

Bosonization for Beginners

Lectures given by Jan von Delft

School on Low Dimensional Nanoscopic Systems

Harish-chandra research Institute

Allahabad, India

Jan 28 - Feb 9, 2008

Jan von Delft

Ludwig-Maximilians-Universität München

www.theorie.physik.uni-muenchen.de/~lsvondelft

Internships - Summer Research Program

www.nano-initiative-munich.de/summer

Masters programme in Theoretical and Mathematical Physics

www.theorie.physik.uni-muenchen.de/TMP

International Doctorate Program NanoBioTechnology

www.cens.de/doctorate-program.html

1

In 1D, "bosonization relations" of the following form hold:

$$\psi \sim F e^{-i\phi}$$

↖ fermion field
↖ Klein factor
↖ boson field

Goal of lectures:

- explain origin of these relations
- illustrate them with some canonical examples

Outline:

- I. 1D-fermions, 1D-bosons
- II. Bosonization identity
- III. Impurity in Luttinger Liquid
- IV. Kondo model

Literature:

- *Bosonization for Beginners - refermionization for experts*, Jan von Delft & Herbert Schoeller, *Ann. Physics* 7, 225-306 (1998), cond-mat/9805275
- *Simple Bosonization Solution of the 2-channel Kondo Model: I. Analytical Calculation of Finite-Size Crossover Spectrum*, Gergely Zarand and Jan von Delft, *Phys. Rev. B* 61, 6918 (2000) [including appendices: cond-mat/9812192]
- *Interacting fermions in one dimension: The Tomonaga-Luttinger model* K. Schönhammer, cond-mat/9710330

Popular applications

(pioneered by: Luttinger, Schotte & Schotte, Mattis & Lieb, Luther & Peschel, Haldane applications: Kane & Fisher, Wen, Shankar...)

2

1. Interactions in 1D

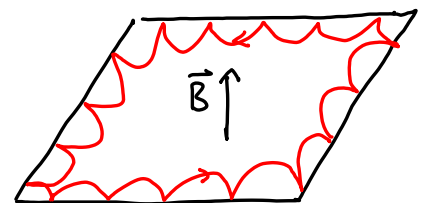
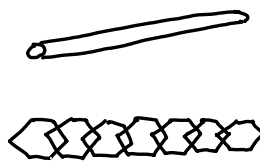
Since fermions in 1D cannot pass each other, interactions are "strong" and dramatically change the physics (e.g. spin-charge separation)

Applications:

nanotubes

organic molecules

semiconductor quantum wires



quantum Hall edge states

Interactions in 1D:

$$\int dx \psi^\dagger \psi \psi^\dagger \psi \sim \int dx (\partial_x \phi)^2$$

Kinetic energy:

$$\int dx \psi^\dagger \partial_x \psi$$

$$\sim \int dx (\partial_x \phi)^2 \quad \leftarrow \text{QUADRATIC!}$$

Interacting model becomes exactly solvable!

2. Impurity models (Kondo):

(Emery & Kivelson, '92)

3



Spin-flip term:

$$S^+ \psi_{\downarrow}^{\dagger}(0) \psi_{\uparrow}(0) + S^- \psi_{\uparrow}^{\dagger}(0) \psi_{\downarrow}(0)$$

Bosonize:

$$\psi_{\sigma} \sim e^{-i\phi_{\sigma}}$$

$$\sim S^+ e^{i(\phi_{\downarrow} - \phi_{\uparrow})} + S^- e^{i(\phi_{\uparrow} - \phi_{\downarrow})}$$

Warning:
I'm being
sloppy here...
See lecture 4

New boson field:

$$\sim S^+ \underbrace{e^{-i\phi_s}}_{\psi_s} + S^- \underbrace{e^{i\phi_s}}_{\psi_s^{\dagger}}$$

Refermionize:

$$d^{\dagger} \psi_s + d \psi_s^{\dagger} \leftarrow \text{QUADRATIC !!}$$

Heuristic plausibility argument for bosonization relation

4

How can it be true that:

$$\psi \sim e^{-i\phi} \quad ? \quad (1)$$

For 1-D bosons, with linear dispersion:

$$\langle \phi(x) \phi(0) \rangle \sim -\ln x \quad (2)$$

For 1-D fermions, with linear dispersion:

$$\langle \psi^{\dagger}(x) \psi(0) \rangle \sim \frac{1}{x} \quad (3)$$

or, using (1):

$$\sim \langle e^{i\phi(x)} e^{-i\phi(0)} \rangle \quad (4)$$

standard identity for bosonic operators:

$$\sim e^{\langle \phi(x) \phi(0) - \phi(0) \phi(0) \rangle} \quad (5)$$

using (2):

$$\sim e^{-\ln x} \sim \frac{1}{x} = (3) \checkmark \quad (6)$$

Questions:

$$\psi_\sigma \sim F_\sigma e^{-i\phi_\sigma} \quad (\sigma = \uparrow, \downarrow) \quad (i)$$

5

How general is (5.1)?

only in 1D, infinite bandwidth

Does (5.1) rely on linear dispersion? NO!

Is (5.1) an operator identity? YES!

On what Fock space?

Commutation relations?

$$[\phi(x), \partial_x \phi(0)] = \delta(x) \iff \{\psi(x), \psi^\dagger(0)\} = \delta(x)$$

Several species of electrons?

$$\{\psi_\uparrow(x), \psi_\downarrow^\dagger(0)\} = 0 \iff \{F_\downarrow, F_\uparrow^\dagger\} = 0$$

Klein factors!

Role of cut-offs?

Infrared: $\frac{1}{L}$

Ultraviolet: $\Lambda \sim \frac{1}{a}$

Finite-size effects?

$\frac{1}{L} \neq 0$

Useful !!

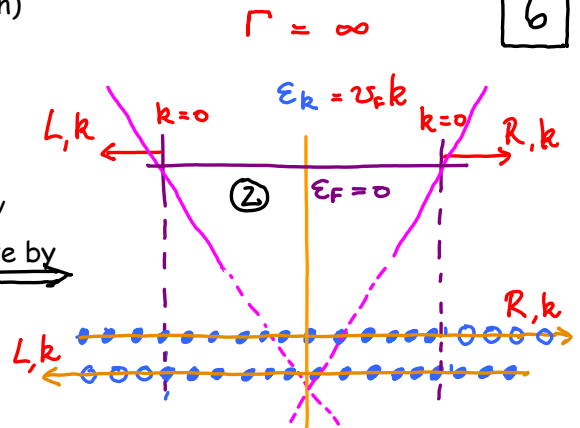
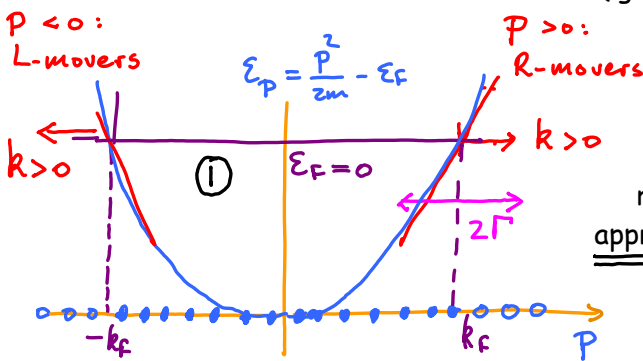
Outline of lecture I: 1-D fermions & bosons

1. Linearization of fermion spectrum
2. Properties of 1d fermion fields
3. 1D fermion correlators
4. Normal ordering
5. Density fluctuations - bosonic excitations
6. Properties of 1d boson

I.1 Linearization of fermion spectrum

(ignore spin)

6



replace/ approximate by

$$k = |p| - k_F, \text{ or } p = \pm(k_F + k) \text{ for R/L}$$

add positron states with $k \rightarrow -\infty$ for L/R-branches

① For $|k| \ll p_F \approx p_F$, linearization is justified:

$$\begin{aligned} \epsilon_p &= \frac{|p|^2 - p_F^2}{2m} = \frac{p_F^2 + 2p_F k + k^2 - p_F^2}{2m} = v_F k \left(1 + \frac{k}{2p_F}\right) \quad (1) \\ &\approx v_F k \quad \text{if } |k| < p_F \end{aligned}$$

curvature-effect

(2)

Neglected terms [order (k/k_F)] describe curvature effects: current research topic!
 Fermi-Luttinger liquid: Spectral function of interacting one-dimensional fermions, Khodas, Pustilnik, Kamenev, Glazman, PRB, 76, 155402 (2007)

Replacing (6.1) by (6.2) is justified if we are interested only in long-wavelength / low/energy

properties, with $|q| \ll \Gamma$ anyway, i.e. in excitation energies ω, T, V

In this case, we may as well send cutoff $\Gamma \rightarrow \infty$, and replace theory ① \rightarrow ②

Corresponding approximation for electron fields, step by step:

$$\psi_{\text{phys}}(x) = \Delta_L^{-1/2} \sum_p e^{ipx} c_p = \Delta_L^{-1/2} \sum_{k=-k_F}^{\infty} \left(e^{-i(p_F+k)x} c_{-p_F-k} + e^{+i(p_F+k)x} c_{+p_F+k} \right) \quad (1)$$

$\Delta_L = \left(\frac{2\pi}{L}\right)$ $\text{with } p = \pm(k_F+k)$
 $\hookrightarrow \approx \left[\sum_{|k| < \Gamma} c_{k,L} + \sum_{|k| > \Gamma \text{ and } k > -k_F} c_{k,R} \right]$
 (A) (B)

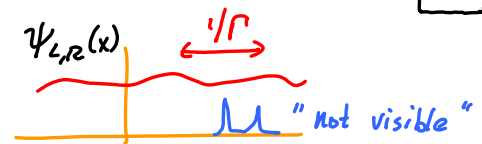
Drop high-energy excitations, assuming they don't matter for low-energy properties:

Step 1: drop B $\Rightarrow \psi_{\text{phys}}(x) \approx e^{-ip_F x} \psi_L(x) + e^{ip_F x} \psi_R(-x) \quad (2)$

with $\psi_{L,R}(x) := \Delta_L^{-1/2} \sum_{|k| < \Gamma} e^{-ikx} c_{k,L/R} \quad (3)$

I.2 Properties of 1d fermion fields

Cutoff means: new fields $\psi_{L/R}(x)$ can resolve spatial structures only if they are coarser than $1/\Gamma$;

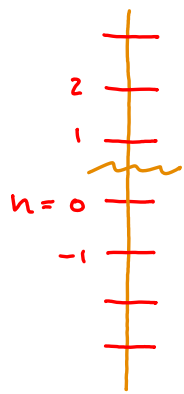


Step 2: to get a mathematically simpler, cleaner theory, now take cutoff to infinity, i.e. add "positron states" (since they did not matter for low excitation energies anyway):

$$\sum_{|k| < \Gamma \approx a} \rightarrow \lim_{a \rightarrow \infty} \sum_{k=-\infty}^{\infty} e^{-kLa} \quad \text{(implicit)} \quad \sum'_k$$

So, write: $\eta = L, R$

$$\psi_{\eta}(x) = \Delta_L^{-1/2} \sum'_k e^{-ikx} c_{k\eta} \quad (1)$$



Impose anti-periodic boundary conditions: (convenient to avoid degeneracy of Fermi ground state)

$$\psi_{\eta}(-L/2) = \psi_{\eta}(L/2) \Rightarrow k = \frac{2\pi}{L} \left(n - \frac{1}{2} \right) \quad (2)$$

Anticommutators: $\{c_{k\eta}, c_{k'\eta'}\} = 0, \{c_{k\eta}, c_{k'\eta'}^\dagger\} = \delta_{kk'} \delta_{\eta\eta'}$ (1) 9

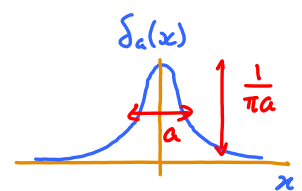
$\{\psi_\eta(x), \psi_{\eta'}(x')\} = 0$ (2)

$\{\psi_\eta(x), \psi_{\eta'}^\dagger(x')\} \stackrel{(3.1)}{=} \Delta_L \sum_{kk'} \sum_{\eta\eta'} e^{-ixk} e^{ix'k'} \delta_{kk'} \delta_{\eta\eta'} \{c_{k\eta}, c_{k'\eta'}^\dagger\}$ (3)

$= \delta_{\eta\eta'} \Delta_L \sum_k e^{-ik(x-x')} e^{-|k|a}$ (4)

Continuum limit:
(finite bandwidth)

$\xrightarrow{L \rightarrow \infty} \int dk = \delta_{\eta\eta'} 2\pi \frac{a/\pi}{(x-x')^2 + a^2}$ (5)



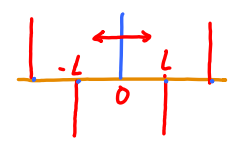
smeared delta-function

convention of vDS
(usually)

$= \delta_{\eta\eta'} 2\pi \delta_a(x-x')$

Or:

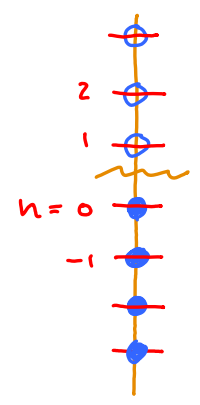
infinite bandwidth $\{\psi_\eta(x), \psi_{\eta'}^\dagger(x')\} = \delta_{\eta\eta'} \Delta_L \sum_{n \in \mathbb{Z}} e^{-i(n-\gamma/2)(x-x')\Delta_L}$ (6)



antiperiodic delta-function

Linearized kinetic energy: $H = \sum_{k\eta} \stackrel{(6.2)}{v_F k} c_{k\eta}^\dagger c_{k\eta}$ (1) 10

Fermi ground state: $\begin{cases} k < 0 \text{ filled} : c_{k\eta}^\dagger |0\rangle = 0 \\ k > 0 \text{ empty} : c_{k\eta} |0\rangle = 0 \end{cases}$ (2)



Imaginary-time evolution:

$c_{k\eta}(\tau) := e^{H\tau/\hbar} c_{k\eta} e^{-H\tau/\hbar}$ (3)

$= e^{-\underbrace{(v_F/\hbar)k\tau}_{\equiv 1}} c_{k\eta} = e^{-k\tau} c_{k\eta}$ (4)

[If we ever need real-time evolution: $c_k(t) = c_k(\tau \rightarrow it) = e^{-ikt} c_k$ ✓ (5)]

Fermion field: $\psi_\eta(\tau, x) = \Delta_L^{1/2} \sum_k e^{-k(\underbrace{ix+\tau}_{\equiv z})} c_{k\eta} = \Delta_L^{1/2} \sum_k e^{-kz} c_{k\eta} = \psi(z)$ (6)

I.3 Imaginary-time-ordered fermion correlator at T = 0

$$\psi_\eta(z) = \Delta_L^{(0,6)/2} \sum_k e^{-kz} c_k \quad \boxed{11}$$

$$-S_{\eta\eta'}(z) = \langle T \psi_\eta(z) \psi_{\eta'}^\dagger(0) \rangle \quad (1)$$

$$= \Theta(\tau) \langle \psi_\eta(z) \psi_{\eta'}^\dagger(0) \rangle - \Theta(-\tau) \langle \psi_{\eta'}^\dagger(0) \psi_\eta(\tau) \rangle \quad (2)$$

$$= \Delta_L \sum_{kk'} e^{-kz} \left[\Theta(\tau) \langle 0 | c_{k\eta} c_{k'\eta'}^\dagger | 0 \rangle - \Theta(-\tau) \langle 0 | c_{k'\eta'}^\dagger c_{k\eta} | 0 \rangle \right] \quad (3)$$

$\delta_{kk'} \delta_{\eta\eta'} \Theta(k')$ $\delta_{k'k} \delta_{\eta'\eta} \Theta(-k)$

$$= \delta_{\eta\eta'} \Delta_L \sum_{k>0} e^{-kz} e^{-ka} \cdot \sigma \quad [\sigma = \text{sign}(\tau)] \quad (4)$$

$\int_0^\infty dk$

$$\xrightarrow{L \rightarrow \infty} \delta_{\eta\eta'} \sigma \left[\frac{e^{-z\sigma - a}}{-z\sigma - a} \right]_0^\infty = \delta_{\eta\eta'} \frac{1}{z + \sigma a} \quad (5)$$

a regularizes the correlator for z = 0

For finite L one finds, using $k = \Delta_L(n + 1/2)$, $y := e^{-\Delta_L(\sigma z + a)}$:

$$-S_{\eta\eta}(z) = \Delta_L \sigma y^{-\sigma/2} \sum_{n=0}^\infty y^n = \Delta_L \sigma \frac{y^{-(\sigma+1)/2}}{y^{-1/2} - y^{1/2}} = \frac{\Delta_L \sigma e^{\pi(\sigma+1)/L}}{\pi \sinh[\frac{\pi}{L}(z + \sigma a)]} \quad (6)$$

I.4 Fermion normal ordering

$$A, B, C \in \{c_{k\eta}, c_{k'\eta'}^\dagger\}$$

$$\left\{ \begin{array}{l} c_{k\eta}, \text{ for } k > 0 \\ c_{k'\eta'}^\dagger, \text{ for } k < 0 \end{array} \right\} \quad \left\{ \begin{array}{l} c_{k\eta}, \text{ for } k < 0 \\ c_{k'\eta'}^\dagger, \text{ for } k > 0 \end{array} \right\} \quad (1)$$

To bring "normal order" a product of operators, move all operators that annihilate the vacuum to the right of all others, and multiply by (-1) for each exchange of two fermion operators. (2)

For product of two operators, this is equivalent to:

$$\overset{x}{\times} A B \overset{x}{\times} = AB - \langle 0 | AB | 0 \rangle \quad (3)$$

Example: $k < 0, k' < 0$:

$$\overset{x}{\times} c_k^\dagger c_{k'} \overset{x}{\times} = -c_{k'}^\dagger c_k \quad (4)$$

$$\stackrel{(9.1)}{=} c_k^\dagger c_{k'} - \underbrace{\delta_{kk'}}_{\langle c_k^\dagger c_{k'} \rangle} = \checkmark \quad (5)$$

By definition, vacuum expectation value of two normal ordered operators vanishes:

$$\langle 0 | \overset{x}{\times} A B \overset{x}{\times} | 0 \rangle = 0 \quad (6)$$

(2 pi) density: $\rho(x) = \frac{x}{L} \psi^\dagger(x) \psi(x)$ (1)
 bilinear, hence bosonic in character!

$$= \Delta_L \sum_{k,q} e^{i(k-q)x} \sum_k c_{k-q}^\dagger c_{kq}$$
(2)

Fourier representation:
 i.t.o. density modes:

$$= \Delta_L \hat{N}_q + \Delta_L \sum_{q>0} i\sqrt{n_q} (e^{-iqx} b_{q\eta} - e^{iqx} b_{q\eta}^\dagger)$$
(3)

where we defined: $[q = \Delta_L n_q, n_q \in \mathbb{Z}]$

Particle number relative to Fermi ground state:

$$\hat{N}_q = \sum_k c_{kq}^\dagger c_{kq}$$
(4) [the q = 0 term of (2)]

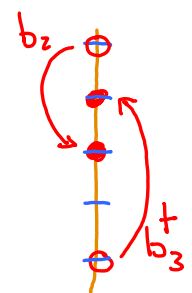
Momentum lowering op:
 (Bosonic annihilation op)

$$b_{q\eta} = \frac{-i}{\sqrt{n_q}} \sum_k c_{k-q,\eta}^\dagger c_{k\eta}$$
(5)

$q > 0$

Momentum raising op:
 (Bosonic creation op)

$$b_{q\eta}^\dagger = \frac{i}{\sqrt{n_q}} \sum_k c_{k+q,\eta}^\dagger c_{k\eta}$$
(6)



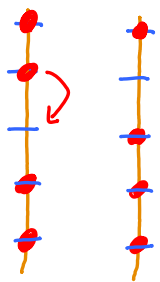
Note: (5) and (6) are automatically normal ordered, hence no need to write $\begin{matrix} \times & \times \\ \times & \times \end{matrix}$

$$[A, BC]_- = [A, B]_- C \pm B [A, C]_-$$
(1) 14

$$[a^\dagger b, c^\dagger d]_- = [a^\dagger b, c^\dagger]_- d + c^\dagger [a^\dagger b, d]_- = a^\dagger d \delta_{bc} - c^\dagger b \delta_{ad}$$
(2)

Bosonic commutation relations: (for notational simplicity, below we drop the index η)

$$[N, b_q] = \frac{-i}{\sqrt{n_q}} \sum_{kk'} [c_k^\dagger c_k, c_{k'-q}^\dagger c_{k'}]$$
(3)



momentum-lowering operator does not change particle number

$$= \frac{-i}{\sqrt{n_q}} \sum_{kk'} (c_k^\dagger c_{k'} \delta_{k,k'-q} - c_{k'-q}^\dagger c_k \delta_{kk'})$$

cancel

(4)

$$= \frac{-i}{\sqrt{n_q}} \sum_k (c_k^\dagger c_{k+q} - c_{k-q}^\dagger c_k) = 0$$

shift: $k \rightarrow k+q$

(5)

Similarly:

$$[N, b_q^\dagger] = 0, [b_q, b_{q'}] = 0, [b_q^\dagger, b_{q'}^\dagger] = 0$$
(6)

$$\delta_{qq'} = [b_q, b_{q'}^\dagger] = \frac{1}{n_q} \sum_{kk'} [c_{k-q}^\dagger c_k, c_{k+q'}^\dagger c_{k'}] \quad (1) \quad [a^\dagger b, c^\dagger d] = a^\dagger d \delta_{bc} - c^\dagger b \delta_{ad} \quad \boxed{14}$$

$$= \frac{1}{n_q} \sum_{kk'} \left[c_{k-q}^\dagger c_{k'} \delta_{k, k+q'} - c_{k+q'}^\dagger c_k \delta_{k-q, k'} \right] \quad (2)$$

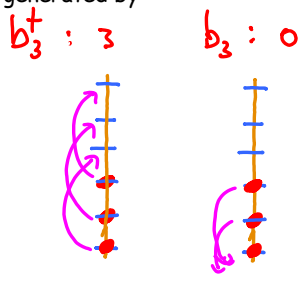
$$= \frac{1}{n_q} \sum_k \left[c_{k-q}^\dagger c_{k-q'} - c_{k-q+q'}^\dagger c_{k+q'} \right] \quad (3)$$

cancel if $q \neq q'$

if $q \neq q'$, both terms are normal-ordered, so we can set $k+q' \rightarrow k$ here, obtaining 0.
 if $q = q'$, both terms have to be normal-ordered first, before rearranging sum; this gives:

number of possible transitions generated by

$$= \delta_{qq'} \frac{1}{n_q} \sum_k \left[c_{k-q}^\dagger c_{k-q} - c_k^\dagger c_k \right] \quad (4)$$



cancel after shift in first term: $k \rightarrow k+q$

$$+ \langle 0 | c_{k-q}^\dagger c_{k-q} | 0 \rangle - \langle 0 | c_k^\dagger c_k | 0 \rangle \quad (5)$$

$$= \delta_{qq'} \frac{1}{n_q} \left[\sum_{n_k=-\infty}^{n_q} - \sum_{n_k=-\infty}^0 \right] = \frac{\delta_{qq'}}{n_q} \cdot n_q = \delta_{qq'} \quad (6)$$

6. Properties of 1d Boson fields

"annihilation field":

$$\varphi_\eta(x) = - \sum_{q>0} e^{-aq/2} \frac{1}{\sqrt{n_q}} e^{-iqx} b_{q\eta} \quad (1)$$

$q = \Delta_L n_q$

"creation field":

$$\varphi_\eta^\dagger(x) = - \sum_{q>0} e^{-aq/2} \frac{1}{\sqrt{n_q}} e^{+iqx} b_{q\eta}^\dagger \quad (2)$$

The ultraviolet cutoff \circledast here acts as a bandwidth for bosonic excitations. In fermion language, it sets the maximum momentum difference between particle/hole pairs.

Hermitian boson field:

$$\phi_\eta(x) = \varphi_\eta(x) + \varphi_\eta^\dagger(x) \quad (3)$$

Derivative gives density:
(provided $a = 0$)

$$\partial_x \phi_\eta(x) = \Delta_L \sum_q i \sqrt{n_q} (e^{-iqx} b_{q\eta} - e^{iqx} b_{q\eta}^\dagger) \quad (4)$$

Compare (16.4) & (13.3):

$$\rho_\eta(x) = \psi_\eta^\dagger(x) \psi_\eta(x) = \Delta_L N_\eta + \partial_x \phi_\eta \quad (5)$$

Boson field commutators:

(for notational simplicity, below we drop the index η)

17

$$[b_q, b_{q'}] = [b_q^\dagger, b_{q'}^\dagger] = 0 \Rightarrow [\varphi(x), \varphi(x')] = 0, [\varphi^\dagger(x), \varphi^\dagger(x')] = 0 \quad (1)$$

$$[b_q, b_{q'}^\dagger] = \delta_{qq'} \Rightarrow [\varphi(x), \varphi^\dagger(x')] = \sum_{q'} \frac{i}{q'} \frac{1}{\sqrt{n_{q'}}} \frac{1}{\sqrt{n_{q'}}} e^{-iqx} e^{iq'x'} \underbrace{[b_q, b_{q'}^\dagger]}_{\delta_{qq'}} \quad (2)$$

$$q = \Delta_L n \quad y = e^{-i\Delta_L(x-x'-ia)} \xrightarrow{L \rightarrow \infty} 1 - i\Delta_L(x-x'-ia) \quad = \sum_{q>0} \frac{1}{n_q} e^{-iq(x-x')} e^{-aq} \quad (3)$$

$$= \sum_{n=1}^{\infty} \frac{1}{n} y^n = -\ln(1-y) \quad (4)$$

$$\xrightarrow{L \rightarrow \infty} -\ln[i\Delta_L(x-x'-ia)] \quad (5)$$

Note: this commutator needs both infrared and ultraviolet regulators, $1/L$ and a , respectively!

Commutator of phi with its derivative

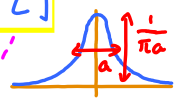
18

$$[\phi(x), \partial_x \phi(x')] = [\varphi(x), \partial_x \varphi^\dagger(x')] + [\varphi^\dagger(x), \partial_x \varphi(x')] \quad (1)$$

$$[\varphi(x), \varphi^\dagger(x')] = -\ln(1-y) = i\Delta_L \frac{y}{y^{-1}-y} - c.c. \quad (2)$$

$$y = e^{-i\Delta_L(x-x'-ia)} \xrightarrow{L \rightarrow \infty} i\Delta_L \left[\frac{1}{i\Delta_L(x-x'-ia)} - \frac{1}{2} \right] - c.c. \quad (3)$$

$$\frac{1}{e^{\Delta} - 1} = \frac{1}{(1 - \Delta + \Delta^2/2 + \dots) - 1} = \frac{1}{\Delta(1 + \Delta/2)} = \frac{1}{\Delta} (1 - \Delta/2 + \dots) = \frac{1}{\Delta} - \frac{1}{2} \quad (4)$$



The $1/L$ term ensures consistency upon integrating (1):

$$\int_{-L/2}^{L/2} dx' [\phi(x), \partial_{x'} \phi(x')] \stackrel{(4)}{=} 2\pi i \left[1 - 1 \right] = 0 \quad (4)$$

$$= [\phi(x), \underbrace{\phi(L/2) - \phi(-L/2)}_{=0}] \quad (20)$$

since phi is periodic, (16.1, 16.2)

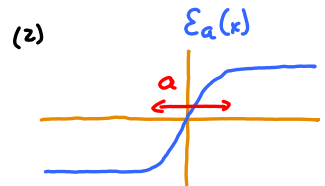
consistent!

Commutator of phi with itself

[can be obtained by integrating (18.1)]

$$\boxed{[\phi(x), \phi(x')] = \int_{x'}^x d\bar{x} [\phi(x), \partial_{\bar{x}} \phi(\bar{x})] + c} \quad \left. \begin{array}{l} \text{fixed by requiring} \\ \text{commutator to} \\ \text{vanish for } x = x' \end{array} \right\} \quad (1)$$

$$\stackrel{(18.4)}{=} 2i \int_{x'}^x d\bar{x} \left[\frac{a}{(x-\bar{x})^2 + a^2} - \frac{\pi}{L} \right] \quad (2)$$



$$= -2i \left[\arctan\left(\frac{x-x'}{a}\right) - \frac{\pi(x-x')}{L} \right] \quad (3)$$

smeared step function

$$= -i\pi \epsilon_a(x-x') \quad \text{where} \quad (4)$$

$$\boxed{\epsilon_a(x) = \begin{cases} \pm 1 & \text{for } x \geq 0 \\ 0 & \text{for } x = 0 \end{cases}} \quad (5)$$

(4) is the form most often quoted, with $a = 0, L = \text{infinity}$.