermi statistics

Literature: numerous textbooks on many-body physics have an introductory chapter or an appendix on 2nd quantization. Examples (these notes follow Altland & Simons):

- A. Altland & B. Simons, *Condensed Matter Field Theory*, Cambridge University Press, 2nd Ed. (2010), Sec.2.1-2
- A. L. Fetter & J. D. Walecka, *Quantum Theory of Many-Particle Systems*, McGraw-Hill (1971), Chapter 1.
- G. Rickayzen, *Greens Functions and Condensed Matter Physics*, Dover (2013), Appendix A
- S. M. Girvin & K. Yang, *Modern Condensed Matter Physics*, Cambridge University Press (2019), Appendix J.

Single-particle basis

Consider a single-particle quantum system.

Single-particle Hilbert space: $\mathcal{H}_{(1)} = \text{span} \{ |\lambda\rangle \mid \text{all values of } \lambda \}$ (1)

Wavefunction: $\psi_\lambda(x) = \langle x | \lambda \rangle$ (2)

$(x \in \mathbb{R}^d)$

It is often convenient (though not necessary) to choose the basis states to be eigenstates of a single-particle Hamiltonian:

$$\hat{H}_{(1)}(\hat{r}, \hat{p}) \quad \begin{array}{l} \vdots \\ \text{---} \\ \text{---} \\ \text{---} \\ \text{---} \end{array} \quad (3)$$

Eigenvalue equation: $\hat{H}_{(1)}|\lambda\rangle = \epsilon_\lambda |\lambda\rangle$ (4)

$\begin{array}{l} \epsilon_\lambda \\ \epsilon_1 \\ \epsilon_0 \end{array}$

Having this example in mind, we will assume that the λ label takes the values $0, 1, 2, \dots$

Example: harmonic oscillator: $\hat{H}_{(1)}(\hat{r}, \hat{p}) = \frac{1}{2m} \hat{p}^2 + \frac{1}{2} m \omega^2 \hat{x}^2$ (5)

$$\epsilon_\lambda = (\lambda + \frac{1}{2}) \hbar \omega, \quad \lambda \in \mathbb{N}_0 \quad (6)$$

In general, λ can also be a continuous index. E.g. for free particles, $\hat{H}_{(1)} = \frac{1}{2m} \hat{p}^2$, $\lambda \leftrightarrow \vec{p}$ (7)

Or, λ can enumerate sites in a lattice, then it is a discrete index, $\lambda \leftrightarrow i \in \mathbb{Z}^d$ (8)

All we need (later) is some ordering convention for its values.

Exchange symmetry: 2 particles

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Consider a system of 2 identical particles, described by $\hat{H}_{(2)} = \hat{H}(\hat{x}_1, \hat{p}_1; \hat{x}_2, \hat{p}_2)$ (1)

2-particle Hilbert space: $\mathcal{H}_{(2)} = \mathcal{H}_{(1)} \otimes \mathcal{H}_{(1)} = \text{span}\{|\lambda\rangle \otimes |\lambda'\rangle\}$ (2)

$|\lambda\rangle \otimes |\lambda'\rangle$: 'first' particle in state λ , 'second' particle in state λ' (3a)

$|\lambda'\rangle \otimes |\lambda\rangle$: 'first' particle in state λ' , 'second' particle in state λ (3b)

But particles are indistinguishable, states (3a), (3b) don't have independent physical meaning.

Physically meaningful states must be fully symmetric (bosons) or anti-symmetric (fermions):

Meaningful state: $|\psi\rangle = \frac{1}{\sqrt{2}} [|\lambda\rangle \otimes |\lambda'\rangle + \zeta |\lambda'\rangle \otimes |\lambda\rangle]$, $\zeta = \pm 1$ for $\begin{cases} \text{bosons} \\ \text{fermions} \end{cases}$ (4)

Wavefunction: $\psi(x_1, x_2) = \langle x_1 | \otimes \langle x_2 | \psi \rangle = \frac{1}{\sqrt{2}} [\psi_\lambda(x_1) \psi_{\lambda'}(x_2) + \zeta \psi_{\lambda'}(x_1) \psi_\lambda(x_2)]$ (5)

'Exchange symmetry': $\psi(x_2, x_1) = \zeta \psi(x_1, x_2)$ invariant up to a sign under $x_1 \leftrightarrow x_2$ (6)

Physical part of 2-particle Hilbert space contains only symmetrized/antisymmetrized states:

2-particle 'Fock space': $\mathcal{F}_{(2)} = \text{span}\{|\lambda\rangle \otimes |\lambda'\rangle + \zeta |\lambda'\rangle \otimes |\lambda\rangle\}$ (7)

Exchange symmetry: N particles

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N-particle Hamiltonian: $\hat{H}_{(N)} = \hat{H}(\hat{x}_1, \hat{p}_1; \hat{x}_2, \hat{p}_2; \dots; \hat{x}_N, \hat{p}_N)$ (1)

N-particle Hilbert space: $\mathcal{H}_{(1)}^{\otimes N} = \underbrace{\mathcal{H}_{(1)} \otimes \mathcal{H}_{(1)} \otimes \dots \otimes \mathcal{H}_{(1)}}_{N \text{ copies}} = \text{span}\{|\lambda_1\rangle \otimes |\lambda_2\rangle \otimes \dots \otimes |\lambda_N\rangle\}$ (2)

Physical part of this space contains only fully symmetrized/antisymmetrized states of the form:

$$|\lambda_1, \lambda_2, \dots, \lambda_N\rangle = \mathcal{N} \sum_P \zeta_P |\lambda_{p_1}\rangle \otimes |\lambda_{p_2}\rangle \otimes \dots \otimes |\lambda_{p_N}\rangle \quad (3)$$

these states are occupied,
all others empty

(4)

Sum: over all permutations of N indices. E.g. $p = (p_1, p_2, p_3) \in \{(123), (132), (213), (231), (312), (321)\}$
 $\text{sgn}(p): \quad + \quad - \quad - \quad + \quad + \quad -$

Sign: for bosons: $\zeta_p = 1$
 for fermions: $\zeta_p = \text{sgn}(p) = \pm 1$ $\left\{ \begin{array}{l} \text{if even/odd number of transpositions are} \\ \text{needed to convert } (12 \dots N) \text{ to } (p_1, p_2 \dots p_N) \end{array} \right.$ (5)

Normalization \mathcal{N} : chosen such that $\langle \lambda_1, \dots, \lambda_N | \lambda_1, \dots, \lambda_N \rangle = 1$ (6)

N-particle Fock space: $\mathcal{F}_{(N)} = \text{span}\{|\lambda_1, \lambda_2, \dots, \lambda_N\rangle \mid \text{all values of } \{\lambda_j\}\}$ (7)

N-particle wave functions

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N-particle position eigenstate: $|x_1, x_2, \dots, x_N\rangle = |x_1\rangle \otimes |x_2\rangle \otimes \dots \otimes |x_N\rangle$ (1)

$\hat{x}_i |x_1, x_2, \dots, x_N\rangle = x_i |x_1, x_2, \dots, x_N\rangle$ (2)
 position operator in i-th single-particle Hilbert space eigenvalue

N-particle wavefunction: $\langle x_1, x_2, \dots, x_N | \lambda_1, \lambda_2, \dots, \lambda_N \rangle = \mathcal{N} \sum_P \psi_{\lambda_{p_1}}(x_1) \psi_{\lambda_{p_2}}(x_2) \dots \psi_{\lambda_{p_N}}(x_N)$ (3)

'Exchange symmetry': $\langle \dots, x_i, \dots, x_{i'}, \dots | \{\lambda_j\} \rangle = \int \langle \dots, x_{i'}, \dots, x_i, \dots | \{\lambda_j\} \rangle$ (4)

For fermions, wavefunction is a determinant: $\langle \{x_i\} | \{\lambda_j\} \rangle = \mathcal{N} \begin{vmatrix} \psi_{\lambda_1}(x_1) & \psi_{\lambda_2}(x_1) & \dots & \psi_{\lambda_N}(x_1) \\ \psi_{\lambda_1}(x_2) & \psi_{\lambda_2}(x_2) & & \vdots \\ \vdots & & \ddots & \vdots \\ \psi_{\lambda_1}(x_N) & \dots & & \psi_{\lambda_N}(x_N) \end{vmatrix}$ (5)
 'Slater determinant'

Antisymmetry of determinant under exchange of rows or columns implies:

$\langle \{x_i\} | \{\lambda_j\} \rangle = 0$ if $\left\{ \begin{array}{l} \lambda_j = \lambda_{j'} \text{ two particles in same state} \\ x_i = x_{i'} \text{ two particles at same position} \end{array} \right\}$ 'Pauli exclusion principle' (6)

N-particle basis: occupation number representation

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Due to exchange symmetry, we can fully specify a basis state

$|\lambda_1, \lambda_2, \dots, \lambda_N\rangle = \mathcal{N} \sum_P \psi_{\lambda_{p_1}} \otimes \psi_{\lambda_{p_2}} \otimes \dots \otimes \psi_{\lambda_{p_N}} = |n_0, n_1, n_2, \dots\rangle$ (1)

by specifying how many particles, n_λ , populate each $|\lambda\rangle$, with $\sum_\lambda n_\lambda = N$. (2)

For 'bosons', $n_\lambda \in \mathbb{N}_0$: each $|\lambda\rangle$ can contain arbitrarily many bosons. (3)

For 'fermions', $n_\lambda \in \{0, 1\}$: each $|\lambda\rangle$ can contain at most one fermion ('Pauli principle') (4)

Examples: the states on the right are denoted as

λ_j representation n_λ representation

$|\lambda_1 = 0, \lambda_2 = 0, \lambda_3 = 3\rangle = |n_0 = 2, n_1 = 0, n_2 = 0, n_3 = 1, n_4 = 0, \dots\rangle$ (5)

3 bosons

$|\lambda_1 = 0, \lambda_2 = 1, \lambda_3 = 3\rangle = |n_0 = 1, n_1 = 1, n_2 = 0, n_3 = 1, n_4 = 0, \dots\rangle$ (6)

3 fermions

Fock space, creation operators

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N-particle Fock space: $\mathcal{F}_{(N)} = \text{span} \{ |n_0, n_1, n_2, \dots\rangle \mid n_i \in \begin{cases} \mathbb{N}_0 & \text{bosons} \\ \{0,1\} & \text{fermions} \end{cases}, \sum_{\lambda} n_{\lambda} = N \}$ (1)

It is often convenient to not impose the condition of fixed particle number N. Then consider

(many-particle) Fock space: $\mathcal{F} = \sum_{\oplus N} \mathcal{F}_{(N)} = \text{span} \{ |n_0, n_1, n_2, \dots\rangle \}$ total particle number not fixed (2)

'Vacuum space': $\mathcal{F}_{(0)} = \text{span} \{ |\Omega\rangle \}, |\Omega\rangle = |0, 0, 0, \dots\rangle$ 'vacuum state' (3)

Define 'creation operators' connecting states which differ by 1 for specified occupation number:

$a_{\lambda}^{\dagger} |n_0, \dots, n_{\lambda}, \dots\rangle = (n_{\lambda} + 1)^{1/2} \zeta^{s_{\lambda}} |n_0, \dots, n_{\lambda} + 1, \dots\rangle$ (4)

creates particle in state λ

'fermionic sign' depends on how many 'earlier' states are occupied: $s_{\lambda} = \sum_{\tilde{\lambda}=0}^{\lambda-1} n_{\tilde{\lambda}}$ (5)

For fermions, occupation numbers are defined modulo 2, i.e. $(1+1) \bmod 2 = 0$

so, $a_{\lambda}^{\dagger} |n_0, \dots, n_{\lambda}=1, \dots\rangle \propto ((1+1) \bmod 2)^{1/2} = 0$ [this encodes Pauli principle (6.4)] (6)

All states can be obtained from vacuum state by repeated action of a^{\dagger} 's: $|n_0, n_1, n_2, \dots\rangle = \mathcal{N} (a_0^{\dagger})^{n_0} (a_1^{\dagger})^{n_1} (a_2^{\dagger})^{n_2} \dots |\Omega\rangle$ (7)

(Anti)-commutation relations $[a^{\dagger}, a^{\dagger}]$

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Def: $[A, B]_{\zeta} \equiv AB - \zeta BA = \begin{cases} AB - BA = [A, B] & = \text{commutator, for bosons} \\ AB + BA = \{A, B\} & = \text{anti-commutator, for fermions} \end{cases}$ (1a)

Claim: creation operators satisfy $[a_{\lambda}^{\dagger}, a_{\lambda'}^{\dagger}]_{\zeta} = 0$ (2)

Proof:

- Equal indices, $\lambda = \lambda'$: For bosons, $a_{\lambda}^{\dagger} a_{\lambda}^{\dagger} - a_{\lambda}^{\dagger} a_{\lambda}^{\dagger} = 0$ (trivially true) (3)

For fermions: $a_{\lambda}^{\dagger} a_{\lambda}^{\dagger} | \dots, n_{\lambda}=0, \dots \rangle = a_{\lambda}^{\dagger} \zeta^{s_{\lambda}} | \dots, n_{\lambda}=1, \dots \rangle \stackrel{(7.6)}{=} 0$ (4)

This holds for all states in \mathcal{F} , hence $a_{\lambda}^{\dagger} a_{\lambda}^{\dagger} = 0$, and also $a_{\lambda}^{\dagger} a_{\lambda}^{\dagger} + a_{\lambda}^{\dagger} a_{\lambda}^{\dagger} = 0$ (5)

- Unequal indices, $\lambda \neq \lambda'$:

Simplest example: action on vacuum state, $|\Omega\rangle = |n_0=0, n_1=0, n_2=0, \dots\rangle$: (6)

$a_1^{\dagger} a_0^{\dagger} |0, 0, 0, 0, \dots\rangle \stackrel{(7.4)}{=} a_1^{\dagger} \zeta^0 |1, 0, 0, 0, \dots\rangle \stackrel{(7.4)}{=} \zeta^1 |1, 1, 0, 0, \dots\rangle$ (7)

$a_0^{\dagger} a_1^{\dagger} |0, 0, 0, 0, \dots\rangle \stackrel{(7.4)}{=} a_0^{\dagger} \zeta^0 |0, 1, 0, 0, \dots\rangle \stackrel{(7.4)}{=} \zeta^0 |1, 1, 0, 0, \dots\rangle$ (8)

$\Rightarrow (a_1^{\dagger} a_0^{\dagger} - \zeta a_0^{\dagger} a_1^{\dagger}) |0, 0, 0, 0, \dots\rangle = 0$ (9)

General case: assume (without loss of generality) $\lambda < \lambda'$:

$$s_\lambda = \sum_{\lambda=0}^{\lambda-1} n_\lambda$$

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$$a_\lambda^\dagger a_{\lambda'}^\dagger | \dots, n_\lambda, \dots, n_{\lambda'}, \dots \rangle = (n_{\lambda'+1})^{1/2} a_\lambda^\dagger \zeta^{s_{\lambda'}} | \dots, n_\lambda, \dots, n_{\lambda'+1}, \dots \rangle \quad (1)$$

$$= (n_{\lambda+1})^{1/2} (n_{\lambda'+1})^{1/2} \zeta^{s_\lambda} \zeta^{s_{\lambda'}} | \dots, n_{\lambda+1}, \dots, n_{\lambda'+1}, \dots \rangle \quad (2)$$

$$a_{\lambda'}^\dagger a_\lambda^\dagger | \dots, n_\lambda, \dots, n_{\lambda'}, \dots \rangle = (n_{\lambda+1})^{1/2} a_{\lambda'}^\dagger \zeta^{s_\lambda} | \dots, n_{\lambda+1}, \dots, n_{\lambda'}, \dots \rangle \quad (3)$$

$$= (n_{\lambda'+1})^{1/2} (n_{\lambda+1})^{1/2} \zeta^{s_{\lambda'}} \zeta^{s_\lambda} | \dots, n_{\lambda+1}, \dots, n_{\lambda'+1}, \dots \rangle \quad (4)$$

$$(a_\lambda^\dagger a_{\lambda'}^\dagger - \zeta a_{\lambda'}^\dagger a_\lambda^\dagger) | \dots, n_\lambda, \dots, n_{\lambda'}, \dots \rangle \stackrel{(2,4)}{=} 0 \quad (5)$$

(5) holds for all basis kets $| \dots, n_\lambda, \dots, n_{\lambda'}, \dots \rangle$ of \mathcal{F} , hence it is an operator identity:

$$\boxed{[a_\lambda^\dagger, a_{\lambda'}^\dagger]_\zeta = a_\lambda^\dagger a_{\lambda'}^\dagger - \zeta a_{\lambda'}^\dagger a_\lambda^\dagger = 0} \quad (6)$$

'boson creation operators commute, fermion creation operators anti-commute'

(Anti)-commutation relations $[a, a]$

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Recall definition of creation operator: $a_\lambda^\dagger |n'_0, \dots, n'_\lambda, \dots\rangle = (n'_{\lambda+1})^{1/2} \zeta^{s_\lambda} |n'_0, \dots, n'_\lambda+1, \dots\rangle$ (1)

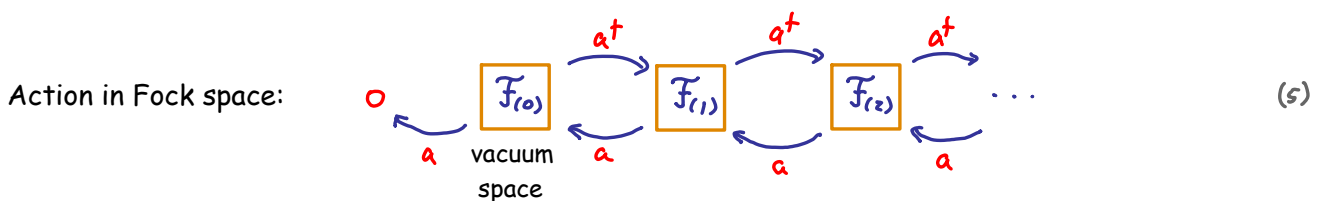
Matrix elements: $\langle n_0, \dots, n_\lambda, \dots | a_\lambda^\dagger |n'_0, \dots, n'_\lambda, \dots\rangle = (n'_{\lambda+1})^{1/2} \zeta^{s_\lambda} \delta_{n_0, n'_0} \dots \delta_{n_\lambda, n'_\lambda+1} \dots$ (2)

Complex conjugate: $\langle n'_0, \dots, n'_\lambda, \dots | a_\lambda |n_0, \dots, n_\lambda, \dots\rangle = (n_\lambda)^{1/2} \zeta^{s_\lambda} \delta_{n'_0, n_0} \dots \delta_{n'_\lambda, n_\lambda-1} \dots$ (3)

(3) holds for all basis bras $\langle \dots, n'_\lambda, \dots, n'_\lambda, \dots |$ of \mathcal{F} , hence we conclude that

$$\boxed{a_\lambda |n_0, \dots, n_\lambda, \dots\rangle = (n_\lambda)^{1/2} \zeta^{s_\lambda} |n_0, \dots, n_\lambda-1, \dots\rangle} \quad (4)$$

i.e. a_λ 'annihilates' or 'destroys' a particle in state λ .



Hermitian conjugate of (9.6): $\boxed{[a_\lambda, a_{\lambda'}]_\zeta = a_\lambda a_{\lambda'} - \zeta a_{\lambda'} a_\lambda = 0}$ (6)

(Anti)-commutation relations $[a, a^\dagger]$

Consider equal indices: $\lambda = \lambda'$:

$$a_\lambda a_\lambda^\dagger | \dots, n_\lambda, \dots \rangle = a_\lambda (n_\lambda + 1)^{\frac{1}{2}} \underbrace{\zeta^{s_\lambda}}_{=1} | \dots, n_\lambda + 1, \dots \rangle = (n_\lambda + 1)^{\frac{1}{2} + \frac{1}{2}} \underbrace{(\zeta^{s_\lambda})^2}_{=1} | \dots, n_\lambda, \dots \rangle \quad (1)$$

$$a_\lambda^\dagger a_\lambda | \dots, n_\lambda, \dots \rangle = a_\lambda^\dagger (n_\lambda)^{\frac{1}{2}} \zeta^{s_\lambda} | \dots, n_\lambda - 1, \dots \rangle = \underbrace{(n_\lambda)^{\frac{1}{2} + \frac{1}{2}}}_{=1} \underbrace{(\zeta^{s_\lambda})^2}_{=1} | \dots, n_\lambda, \dots \rangle \quad (2)$$

Bosons:

$$(a_\lambda a_\lambda^\dagger - a_\lambda^\dagger a_\lambda) | \dots, n_\lambda, \dots \rangle \stackrel{(1,2)}{=} | \dots, n_\lambda, \dots \rangle \Rightarrow \boxed{a_\lambda a_\lambda^\dagger - a_\lambda^\dagger a_\lambda = 1} \quad (3)$$

holds for all basis kets!

Fermions:

$$(a_\lambda a_\lambda^\dagger + a_\lambda^\dagger a_\lambda) | \dots, n_\lambda = 0, \dots \rangle = (1 + 0) | \dots, n_\lambda = 0, \dots \rangle = | \dots, n_\lambda = 0, \dots \rangle \quad (4)$$

$$(a_\lambda a_\lambda^\dagger + a_\lambda^\dagger a_\lambda) | \dots, n_\lambda = 1, \dots \rangle = ((1+1) \bmod 2 + 1) | \dots, n_\lambda = 1, \dots \rangle = | \dots, n_\lambda = 1, \dots \rangle \quad (5)$$

(4,5) hold for all basis kets! $\Rightarrow \boxed{a_\lambda a_\lambda^\dagger + a_\lambda^\dagger a_\lambda = 1}$ (6)

Compact formulation of (3) & (6): $\boxed{[a_\lambda, a_\lambda^\dagger]_\zeta = 1}$ (7)

(Anti)-commutation relations $[a, a^\dagger]$

General case: assume (without loss of generality) $\lambda < \lambda'$ (analogous to p. 9): $s_\lambda = \sum_{\lambda=0}^{\lambda-1} n_\lambda$

$$a_\lambda a_{\lambda'}^\dagger | \dots, n_\lambda, \dots, n_{\lambda'}, \dots \rangle = (n_{\lambda'} + 1)^{\frac{1}{2}} a_\lambda^\dagger \zeta^{s_{\lambda'}} | \dots, n_\lambda, \dots, n_{\lambda'} + 1, \dots \rangle \quad (1)$$

$$= (n_\lambda)^{\frac{1}{2}} (n_{\lambda'} + 1)^{\frac{1}{2}} \zeta^{s_\lambda} \zeta^{s_{\lambda'}} | \dots, n_\lambda - 1, \dots, n_{\lambda'} + 1, \dots \rangle \quad (2)$$

$$a_{\lambda'}^\dagger a_\lambda | \dots, n_\lambda, \dots, n_{\lambda'}, \dots \rangle = (n_\lambda)^{\frac{1}{2}} a_{\lambda'}^\dagger \zeta^{s_\lambda} | \dots, n_\lambda - 1, \dots, n_{\lambda'}, \dots \rangle \quad (3)$$

$$= (n_{\lambda'} + 1)^{\frac{1}{2}} (n_\lambda)^{\frac{1}{2}} \zeta^{s_{\lambda'}} \zeta^{s_\lambda} | \dots, n_\lambda - 1, \dots, n_{\lambda'} + 1, \dots \rangle \quad (4)$$

$$(a_\lambda a_{\lambda'}^\dagger - \zeta a_{\lambda'}^\dagger a_\lambda) | \dots, n_\lambda, \dots, n_{\lambda'}, \dots \rangle \stackrel{(2,4)}{=} 0 \Rightarrow \boxed{a_\lambda a_{\lambda'}^\dagger - \zeta a_{\lambda'}^\dagger a_\lambda = 0} \text{ if } \lambda \neq \lambda' \quad (5)$$

holds for all basis kets!

Summary: $\boxed{[a_\lambda^\dagger, a_{\lambda'}^\dagger]_\zeta = 0, [a_\lambda, a_{\lambda'}]_\zeta = 0, [a_\lambda, a_{\lambda'}^\dagger]_\zeta = \delta_{\lambda\lambda'}}$ (6)

'boson operators commute, fermion creation anti-commute', except for $[a_\lambda, a_\lambda^\dagger]_\zeta = 1$ (7)

Given complex structure of Fock space, these relations are remarkably simple!

Change of basis

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The single-particle states used above must form a basis of $\mathcal{H}_{(1)}$, satisfying for discrete index

- orthogonality: $\langle \lambda' | \lambda \rangle = \delta_{\lambda\lambda'}$ (1a)

- completeness: $\sum_{\lambda} |\lambda\rangle \langle \lambda| = \mathbb{1}$ (2a)

We make identification: $|\lambda\rangle = a_{\lambda}^{\dagger} |\Omega\rangle$ (3a)

Consider change of basis: $|\tilde{\lambda}\rangle = \sum_{\lambda} |\lambda\rangle \langle \lambda | \tilde{\lambda}\rangle$ (4a)

Correspondingly: $a_{\tilde{\lambda}}^{\dagger} = \sum_{\lambda} a_{\lambda}^{\dagger} \langle \lambda | \tilde{\lambda}\rangle$ (5a)

$a_{\tilde{\lambda}} = \sum_{\lambda} \langle \tilde{\lambda} | \lambda \rangle a_{\lambda}$ (6a)

(anti)-commutation relations preserve their form:

If $[a_{\lambda}, a_{\lambda'}^{\dagger}]_{\pm} = \delta_{\lambda\lambda'}$, then $(7a)$ $[a_{\tilde{\lambda}}, a_{\tilde{\lambda}'}^{\dagger}]_{\pm} = \delta_{\tilde{\lambda}\tilde{\lambda}'}$

$[a_{\tilde{\lambda}}, a_{\tilde{\lambda}'}^{\dagger}]_{\pm} = \langle \tilde{\lambda} | \sum_{\lambda\lambda'} |\lambda\rangle \langle \lambda| [a_{\lambda}, a_{\lambda'}^{\dagger}]_{\pm} \langle \lambda' | \tilde{\lambda}'\rangle$ (8a)

$= \langle \tilde{\lambda} | \sum_{\lambda} |\lambda\rangle \langle \lambda | \tilde{\lambda}'\rangle = \langle \tilde{\lambda} | \mathbb{1} | \tilde{\lambda}'\rangle = \delta_{\tilde{\lambda}\tilde{\lambda}'}$ (9a)

for continuous index, e.g. $\lambda = x$

$\langle x' | x \rangle = \delta(x' - x)$ (1b)

$\int dx |x\rangle \langle x| = \mathbb{1}$ (2b)

$|x\rangle = a^{\dagger}(x) |\Omega\rangle$ (3b)

$|p\rangle = \int dx |x\rangle \langle x | p\rangle$ (4b)

$a^{\dagger}(p) = \int dx a^{\dagger}(x) \langle x | p\rangle$ (5b)

$a(p) = \int dx \langle p | x \rangle a(x)$ (6b)

If $[a_x, a_{x'}^{\dagger}]_{\pm} = \delta(x - x')$, (7b)

then similarly,

$[a_p, a_{p'}^{\dagger}]_{\pm} = \delta(p - p')$ (8b)

Representation of one-body operators

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Def: 'occupation number operator': $\hat{n}_{\lambda} = a_{\lambda}^{\dagger} a_{\lambda}$ with $\hat{n}_{\lambda} | \dots, n_{\lambda}, \dots \rangle = n_{\lambda} | \dots, n_{\lambda}, \dots \rangle$ (1)

Diagonal one-body operator: $\hat{O}_{(1)} = \sum_{\lambda} |\lambda\rangle O_{\lambda} \langle \lambda|$, $O_{\lambda\lambda'} = \langle \lambda | \hat{O} | \lambda' \rangle = O_{\lambda} \delta_{\lambda\lambda'}$ (2)

When acting in \mathcal{F} : $\hat{O} = \sum_{N=0}^{\infty} \sum_{i=1}^N \mathbb{1}_{(1)} \oplus \dots \oplus \mathbb{1}_{(i)} \oplus \hat{O}_{(1)} \oplus \mathbb{1}_{(1)} \oplus \dots \oplus \mathbb{1}_{(1)}$ (3)

acts in i -th of N single-particle spaces: is the single particle there found in the single-particle state $|\lambda\rangle$?

Many-body matrix elements: $\langle n_0, n_1, n_2, \dots | \hat{O} | n'_0, n'_1, n'_2, \dots \rangle = \sum_{\lambda} \langle n_0, n_1, n_2, \dots | O_{\lambda} \hat{n}_{\lambda} | n'_0, n'_1, n'_2, \dots \rangle$ (4)

$= \langle n_0, n_1, n_2, \dots | \sum_{\lambda} O_{\lambda} \hat{n}_{\lambda} | n'_0, n'_1, n'_2, \dots \rangle$ (5)

(5) holds for all basis kets of \mathcal{F} ,

\Rightarrow operator identity: $\hat{O} = \sum_{\lambda} O_{\lambda} \hat{n}_{\lambda} = \sum_{\lambda} a_{\lambda}^{\dagger} \langle \lambda | \hat{O} | \lambda \rangle a_{\lambda}$ (6)

Simply count the number of particles in single-particle state λ and multiply by eigenvalue!

Transformed to a general (non-diagonal) basis: $\hat{O} = \sum_{\lambda\lambda'} a_{\lambda}^{\dagger} \langle \lambda | \hat{O} | \lambda' \rangle a_{\lambda'} = \sum_{\lambda\lambda'} a_{\lambda}^{\dagger} O_{\lambda\lambda'} a_{\lambda'}$ (7)

Examples of one-body operators

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Various single-particle bases: energy eigenbasis position basis momentum basis

Total particle number:
$$\hat{N} = \sum_{\lambda} \hat{n}_{\lambda} = \int dx \underbrace{a^{\dagger}(x)a(x)}_{=\hat{\rho}(x)} = \int dp a^{\dagger}(p)a(p) \quad (1)$$

Kinetic energy:
$$\hat{H}_{kin} = \sum_{\lambda} \epsilon_{\lambda} \hat{n}_{\lambda} = \int dp \frac{p^2}{2m} a^{\dagger}(p)a(p) \quad (2)$$

Potential
$$\hat{H}_{pot} = \int dx V(x) a^{\dagger}(x)a(x) \quad (3)$$

Lattice Hamiltonian:
$$\hat{H}_{lat} = \sum_i \epsilon_i c_i^{\dagger} c_i + \sum_{ij} t(c_i^{\dagger} c_j + c_j^{\dagger} c_i) \quad (4)$$

on-site energy hopping between sites i and j

Zeeman field coupling to electron spin:
$$\hat{H}_{Zeeman} = \sum_i \hat{S}_i \cdot \vec{B} \quad \hat{S}_i = \sum_{\sigma\sigma'} a_{i\sigma}^{\dagger} \left(\frac{1}{2} \vec{\tau}_{\sigma\sigma'} \right) a_{i\sigma} \quad (5)$$

 $(\hbar=1, g\mu_B=1)$ spin operator Pauli matrices $\sigma \in \uparrow, \downarrow$

Representation of two-body operators

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Interaction potential between particles at positions x_i, x_j :
$$V(x_i, x_j) = V(x_j, x_i) \quad (1)$$

symmetric

We seek many-body operator \hat{V} such that

$$\hat{V} |x_1, x_2, \dots, x_N\rangle = \sum_{i < j} V(x_i, x_j) |x_1, x_2, \dots, x_N\rangle = \frac{1}{2} \sum_{i \neq j} V(x_i, x_j) |x_1, x_2, \dots, x_N\rangle \quad (2)$$

Ansatz:
$$\hat{V} = \frac{1}{2} \int dx \int dx' a^{\dagger}(x) a^{\dagger}(x') V(x, x') a(x') a(x) \quad (3)$$

Interpretation: 'take out two particles at x and x', let them feel the interaction, and put them back in'.

Note:
$$a(x) a^{\dagger}(x_i) \stackrel{(13.7b)}{=} \zeta a^{\dagger}(x_i) a(x) + \delta(x - x_i) \quad (4)$$

Check (3): act with \hat{V} on N-particle position eigenstate:

$$a^{\dagger}(x) a^{\dagger}(x') a(x') a(x) |x_1, x_2, \dots, x_i, \dots, x_N\rangle = a^{\dagger}(x) a^{\dagger}(x') a(x') a(x) \underbrace{a^{\dagger}(x_1) a^{\dagger}(x_2) \dots a^{\dagger}(x_i) \dots a^{\dagger}(x_N)}_{\text{vacuum state is empty: } a(x)|\Omega\rangle = 0} |\Omega\rangle \quad (5)$$

each commutation operation yields an extra $\delta(x - x_i)$

$$\stackrel{(4)}{=} \sum_{i=1}^N \delta(x - x_i) \zeta^{i-1} \underbrace{a^{\dagger}(x) a^{\dagger}(x') a(x') a(x)}_{\hat{\rho}(x')} a^{\dagger}(x_1) \dots a^{\dagger}(x_{i-1}) a^{\dagger}(x_{i+1}) \dots a^{\dagger}(x_N) |\Omega\rangle \quad (6)$$

density operator 'finds' the particles, yields $\sum_{j \neq i} \delta(x' - x_j)$

commute $a^\dagger(x)$ to the right until it sits between $a^\dagger(x_{i-1})$, $a^\dagger(x_{i+1})$

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$$^{(5)} = \sum_{i=1}^N \delta(x-x_i) \sum_{j \neq i}^N \delta(x'-x_j) \underbrace{\int \int^{i-1} \int^{i-1}}_{=1} a^\dagger(x_i) \dots a^\dagger(x_{i-1}) a^\dagger(x) a^\dagger(x_{i+1}) \dots a^\dagger(x_N) |\mathcal{U}\rangle \quad (1)$$

Now multiply by $\frac{1}{2} V(x, x')$ and integrate over x and x' , as in (16.3): (2)

$$\hat{V} |x_1, x_2, \dots, x_N\rangle = \frac{1}{2} \int dx \int dx' \frac{1}{2} V(x, x') \underbrace{a^\dagger(x) a^\dagger(x') a(x') a(x)}_{=(17.1)} |x_1, x_2, \dots, x_i, \dots, x_N\rangle \quad (3)$$

$$\stackrel{(1)}{=} \frac{1}{2} \sum_{i=1}^N \sum_{j \neq i}^N V(x_i, x_j) \underbrace{a^\dagger(x_i) \dots a^\dagger(x_{i-1}) a^\dagger(x_i) a^\dagger(x_{i+1}) \dots a^\dagger(x_N)}_{= |x_1, x_2, \dots, x_N\rangle} |\mathcal{U}\rangle \quad (4)$$

$$= (16.2) \quad \checkmark$$

Hence, Ansatz (16.3) does yield the result (16.2), as required.

A general two-body operator with matrix elements $\langle \lambda, \eta | \hat{O} | \lambda', \eta' \rangle$ can be expressed as

$$\hat{O} = \sum_{\lambda, \eta, \lambda', \eta'} a_\lambda^\dagger a_\eta^\dagger \langle \lambda, \eta | \hat{O} | \lambda', \eta' \rangle a_{\eta'} a_{\lambda'} \quad (5)$$

mnemonic: $|\lambda, \eta\rangle \langle \lambda, \eta | \hat{O} | \lambda', \eta' \rangle \langle \lambda', \eta' |$