# Tensor Renormalization Group (TRG) and related schemes (VUMPS, CTM, FCTM)

Goal: Compute 2D contractions by coarse-graining RG schemes (instead of transfer matrix schemes)

Applications:

Partition functions of 2D classical models:



Imaginary time evolution of 1D quantum models:

[Levin2007] Levin, Nave: proposed original idea for TRG for classical lattice models. Local approach: truncation error is minimized only locally.

[Jiang2008] Jiang, Weng, Xiang: adapted Levin-Nave idea to 2D quantum ground state projection via imaginary time evolution. Local approach: truncation is done via 'simple update'. TRG is used to compute expectation values.

[Xie2009] Jiang, Chen, Weng, Xiang; and [Zhao2010] Zhao, Xie, Chen, Wei, Cai, Xiang: Propose 'second renormalization' (SRG), a global approach taking account renormalization of environmental tensor ('full update'). Reduced truncation error significantly.

[Xie2012] Xie, Qin, Zhu, Yang, Xiang: different coarse-graining scheme, using higher-order SVD, employing both local and global optimization schemes.

[Zhao2016] Zhao, Xie, Xiang, Imada: coarse-graining on finite lattices.

[Evenbly2019] Lan, Evenbly: propose core tensor renormalization group (CTRG), which rescales lattice size linearly (not exponentially), but at much lower cost,  $\mathcal{O}(\chi^{\flat})$  (rather than  $\mathcal{O}(\chi^{\flat})$ ).

#### 1. Tensor renormalization group (TRG)

following [Hauru2018]

Spin Hamiltonian:

Classical partition

function:

$$H(\{\sigma\}) = \sum_{\langle i,j \rangle} h(\sigma_i, \sigma_j)$$

$$Z = \sum_{\{\sigma\}} e^{-\beta H(\{\sigma\})} = \sum_{\{\sigma\}} \bigotimes_{\langle i,j \rangle} W_{\sigma_i \sigma_j}$$

$$(W_{\text{tr}}, W_{\text{tr}}) = (e^{\beta}, e^{-\beta})$$

Bond weights:

$$W_{\sigma_i\sigma_j} = e^{-\beta h(\sigma_i,\sigma_j)} \mathrel{\bullet} \begin{pmatrix} W_{\uparrow\uparrow} & W_{\uparrow\downarrow} \\ W_{\downarrow\uparrow} & W_{\downarrow\downarrow} \end{pmatrix} = \begin{pmatrix} e^\beta & e^{-\beta} \\ e^{-\beta} & e^\beta \end{pmatrix} =: \underbrace{W}_{\varsigma_i} \mathrel{\bullet}$$



Technical challenge: contract this infinite tensor network!

TRG-I.1



TRG has issues: does not fully remove local loop correlations (see [Hauru2018]) computing 'environment' of given site involve tracking all layers of the iteration scheme

## 2. 2D contractions via Variational Uniform Matrix Product States (VUMPS)

Goal: contract  $M \times M$  tensor network (for given T); ultimate take  $N \times M \rightarrow \infty \times \infty$ 

Partition function:



TRG-I.2

(4)

(5)

(6)

[Fishman2018]

Fixed-point condition (3) implies:

Similarly:



So contraction of infinite tensor network has been reduced to self-consistent solution of four equations!

(6,7,8) have the same structure as when finding ground state of infinite uniform system.

So, solution strategy developed for 'variational uniform matrix product states' (VUMPS) applies:

Repeat following three steps until convergence [with A, C,  $\Lambda$ ,  $\beta$  from previous iteration as input]:

(i): Compute left and right 'environments':

Given  $\top$ , (6,7,8) are to be solved for



- (ii) Solve for central tensor and bond tensor:
- (7) contracted with ...

and expressed through environmental tensors, implies:

(8), contracted with.

and expressed through environmental tensors, implies:

At or near fixed point:  $\lambda_c \approx \lambda_{\Lambda} \kappa_{L} \approx \lambda_{\Lambda} \kappa_{R}$ 

[each T gives a factor 🦟 ] (9)

[find dominant left or right eigenvectors]







[this follows by contracting (11) with d or h ]



(iii) From 
$$\begin{array}{c} & & & \\ & & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & & \\ & & \\ & & & \\ & &$$

Repeat (i), (ii), (iii) until convergence, measured, e.g., by change in singular values of  $\Lambda$  .

There may be alternative schemes for finding optimal isometries  $\frac{A}{V}$  and  $\frac{B}{V}$  that satisfy (13), see 'Riemannian optimization', see [Hauru2021], [Li2023]. these papers discuss how to optimize a cost function w.r.t. a tensor satisfying an isometry condition. Here, the cost functions would be

$$\left\| -\frac{c}{Q} - \frac{A}{Q} - \frac{B}{Q} \right\|^{2} \quad \text{and} \quad \left\| -\frac{c}{Q} - \frac{A}{V} - \frac{A}{V} \right\|^{2} \quad (17)$$

and the isometry conditions are Eqs. (5).



'divide out four A's and eight C's



Ansatz: express infinite 2D network through finite number of tensors: (assume reflection symmetry)

#### Enforce translational symmetry on <u>boundary MPS</u> built from A s:



To this end, impose the 'pulling through' gauge condition:



FPCM imposes this gauge via fixed point conditions, by iterating the following two steps until convergence: i.e. until A' = A, C' = C

(i) Given  $\mathcal{A}$ , find isometry  $\mathcal{U}'$  and (symmetric) C' approximately satisfying 'pulling through' gauge condition:



(ii) Use A and new U' to find new A' by solving the following fixed-point equation [with Arnoldi method]:

(It may be necessary to (ro) symmetrize A' by hand.)

Details for step (i):

(i.a) Compute initial  $C_{\bullet}^{\dagger}$  as dominant (normalized) eigenvector of  $A^{\dagger}A$ : As eigenvector of a transfer matrix, this  $C_{\bullet}^{2}$  is positive and Hermitian (up to numerical errors). Obtain  $C_{\bullet}$  as its square root (e.g. via an eigendecomposition). However, this  $C_{\bullet}$  is not yet properly gauged.

(i.b) Obtain  $\mathcal{U}_{\circ}$  through polar decomposition of  $\mathcal{C}_{\circ} \mathcal{A} = \mathcal{U}_{\circ} \mathcal{C}_{\circ}'$ : Pulling-through condition (1) would hold if  $\mathcal{C}_{\circ}'$  were equal to  $\mathcal{C}_{\circ}$ . Conversely,  $\|\mathcal{C}_{\circ} - \mathcal{C}_{\circ}'\|$  quantifies the degree of violation of Eq. (6).



Obtain  $C_i$  and  $U_i$  from A and  $U_{i-1}$  by iterating following two steps until convergence (starting from i=1):

(i.c) Obtain  $\tilde{C}_{i}$  as dominant (normalized) eigenvector of 'mixed transfer matrix'  $\mathcal{U}_{i-1}^{\downarrow} \mathcal{A}$  [cf. Eq. (9)],





Goal: compute partition function of 2D classical model.

Strategy: Express partition function as 2D tensor network, contract it by coarse-graining procedure.

2D classical Ising model on honeycomb lattice [Zhao2010, Sec. II.B] Example unit cell contains two sites, labeled  $\mathbf{A}$  ,  $\mathbf{L}$ Honeycomb lattice is bipartite: three bond directions: x, y, z $H = -\sum_{\langle \ell \ell' \rangle} \leq \epsilon_{\ell'} , \qquad \leq \epsilon_{\ell'} \quad \text{Ising variable}$ Hamiltonian: (1)nearest neighbors, with  $\ell \in \alpha$ ,  $\ell' \in \mathbf{A}$ Partition function:  $2 = \sum_{\substack{\substack{\ell \\ \ell \\ \ell \end{pmatrix}}} e^{-\beta H} = \sum_{\substack{\substack{\substack{\ell \\ \ell \\ \ell \end{pmatrix}}}} T e^{\beta \delta e^{\delta \ell} e^{\delta \ell}} = \sum_{\substack{\substack{\substack{\ell \\ \ell \\ \ell \end{pmatrix}}}} T e^{\beta \delta e^{\delta \ell} e^{\delta \ell}} = \sum_{\substack{\substack{\substack{\substack{\ell \\ \ell \\ \ell \end{pmatrix}}}} T e^{\delta \ell \ell} e^{\delta \ell \ell}$ 'Factorize' the dependence on  $\delta_{\ell}$  and  $\delta_{\ell'}$  by performing an SVD: (2) $\begin{array}{c} \Theta_{\boldsymbol{\ell}\boldsymbol{\ell}'} &=& \sum_{\boldsymbol{\alpha} \in I, \mathbb{Z}} & \underbrace{\mathcal{M}_{\boldsymbol{\sigma}_{\boldsymbol{\ell}}\boldsymbol{\alpha}} & (\boldsymbol{s}_{\boldsymbol{\alpha}})^{1/2} (\boldsymbol{s}_{\boldsymbol{\alpha}})^{1/2} & \underbrace{\mathcal{V}_{\boldsymbol{\alpha} \boldsymbol{\sigma}_{\boldsymbol{\ell}'}}^{\dagger}}_{:= & Q_{\boldsymbol{\sigma}_{\boldsymbol{\ell}}\boldsymbol{\alpha}}^{q} & \underbrace{\mathcal{Q}_{\boldsymbol{\sigma}_{\boldsymbol{\ell}}\boldsymbol{\alpha}}^{b}}_{\boldsymbol{\sigma}_{\boldsymbol{\ell}}\boldsymbol{\alpha}} \end{array}$ classical model: no need to distinguish upper/lower indices (3)  $(2 \times 2)$ (2×2) matrices

Advantage of this representation: spin dependence has been factorized.

Price to pay: additional 2-dimensional bond index,  $\checkmark \in \{1, 2\}$  has been introduced.

Group all Q's connected to site  $\ell$  on a -lattice, and sum over  $\delta_{\ell}$ , for given  $\star, \eta, z \in \{1, 2\}$ 

$$T^{a}_{[l]xyz} = \sum_{\sigma_{p}} Q^{a}_{\delta_{ex}} Q^{q}_{\delta_{ey}} Q^{a}_{\delta_{ez}}$$

Ditto for site  $\ell'$  on  $\frac{1}{2}$  -lattice, sum over  $\epsilon'$  :

$$T_{[e']xyt}^{b} = \sum_{\sigma_{e'}} Q_{\sigma_{e'x}}^{b} Q_{\sigma_{e'y}}^{b} Q_{\sigma_{e't}}^{b}$$

(4)

×



# Goal: compute ground state of 2D quantum lattice model

Strategy: iterative projection via  $e^{-\frac{H\tau}{\tau}}$ , compress by 'simple update'; compute  $\langle \psi | \psi \rangle$  and  $\langle \psi | \hat{\sigma} | \psi \rangle$  using TRG of Levin & Nave.



in mean-field fashion. Without including these  $\lambda$  factors in definition of S, procedure does not converge.

TRG-I.5

- Similarly update y and z bonds. This concludes one iteration.
- Iterate simple update many times.
- Start with  $\tau \sim c^{-3}$  , gradually reduce it to  $\tau \sim$
- Number of iterations needed until convergence: 10<sup>5</sup> 10

 $\langle \psi | \psi \rangle$  is a double-layer tensor network.

Use TRG (á la Levin & Nave) to contract bond indices of double-layer network:







Start with a finite system, and iterate until only six sites are left; then trace out final bond indices.



TABLE II. Comparison of our results with those obtained by other approaches for the ground state energy per site E and the staggered magnetization M of the Heisenberg model with h = 0.

Method	E	М
Spin wave [12]	-0.5489	0.24
Series expansion [13]	-0.5443	0.27
Monte Carlo [14]	-0.5450	0.22
Ours $D = 8$	-0.5506	$0.21 \pm 0.01$

FIG. 5 (color online). The staggered magnetization M(h) as a function of the staggered magnetic field, at different D.

### <u>6. Second renormalization (SRG) of tensor network</u> <u>states (optional)</u>

#### [Xie2009], more details: [Zhao2010]

Goal: include influence of environment when doing update

'global optimization', 'full update'.

Two applications: (i) partition function of classical 2D models (ii) 2D quantum ground states

(i) Classical tensor network model (i) Classical tensor network model  $\begin{aligned}
\mathcal{E} &= \prod_{i} \prod_{\substack{l \in a, l' \in b}} \prod_$ 

SVD minimizes truncation error for rewiring M. However, we should minimize truncation error of Z.



TRG-I.6



- Take  $\mathbf{E} \simeq \sqrt{\Lambda_{i}^{*} \Lambda_{j}^{*} \Lambda_{k}^{*} \Lambda_{k}^{*}}$ - Compute  $\widetilde{M}$ , then do SVD:  $\widetilde{M} = \widetilde{\mathcal{U}} \widetilde{\Lambda} \widetilde{\mathcal{V}}^{\dagger}$ new bond vector - Use new  $\Lambda = \widetilde{\Lambda}$  to recalculate  $\mathbf{E}$ ,  $\widetilde{M}$ ,  $\widetilde{\Lambda}$ , etc.
- Iterate until convergence (typically 2 to 3 iterations suffice; near critical point, more are needed).

#### (b) Computing environment tensor E using finite lattices







FIG. 10. (Color online) Relative errors of the free energy for the Ising model on a triangular lattice obtained by considering the second renormalization effect from four finite environment lattices which contains 4, 8, 14, and 22 sites, respectively. The configurations of these environments are shown in Fig. 9. The TRG result is also shown for comparison.

Including even just a few environmental sites already leads to big improvements!





#### Results for SRG (2nd renormalization) for classical 2D system

Ising model on triangular lattice:



FIG. 12. (Color online) Comparison of the relative error of the free energy for the Ising model on triangular lattices obtained using TRG (red), the mean-field approximated SRG (blue), and the SRG (black) methods with  $D_{cut}$ =24, respectively. The critical temperature is  $T_c$ =4/ln 3.

critical state is hardest to simulate



FIG. 13. (Color online) The relative error of the free energy as a function of the truncation dimension  $D_{cut}$  for the Ising model on triangular lattices obtained using the TRG (black) and SRG (blue), respectively. T=3.2.

#### error drops with increasing D much more quickly for SRG than TRG

#### Results for SRG (2nd renormalization) for guantum ground state search

Optimize by imaginary time evolution; contractions performed using SRG.

Compute expectation values such as

 $\langle \psi | \psi \rangle \langle \psi | \hat{o} | \psi \rangle$  using SRG, too.

[Xie2009] : Heisenberg on honeycomb

[Zhao2010]



FIG. 5 (color online). (a) The ground state energy per site  $E_0$  and (b) the staggered magnetization  $M_{\text{stag}}$  as functions of the bond degrees of freedom D on honeycomb lattices.



FIG. 19. (Color online) The SRG result of the ground-state energy as a function of the truncation dimension  $D_{cut}$  for the Heisenberg model on a honeycomb lattice. *D* is the bond dimension of the wave function.

Energy does not decrease with D\_cut, because imaginary time-evolution / SRG is not variational!



FIG. 20. The ground-state energy of the Heisenberg model on a honeycomb lattice as a function of the bond dimension D obtained by the SRG with  $D_{cut}$ =130.



TRG-I.7

Goal: reduce computational cost of TRG from  $(\mathcal{Y}(\chi^6))$  to  $(\mathcal{Y}^4)$ Strategy: shrink lattice linearly rather than exponentially with each coarse-graining step.



FIG. 1. A depiction of the CTRG iteration, which maps an  $L \times L$  lattice of tensors to an  $(L-1) \times (L-1)$  lattice. (a) The initial network is everywhere composed of copies of the bulk tensor  $A_0$ , except for a single 'core' row and column containing tensors  $\{A_c, A_h, A_v\}$  as indicated. (b) An adjacent row and column of the network has been contracted into the core row/column, thus growing the index dimension of the core tensors. (c) The indices of the core tensors are truncated to dimension  $\chi$ , as to obtain new core tensors  $\{A'_c, A'_h, A'_v\}$ .



FIG. 3. (a) The projector  $P_h \equiv Y_h Y_h^{\dagger}$  should be chosen to (approximately) leave invariant the network F, which is the network formed from the central tensors of the initial lattice n Fig. 2(a). The optimal isometry  $Y_h$  is formed by taking the eigenvalue decomposition (ED) of  $FF^{\dagger}$ , when F is viewed as a matrix between its left two and remaining indices, and runcating to retain only the  $\chi$  dominant eigenvectors. (b) The optimal isometry  $Y_v$  is obtained from the ED of  $FF^{\dagger}$ , when F is viewed as a matrix between its bottom two and remaining indices. (c) The optimal isometry  $Y_c$  is obtained from the ED of  $MM^{\dagger}$ , when M is half of the F network.



FIG. 2. At iteration of the CTRG algorithm. (a) The initial square lattice network is homogeneous except for a core row/column which contains core tensors  $\{A_v, A_h, C_l, C_r\}$  and a diagonal line through the core along in which the bulk tensors have been decomposed into products of 3-index tensors. (b) Pairs of isometries  $\{Y_v, Y_h, Y_c\}$  and their conjugates have been inserted into the core row/column of the network. (c) Isometries are contracted with their neighboring tensors, effectively absorbing a bulk row/column into the core row/column, as to produce new core tensors  $\{A'_v, A'_h, C'_l, C'_r\}$ . (d) Definitions of the new core tensors.

$$M = USV^{\dagger} = usv^{\dagger} \implies MM^{\dagger} = US^{\prime}U^{\prime}$$

$$uu^{\dagger}M = uu^{\dagger}USV^{\dagger} = usv^{\dagger}$$



FIG. 5. (a) A comparison of the accuracy of the free energy density produced by TRG and CTRG for the Ising model on an infinite strip of width L = 128 sites at critical temperature. Both methods produce comparable accuracy for the same bond dimension  $\chi$ , with TRG giving only slightly more accurate energies. (b) Comparison between TRG and CTRG for accuracy of the free energy density as a function of temperature with fixed bond dimension  $\chi = 30$ .