

## MPS.6 Iterative diagonalization

MPS.6

Consider spin- $\frac{1}{2}$  chain:

$$\hat{H}^L = \sum_{\ell=1}^L \hat{S}_{\ell} \cdot \vec{h}_{\ell} + \sum_{\ell=1}^{L-1} \hat{S}_{\ell} \cdot \hat{S}_{\ell+1} \quad (1)$$

SU(2) spin algebra for each site  $\ell$  (suppressing site indices in Eqs. (2-4):

$$[\hat{S}_i, \hat{S}_j] = \varepsilon_{ijk} \hat{S}_k \quad (2a), \quad S_i^{\dagger} = S_i, \quad \hat{S}_{\pm} = \frac{1}{\sqrt{2}}(\hat{S}_x \pm i\hat{S}_y) = \hat{S}_{\mp}^{\dagger} := \hat{S}_{\mp}^{\dagger} \quad (2b)$$

$$\Rightarrow \quad [\hat{S}_-, \hat{S}_+] = \hat{S}_z, \quad [\hat{S}_z, \hat{S}_{\pm}] = \pm \hat{S}_{\pm} \quad (2c)$$

useful convention to achieve covariant notation

$$\hat{S}_{\ell} \cdot \hat{S}_{\ell+1} = \hat{S}_x \hat{S}_x + \hat{S}_y \hat{S}_y + \hat{S}_z \hat{S}_z \quad (2b) = \hat{S}_+ \hat{S}_- + \hat{S}_- \hat{S}_+ + \hat{S}_z \hat{S}_z \quad (2b)$$

site  $\ell$ , site  $\ell+1$

sum on  $a \in \{+, -, z\}$  implied!

write this covariant notation:

$$= \hat{S}_+ \hat{S}_+^{\dagger} + \hat{S}_- \hat{S}_-^{\dagger} + \hat{S}_z \hat{S}_z = \hat{S}_a \hat{S}_a^{\dagger} \quad (3b)$$

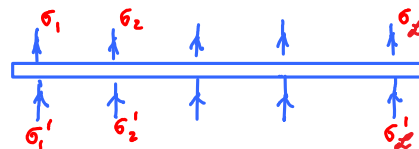
with operator triplets:

$$\hat{S}_a \in \{\hat{S}_+, \hat{S}_z, \hat{S}_-\}, \quad \hat{S}_a^{\dagger} \in \{\hat{S}_+^{\dagger}, \hat{S}_z^{\dagger}, \hat{S}_-^{\dagger}\} \quad (4)$$

In the basis  $\{|\vec{\sigma}\rangle\} = \{|\sigma_1\rangle|\sigma_2\rangle\dots|\sigma_L\rangle\}$ , the Hamiltonian can be expressed as

$$\hat{H}^L = |\vec{\sigma}\rangle H^{\vec{\sigma}'}_{\vec{\sigma}} \langle \vec{\sigma}|$$

'no hat' means 'matrix representation'



(5)

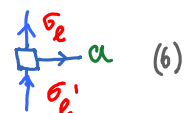
$H^{\vec{\sigma}'}_{\vec{\sigma}}$  is a linear map acting on a direct product space:  $V^{\otimes L} := V_1 \otimes V_2 \otimes \dots \otimes V_L$

where  $V_{\ell}$  is the 2-dimensional representation space of site  $\ell$ .

$\hat{H}^L$  is a sum of single-site and two-site terms.

One-site terms:

$$\hat{S}_{a\ell} = |\sigma_{\ell}'\rangle [S_a]_{\sigma_{\ell}}^{\sigma_{\ell}'} \langle \sigma_{\ell}|$$



(6)

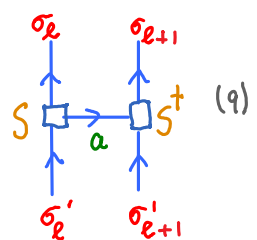
Matrix representation in  $V_{\ell}$ :

$$[S_a]_{\sigma_{\ell}}^{\sigma_{\ell}'} = \langle \sigma_{\ell}' | \hat{S}_{a\ell} | \sigma_{\ell} \rangle = \begin{pmatrix} [S_a]_{\uparrow}^{\uparrow} & [S_a]_{\uparrow}^{\downarrow} \\ [S_a]_{\downarrow}^{\uparrow} & [S_a]_{\downarrow}^{\downarrow} \end{pmatrix} \quad (7)$$

$$S_+ = \frac{1}{\sqrt{2}} \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}, \quad S_z = \frac{1}{2} \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}, \quad S_- = \frac{1}{\sqrt{2}} \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix} \quad (8)$$

Nearest-neighbor interactions, acting on direct product space,  $|\sigma_{\ell}\rangle \otimes |\sigma_{\ell+1}\rangle$ :

$$\hat{S}_{a\ell} \otimes \hat{S}_{a'\ell+1} = |\sigma_{\ell}'\rangle |\sigma_{\ell+1}'\rangle [S_a]_{\sigma_{\ell}}^{\sigma_{\ell}'} [S_{a'}]_{\sigma_{\ell+1}}^{\sigma_{\ell+1}'} \langle \sigma_{\ell} | \langle \sigma_{\ell+1} |$$



(9)

Matrix representation in  $V_{\ell} \otimes V_{\ell+1}$ :

$$S_{a\ell}^{\sigma_{\ell}'}_{\sigma_{\ell}} S_{a'\ell+1}^{\sigma_{\ell+1}'}_{\sigma_{\ell+1}}$$

We define the 3-leg tensors  $\llbracket \cdot \rrbracket$  with index placements matching those of  $\Delta$  tensors for wavefunctions:

$$\begin{array}{ccccccc} \ell & \ell+1 & & a_{\ell} & & \ell_{\ell+1} & & \ell & \ell+1 \end{array}$$

We define the 3-leg tensors  $S, S^\dagger$  with index placements matching those of  $A$  tensors for wavefunctions: incoming: high; outgoing: low (fly in high, roll out low), with  $a$  (by convention) as middle index.

### Diagonalize site 1

Matrix acting on  $\sigma_1$ :

$$H_1 = S_{a_1}^\dagger \cdot h_1^a = U_1 D_1 U_1^\dagger \quad (10)$$

chain of length 1      site index:  $\ell=1$

$D_1 = U_1^\dagger H_1 U_1$  is diagonal, with matrix elements

$$[D_1]_{\alpha'}^{\alpha} = [U_1^\dagger]_{\sigma_1'}^{\alpha'} [H_1]_{\sigma_1}^{\sigma_1'} [U_1]_{\alpha}^{\sigma_1} \quad (11)$$

Eigenvectors of the matrix  $H_1$  are given by column vectors of the matrix  $[U_1]_{\alpha}^{\sigma_1}$ :

Eigenstates of operator  $\hat{H}_1$  are given by:  $|\alpha\rangle = |\sigma_1\rangle [U_1]_{\alpha}^{\sigma_1}$  (13)

### Add site 2

Diagonalize  $H_2$  in enlarged Hilbert space,  $\mathcal{H}_{(2)} = \text{span}\{|\sigma_1\rangle|\sigma_2\rangle\}$  (14)

chain of length 2

Matrix acting on  $\mathbb{V}_1 \otimes \mathbb{V}_2$ :

$$H_2 = \underbrace{\vec{S}_1 \cdot \vec{h}_1}_{H_1^{loc}} \otimes \mathbb{1}_2 + \mathbb{1}_1 \otimes \underbrace{\vec{S}_2 \cdot \vec{h}_2}_{H_2^{loc}} + \underbrace{JS_{a_1} \otimes S_2^a}_{H_{12}^{loc}} \quad (15)$$

Matrix representation in  $\mathbb{V}_1 \otimes \mathbb{V}_2$  corresponding to 'local' basis,  $\{|\sigma_1\rangle|\sigma_2\rangle\}$ :

$$H_2^{\sigma_1', \sigma_2'}_{\sigma_1, \sigma_2} = H_1^{loc} \otimes \mathbb{1}_2 + \mathbb{1}_1 \otimes H_2^{loc} + JS_1 \otimes S_2^\dagger =: H_2 \quad (16)$$

We seek matrix representation in  $\mathbb{V}_1 \otimes \mathbb{V}_2$  corresponding to enlarged, 'site-1-diagonal' basis, defined as

$$|\tilde{\alpha}\rangle \equiv |\alpha, \sigma_2\rangle \equiv |\alpha\rangle |\sigma_2\rangle = |\sigma_1\rangle |\sigma_2\rangle U_1^{\sigma_1} \alpha \quad \alpha \xrightarrow{\mathbb{1}} \tilde{\alpha} = \alpha \xrightarrow{U_1} \tilde{\alpha} \quad (17)$$

$$\hat{H}_2 = |\tilde{\alpha}'\rangle \hat{H}_2^{\tilde{\alpha}'}_{\tilde{\alpha}} \langle \tilde{\alpha}|, \quad H_2^{\tilde{\alpha}'}_{\tilde{\alpha}} = \langle \tilde{\alpha}' | \hat{H}_2 | \tilde{\alpha} \rangle = \langle \tilde{\alpha}' | \sigma_1', \sigma_2' \rangle H_2^{\sigma_1', \sigma_2'}_{\sigma_1, \sigma_2} \langle \sigma_1, \sigma_2 | \tilde{\alpha} \rangle$$

To this end, attach  $U_1^\dagger, U_1$  to in/out legs of site 1, and  $\mathbb{1}, \mathbb{1}$  to in/out legs of site 2:

$$\begin{array}{ccccccc} \tilde{\alpha} & U_1^\dagger & \alpha & \mathbb{1} & U_1 & \alpha & \mathbb{1} & \tilde{\alpha} \end{array}$$

First term is already diagonal. But other terms are not.

Note: the 'triangles' on  $\nabla, \perp$  suffice to fully specify all arrow direction, hence arrows can be omitted (will often be done in later lectures).

Now diagonalize  $H_2$  in this enlarged basis:  $H_2 = U_2 D_2 U_2^\dagger$  (19)

$D_2 = U_2^\dagger H_2 U_2$  is diagonal, with matrix elements

$[D_2]^{\beta'}_\beta = [U_2^\dagger]^{\beta'}_{\tilde{\alpha}'} [H_2]^{\tilde{\alpha}'}_{\tilde{\alpha}} [U_2]_{\tilde{\alpha}}^\beta$

(20)

Eigenvectors of matrix  $H_2$  are given by column vectors of the matrix  $[U_2]^{\tilde{\alpha}}_\beta = [U_2]^{\alpha\sigma_2}_\beta$  :

Eigenstates of the operator  $\hat{H}_2$  :

$|\beta\rangle = |\tilde{\alpha}\rangle [U_2]^{\tilde{\alpha}}_\beta = |\alpha\rangle |\sigma_2\rangle [U_2]^{\alpha\sigma_2}_\beta = |\sigma_1\rangle |\sigma_2\rangle [U_1]^{\sigma_1}_\alpha [U_2]^{\alpha\sigma_2}_\beta$  (21)

$\rightarrow \beta = \alpha \xrightarrow{U_2} \beta = \alpha \xrightarrow{\sigma_1} \alpha \xrightarrow{\sigma_2} \beta$  (22)

### Add site 3

Transform each term involving new site into the 'enlarged, site-12-diagonal basis', defined as

$|\tilde{\beta}\rangle \equiv |\beta, \sigma_3\rangle \equiv |\beta\rangle |\sigma_3\rangle$

(23)

For example, spin-spin interaction,  $H_{23}^{int}$  :

Local basis:

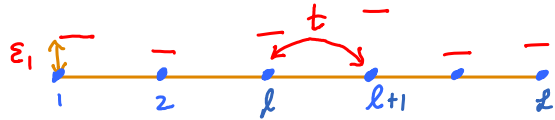
enlarged, site-12-diagonal basis:

(24)

Then diagonalize in this basis:  $H_3 = U_3 D_3 U_3^\dagger$ , etc. (25)

At each iteration, Hilbert space grows by a factor of 2. Eventually, truncations will be needed...!

Consider tight-binding chain of spinless fermions:



$$\hat{H} = \sum_{\ell=1}^L \epsilon_{\ell} \hat{c}_{\ell}^{\dagger} \hat{c}_{\ell} + \sum_{\ell=1}^{L-1} t_{\ell} (\hat{c}_{\ell}^{\dagger} \hat{c}_{\ell+1} + \hat{c}_{\ell+1}^{\dagger} \hat{c}_{\ell}) \quad (1)$$

Goal: find matrix representation for this Hamiltonian, acting in direct product space  $\mathbb{V}_1 \otimes \mathbb{V}_2 \otimes \dots \otimes \mathbb{V}_L$ , while respecting fermionic minus signs:

$$\{\hat{c}_{\ell}, \hat{c}_{\ell'}\} = 0, \quad \{\hat{c}_{\ell}^{\dagger}, \hat{c}_{\ell'}^{\dagger}\} = 0, \quad \{\hat{c}_{\ell}^{\dagger}, \hat{c}_{\ell'}\} = \delta_{\ell\ell'} \quad (2)$$

First consider a single site (dropping the site index  $\ell$ ):

Hilbert space:  $\text{span}\{|0\rangle, |1\rangle\}$ , local index:  $n = \sigma \in \{0, 1\}$  (local occupancy)

$$\text{Operator action: } \hat{c}^{\dagger}|0\rangle = |1\rangle, \quad \hat{c}^{\dagger}|1\rangle = 0 \quad (3a)$$

$$\hat{c}|0\rangle = 0, \quad \hat{c}|1\rangle = |0\rangle \quad (3b)$$

The operators  $\hat{c}^{\dagger} = | \sigma' \rangle \langle \sigma |$  and  $\hat{c} = | \sigma' \rangle \langle \sigma |$

$$\text{have matrix representations in } \mathbb{V}: \quad C^{\dagger \sigma' \sigma} = \langle \sigma' | \hat{c}^{\dagger} | \sigma \rangle = \begin{pmatrix} \langle 0 | & \langle 1 | \end{pmatrix} \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix} \quad C^{\dagger} \begin{matrix} \uparrow \sigma \\ \downarrow \sigma' \end{matrix} \quad (4a)$$

$$C^{\sigma' \sigma} = \langle \sigma' | \hat{c} | \sigma \rangle = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix} \quad C \begin{matrix} \uparrow \sigma \\ \downarrow \sigma' \end{matrix} \quad (4b)$$

Shorthand: we write  $\hat{c}^{\dagger} \doteq C^{\dagger}$ ,  $\hat{c} \doteq C$  where  $\doteq$  means 'is represented by'

lower case denotes operator in Fock space      upper case denotes matrix in 2-dim space  $\mathbb{V}$

$$\text{Check: } C^{\dagger}C + CC^{\dagger} = \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix} \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix} + \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix} \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} = \mathbb{1} \quad (5)$$

$$C^{\dagger}C^{\dagger} = \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix} \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix} = \begin{pmatrix} 0 & 0 \\ 0 & 0 \end{pmatrix} \quad CC = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix} \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix} = \begin{pmatrix} 0 & 0 \\ 0 & 0 \end{pmatrix} \quad (6)$$

For the number operator,  $\hat{n} := \hat{c}^{\dagger} \hat{c}$  the matrix representation in  $\mathbb{V}$  reads:

$$n := C^{\dagger}C = \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix} \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix} = \begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix} = \frac{1}{2}(1 - Z) = \text{"charge"} \quad (7)$$

$$\text{where } Z := \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \text{ is representation of } \hat{Z} = 1 - 2\hat{n} = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \quad (8)$$

$$\text{Useful relations: } \hat{Z} \hat{Z} = -\hat{Z} \hat{Z}, \quad \hat{c}^{\dagger} \hat{Z} = -\hat{Z} \hat{c}^{\dagger} \quad (9)$$

'commuting  $\hat{c}$  or  $\hat{c}^\dagger$  past  $\hat{z}$  produces a sign'

[exercise: check this algebraically, using matrix representations!]

Intuitive reason:  $\hat{c}$  and  $\hat{c}^\dagger$  both change  $\hat{n}$ -eigenvalue by one, hence change sign of  $(-1)^{\hat{n}}$ .

For example:

$$\hat{c}^\dagger (-1)^{\hat{n}} = \hat{c}^\dagger = - (-1)^{\hat{n}} \hat{c}^\dagger \quad (10a)$$

non-zero only when acting on  $|0\rangle = (-1)^0 = 1$   $= (-1)^1 = -1$

Similarly:

$$\hat{c} (-1)^{\hat{n}} = -\hat{c} = - (-1)^{\hat{n}} \hat{c} \quad (10b)$$

non-zero only when acting on  $|1\rangle = (-1)^1 = -1$   $= (-1)^0 = 1$

Now consider a chain of spinless fermions:

Complication: fermionic operators on different sites anticommute:  $c_l c_{l'}^\dagger = -c_{l'}^\dagger c_l$  for  $l \neq l'$

Hilbert space:  $\text{span}\{|\vec{n}\rangle_l = |n_1, n_2, \dots, n_l\rangle\}$ ,  $n_l \in \{0, 1\}$  (11)

Define canonical ordering: fill states from right to left:

$$|n_1, \dots, n_l, \dots, n_l\rangle = (\hat{c}_1^\dagger)^{n_1} \dots (\hat{c}_l^\dagger)^{n_l} \dots (\hat{c}_l^\dagger)^{n_l} |Vac\rangle \quad (12)$$

Now consider:

$$\hat{c}_l^\dagger |n_1, \dots, 0, \dots, n_l\rangle = (-1)^{n_1 + \dots + n_{l-1}} (\hat{c}_1^\dagger)^{n_1} \dots \underbrace{c_l^\dagger (\hat{c}_l^\dagger)^0}_{(\hat{c}_l^\dagger)^1} \dots (\hat{c}_l^\dagger)^{n_l} |Vac\rangle \quad (13)$$

$$= (-1)^{n_l^<} |n_1, \dots, 1, \dots, n_l\rangle, \quad n_l^< = \sum_{l'=1}^{l-1} n_{l'} \quad (14)$$

$$c_l |n_1, \dots, 1, \dots, n_l\rangle = (-1)^{n_1 + \dots + n_{l-1}} (\hat{c}_1^\dagger)^{n_1} \dots \underbrace{c_l (\hat{c}_l^\dagger)^1}_{(\hat{c}_l^\dagger)^0} \dots (\hat{c}_l^\dagger)^{n_l} |Vac\rangle \quad (15)$$

$$= (-1)^{n_l^<} |n_1, \dots, 0, \dots, n_l\rangle \quad (16)$$

To keep track of such signs, matrix representations in  $\mathbb{V}^{\otimes L} = \mathbb{V}_1 \otimes \mathbb{V}_2 \otimes \dots \otimes \mathbb{V}_L$  need extra 'sign counters', tracking fermion numbers:

$$\hat{c}_l^\dagger \doteq z_1 \otimes \dots \otimes z_{l-1} \otimes C_l^\dagger \otimes 1_{l+1} \otimes \dots \otimes 1_L =: z_l^< C_l^\dagger \quad (21)$$

$$\hat{c}_l \doteq z_1 \otimes \dots \otimes z_{l-1} \otimes C_l \otimes 1_{l+1} \otimes \dots \otimes 1_L =: z_l^< C_l \quad (22)$$

'Jordan-Wigner transformation'

with  $z_l^< := \prod_{\otimes l' < l} z_{l'}$  'Z-string' (23)

$$\otimes l' < l \quad \sim$$

Exercise: verify graphically that  $\hat{c}_{l'}^\dagger \hat{c}_l = - \hat{c}_l \hat{c}_{l'}^\dagger$  for  $l' > l$ ,

Solution:

$$\begin{array}{cccccccccccc} \hat{c}_{l'}^\dagger \hat{c}_l & \begin{array}{c} \uparrow \\ \downarrow \end{array} & \dots & \begin{array}{c} \uparrow \\ \downarrow \end{array} & \begin{array}{c} \uparrow \\ \downarrow \end{array} & \begin{array}{c} \uparrow \\ \downarrow \end{array} & \dots & \begin{array}{c} \uparrow \\ \downarrow \end{array} & \begin{array}{c} \uparrow \\ \downarrow \end{array} & \begin{array}{c} \uparrow \\ \downarrow \end{array} & \dots & \begin{array}{c} \uparrow \\ \downarrow \end{array} & \begin{array}{c} \uparrow \\ \downarrow \end{array} \\ \hat{c}_l^\dagger \hat{c}_{l'} & \begin{array}{c} \uparrow \\ \downarrow \end{array} & \dots & \begin{array}{c} \uparrow \\ \downarrow \end{array} & \begin{array}{c} \uparrow \\ \downarrow \end{array} & \begin{array}{c} \uparrow \\ \downarrow \end{array} & \dots & \begin{array}{c} \uparrow \\ \downarrow \end{array} & \begin{array}{c} \uparrow \\ \downarrow \end{array} & \begin{array}{c} \uparrow \\ \downarrow \end{array} & \dots & \begin{array}{c} \uparrow \\ \downarrow \end{array} & \begin{array}{c} \uparrow \\ \downarrow \end{array} \end{array} \quad (24)$$

$$= \begin{array}{cccccccccccc} \hat{c}_l^\dagger \hat{c}_{l'} & \begin{array}{c} \uparrow \\ \downarrow \end{array} & \dots & \begin{array}{c} \uparrow \\ \downarrow \end{array} & \begin{array}{c} \uparrow \\ \downarrow \end{array} & \begin{array}{c} \uparrow \\ \downarrow \end{array} & \dots & \begin{array}{c} \uparrow \\ \downarrow \end{array} & \begin{array}{c} \uparrow \\ \downarrow \end{array} & \begin{array}{c} \uparrow \\ \downarrow \end{array} & \dots & \begin{array}{c} \uparrow \\ \downarrow \end{array} & \begin{array}{c} \uparrow \\ \downarrow \end{array} \\ \hat{c}_{l'}^\dagger \hat{c}_l & \begin{array}{c} \uparrow \\ \downarrow \end{array} & \dots & \begin{array}{c} \uparrow \\ \downarrow \end{array} & \begin{array}{c} \uparrow \\ \downarrow \end{array} & \begin{array}{c} \uparrow \\ \downarrow \end{array} & \dots & \begin{array}{c} \uparrow \\ \downarrow \end{array} & \begin{array}{c} \uparrow \\ \downarrow \end{array} & \begin{array}{c} \uparrow \\ \downarrow \end{array} & \dots & \begin{array}{c} \uparrow \\ \downarrow \end{array} & \begin{array}{c} \uparrow \\ \downarrow \end{array} \end{array} \quad (25)$$

extra sign!

In bilinear combinations, all(!) of the  $\mathbb{Z}$ 's cancel. Example: hopping term,  $\hat{c}_l^\dagger \hat{c}_{l+1}$  :

$$\begin{array}{cccccccc} \hat{c}_{l+1}^\dagger \hat{c}_l & \begin{array}{c} \uparrow \\ \downarrow \end{array} & \dots & \begin{array}{c} \uparrow \\ \downarrow \end{array} & \begin{array}{c} \uparrow \\ \downarrow \end{array} & \begin{array}{c} \uparrow \\ \downarrow \end{array} & \dots & \begin{array}{c} \uparrow \\ \downarrow \end{array} \\ \hat{c}_l^\dagger \hat{c}_{l+1} & \begin{array}{c} \uparrow \\ \downarrow \end{array} & \dots & \begin{array}{c} \uparrow \\ \downarrow \end{array} & \begin{array}{c} \uparrow \\ \downarrow \end{array} & \begin{array}{c} \uparrow \\ \downarrow \end{array} & \dots & \begin{array}{c} \uparrow \\ \downarrow \end{array} \end{array} \quad (26)$$

$$= \begin{array}{cccccccc} \mathbb{1} & \dots & \mathbb{1} & \begin{array}{c} \uparrow \\ \downarrow \end{array} & \begin{array}{c} \uparrow \\ \downarrow \end{array} & \begin{array}{c} \uparrow \\ \downarrow \end{array} & \dots & \mathbb{1} \end{array} \quad (27)$$

since at sites  $l' < l$  we have  $\mathbb{Z}_{l'} \mathbb{Z}_{l'} = \mathbb{1}_{l'}$ ,  $\mathbb{Z}_l \mathbb{Z}_l = \mathbb{1}_l$ ,  $\mathbb{Z}_l \mathbb{Z}_{l'} = \mathbb{Z}_{l'}$ ,  $\mathbb{Z}_{l'} \mathbb{Z}_l = \mathbb{Z}_l$ ,  
 non-zero only when acting on  $|\dots, n_l = 0, \dots\rangle$ ,  
 and in this subspace,  $\mathbb{Z}_l = 1$

Conclusion:  $\hat{c}_l^\dagger \hat{c}_{l+1} = \hat{c}_l^\dagger \hat{c}_{l+1}$  and similarly,  $\hat{c}_{l+1}^\dagger \hat{c}_l = \hat{c}_{l+1}^\dagger \hat{c}_l$  (29)  
 [using (10b)]

Hence, the hopping terms end up looking as though fermions carry no signs at all.

For spinful fermions, this will be different.

Consider chain of spinful fermions. Site index:  $\ell = 1, \dots, L$ , spin index:  $s \in \{\uparrow, \downarrow\} := \{+, -\}$

$$\{\hat{c}_{\ell s}, \hat{c}_{\ell' s'}\} = 0, \quad \{\hat{c}_{\ell s}^\dagger, \hat{c}_{\ell' s'}^\dagger\} = 0, \quad \{\hat{c}_{\ell s}^\dagger, \hat{c}_{\ell' s'}\} = \delta_{\ell \ell'} \delta_{ss'} \quad (1)$$

Define canonical order for fully filled state:  $\hat{c}_{1\uparrow}^\dagger \hat{c}_{1\downarrow}^\dagger \hat{c}_{2\uparrow}^\dagger \hat{c}_{2\downarrow}^\dagger \dots \hat{c}_{L\uparrow}^\dagger \hat{c}_{L\downarrow}^\dagger |vac\rangle \quad (2)$

First consider a single site (dropping the index  $\ell$ ):

Hilbert space:  $= \text{span}\{|0\rangle, |\downarrow\rangle, |\uparrow\rangle, |\uparrow\downarrow\rangle\}$ , local index:  $\sigma \in \{0, \downarrow, \uparrow, \uparrow\downarrow\} \quad (3)$

constructed via:  $|0\rangle \equiv |vac\rangle, \quad |\downarrow\rangle \equiv \hat{c}_{\downarrow}^\dagger |0\rangle, \quad (4)$

$$|\uparrow\rangle \equiv \hat{c}_{\uparrow}^\dagger |0\rangle, \quad |\uparrow\downarrow\rangle \equiv \hat{c}_{\uparrow}^\dagger \hat{c}_{\downarrow}^\dagger |0\rangle = \hat{c}_{\uparrow}^\dagger |\downarrow\rangle = -\hat{c}_{\downarrow}^\dagger |\uparrow\rangle \quad (5)$$

To deal with minus signs, introduce  $\hat{z}_s := (-1)^{\hat{n}_s} = \frac{1}{2}(1 - \hat{n}_s)$ ,  $s \in \{\uparrow, \downarrow\} \quad (6)$   
 $\hat{z}_s \hat{c}_s^\dagger \hat{c}_s$

We seek a matrix representation of  $\hat{c}_s^\dagger, \hat{c}_s, \hat{z}_s$  in direct product space  $\tilde{V} := V_\uparrow \otimes V_\downarrow$ .  $(7)$   
 (Matrices acting in this space will carry tildes.)

$$\hat{z}_\uparrow \doteq z_\uparrow \otimes 1_\downarrow = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \otimes \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & -1 & 0 \\ 0 & 0 & 0 & -1 \end{pmatrix} =: \tilde{z}_\uparrow \quad (8)$$

$$\hat{z}_\downarrow \doteq 1_\uparrow \otimes z_\downarrow = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \otimes \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & -1 \end{pmatrix} =: \tilde{z}_\downarrow \quad (9)$$

$$\hat{z}_\uparrow \hat{z}_\downarrow \doteq z_\uparrow \otimes z_\downarrow = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \otimes \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & -1 & 0 & 0 \\ 0 & 0 & -1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix} =: \tilde{z} \quad (10)$$

$$\hat{c}_\uparrow^\dagger \doteq c_\uparrow^\dagger \otimes 1 = \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix} \otimes \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} = \begin{pmatrix} 0 & 0 & 0 & 0 \\ 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{pmatrix} =: \tilde{c}_\uparrow^\dagger$$

$$\hat{c}_\uparrow \doteq c_\uparrow \otimes 1 = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix} \otimes \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} = \begin{pmatrix} 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{pmatrix} =: \tilde{c}_\uparrow \quad (11)$$

$$\hat{c}_\downarrow^\dagger \doteq z_\uparrow \otimes c_\downarrow^\dagger = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \otimes \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix} = \begin{pmatrix} 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{pmatrix} =: \tilde{c}_\downarrow^\dagger \quad (12)$$

$$\hat{c}_\downarrow \doteq z_\uparrow \otimes c_\downarrow = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \otimes \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix} = \begin{pmatrix} 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{pmatrix} =: \tilde{c}_\downarrow \quad (12)$$

$$\hat{C}_\downarrow \doteq z_\uparrow \otimes C_\downarrow = \begin{pmatrix} 1 & -1 \end{pmatrix} \otimes \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix} = \left( \begin{array}{c|c} 0 & 1 \\ \hline 0 & 0 \end{array} \right) =: \tilde{C}_\downarrow \quad (12)$$

The factors  $\tilde{Z}_s$  guarantee correct signs. For example  $\tilde{C}_\uparrow^\dagger \tilde{C}_\downarrow = -\tilde{C}_\downarrow \tilde{C}_\uparrow^\dagger$  :  
(fully analogous to MPS-II.1.17)

$$\begin{array}{c} \curvearrowright \\ \curvearrowleft \end{array} = \begin{array}{c} z_\uparrow \uparrow \\ C_\uparrow^\dagger \uparrow \end{array} \begin{array}{c} C_\downarrow \downarrow \\ 1 \downarrow \end{array} = \begin{array}{c} C_\uparrow^\dagger \uparrow \\ -z_\downarrow \downarrow \end{array} \begin{array}{c} 1 \downarrow \\ C_\downarrow \downarrow \end{array} = \begin{array}{c} \curvearrowleft \\ \curvearrowright \end{array} \quad (13)$$

Algebraic check:

$$\left( \begin{array}{c|c} 1 & -1 \\ \hline 1 & 1 \end{array} \right) \left( \begin{array}{c|c} 0 & 1 \\ \hline 0 & 0 \end{array} \right) = \left( \begin{array}{c|c} 0 & 1 \\ \hline 0 & 0 \end{array} \right), \quad \left( \begin{array}{c|c} 0 & 1 \\ \hline 0 & 0 \end{array} \right) \left( \begin{array}{c|c} 1 & -1 \\ \hline 1 & 1 \end{array} \right) = \left( \begin{array}{c|c} 0 & -1 \\ \hline 0 & 0 \end{array} \right) \quad (14)$$

Remark: for spinful fermions (in contrast to spinless fermions, compare MPS-II.28), we have

$$\tilde{C}_s^\dagger \tilde{Z} \neq \tilde{C}_s^\dagger \quad \text{and} \quad \tilde{Z} \tilde{C}_s \neq \tilde{C}_s \quad (15)$$

For example, consider  $s = \uparrow$ ; action in  $\tilde{V} = V_\uparrow \otimes V_\downarrow$  :

$$\tilde{C}_\uparrow^\dagger \tilde{Z} = \begin{array}{c} z_\uparrow \uparrow \\ C_\uparrow^\dagger \uparrow \end{array} \begin{array}{c} z_\downarrow \downarrow \\ 1 \downarrow \end{array} = \begin{array}{c} C_\uparrow^\dagger \uparrow \\ z_\downarrow \downarrow \end{array} \neq \begin{array}{c} C_\uparrow^\dagger \uparrow \\ 1 \downarrow \end{array} = \tilde{C}_\uparrow^\dagger \quad (16)$$

Now consider a chain of spinful fermions (analogous to spinless case, with  $\tilde{V}_\ell$  instead of  $V_\ell$ ).

Each  $\hat{C}_{\ell s}$  or  $\hat{C}_{\ell s}^\dagger$  must produce sign change when moved past any  $\hat{C}_{\ell' s}$  or  $\hat{C}_{\ell' s}^\dagger$  with  $\ell' > \ell$ .

So, define the following matrix representations in  $\tilde{V}^{\otimes L} = \tilde{V}_1 \otimes \tilde{V}_2 \otimes \dots \otimes \tilde{V}_L$  :

$$\hat{C}_\ell^\dagger \doteq \tilde{Z}_1 \otimes \dots \otimes \tilde{Z}_{\ell-1} \otimes \tilde{C}_\ell^\dagger \otimes 1_{\ell+1} \otimes \dots \quad 1_\ell \doteq \tilde{Z}_\ell^\dagger \tilde{C}_\ell^\dagger \quad (17)$$

$$\hat{C}_\ell \doteq \tilde{Z}_1 \otimes \dots \otimes \tilde{Z}_{\ell-1} \otimes \tilde{C}_\ell \otimes 1_{\ell+1} \otimes \dots \quad 1_\ell \doteq \tilde{Z}_\ell^\dagger \tilde{C}_\ell \quad (18)$$

'Jordan-Wigner transformation'

$$\text{with } \tilde{Z}_\ell^\dagger \doteq \prod_{\otimes \ell' < \ell} \tilde{Z}_{\ell'} = \prod_{\otimes \ell' < \ell} z_{\uparrow \ell'} \otimes z_{\downarrow \ell'} \quad \text{'Z-string'} \quad (19)$$

In bilinear combinations, most (but not all!) of the  $\tilde{Z}$  's cancel.

Example: hopping term  $\hat{C}_{\ell s}^\dagger \hat{C}_{\ell-1 s}$  : (sum over s implied)

$$\begin{array}{ccccccc} \ell & \ell-1 & \ell-2 & \ell-3 & \ell-4 & \ell-5 & \ell-6 \end{array}$$



