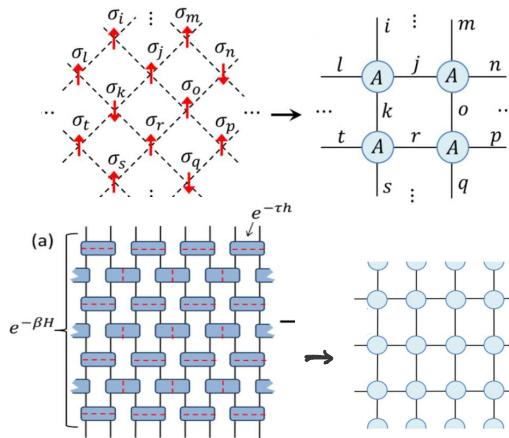


Goal: Compute 2D contractions by coarse-graining RG schemes (instead of transfer matrix schemes)

Applications:

Partition functions of  
2D classical models:

Imaginary time evolution of  
1D quantum models:



[Levin2007] Levin, Nave: proposed original idea for TRG for classical lattice models.  
Local approach: truncation error is minimized only locally.

[Jiang2008] Jiang, Weng, Xiang: adapted Levin-Nave idea to 2D quantum ground state projection via imaginary time evolution. Local approach: truncation is done via 'simple update'. TRG is used to compute expectation values.

[Xie2009] Jiang, Chen, Weng, Xiang; and [Zhao2010] Zhao, Xie, Chen, Wei, Cai, Xiang:  
Propose 'second renormalization' (SRG), a global approach taking account renormalization of environmental tensor ('full update'). Reduced truncation error significantly.

[Xie2012] Xie, Qin, Zhu, Yang, Xiang: different coarse-graining scheme, using higher-order SVD, employing both local and global optimization schemes.

[Zhao2016] Zhao, Xie, Xiang, Imada: coarse-graining on finite lattices.

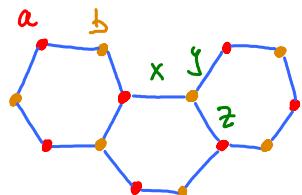
[Evenbly2019] Lan, Evenbly: propose core tensor renormalization group (CTRG), which rescales lattice size linearly (not exponentially), but at much lower cost,  $\mathcal{O}(\chi^4)$  (rather than  $\mathcal{O}(\chi^6)$ ).

Goal: compute partition function of 2D classical model.

Strategy: Express partition function as 2D tensor network, contract it by coarse-graining procedure.

Example 2D classical Ising model on honeycomb lattice [Zhao2010, Sec. II.B]

Honeycomb lattice  
is bipartite:



unit cell contains two sites, labeled  $a, b$

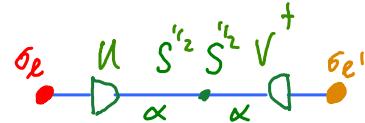
three bond directions:  $x, y, z$

Hamiltonian:  $H = - \sum_{\langle l, l' \rangle} \sigma_l \sigma_{l'}$ ,  $\sigma_l = \pm 1$  Ising variable (1)

nearest neighbors, with  $l \in a, l' \in b$

Partition function:  $Z = \sum_{\{\sigma\}} e^{-\beta H} = \sum_{\{\sigma\}} \prod_{\langle l, l' \rangle} e^{\beta \sigma_l \sigma_{l'}}$  (2)

$\prod_{\langle l, l' \rangle} e^{\beta \sigma_l \sigma_{l'}}$  :=  $\Theta_{ll'}$



'Factorize' the dependence on  $\sigma_l$  and  $\sigma_{l'}$  by performing an SVD:

$$\Theta_{ll'} = \sum_{\alpha \in 1, 2} U_{\sigma_l \alpha} (S_\alpha)^{1/2} (S_\alpha)^{1/2} V_{\alpha \sigma_{l'}}^\dagger$$

$U_{\sigma_l \alpha}$  and  $V_{\alpha \sigma_{l'}}$  are  $(2 \times 2)$  matrices

$(S_\alpha)^{1/2}$  is a  $(2 \times 2)$  matrix

classical model: no need to distinguish upper/lower indices (3)

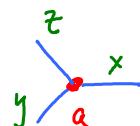
Advantage of this representation: spin dependence has been factorized.

Price to pay: additional 2-dimensional bond index,  $\alpha \in \{1, 2\}$  has been introduced.

Group all Q's connected to site  $l$  on  $a$ -lattice, and sum over  $\sigma_l$ , for given  $x, y, z \in \{1, 2\}$

$$T_{[l]xyz}^a = \sum_{\sigma_l} Q_{\sigma_l x}^a Q_{\sigma_l y}^a Q_{\sigma_l z}^a$$

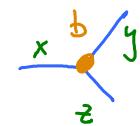
(4)



Ditto for site  $l'$  on  $b$ -lattice, sum over  $\sigma_{l'}$ :

$$T_{[l']xyz}^b = \sum_{\sigma_{l'}} Q_{\sigma_{l'} x}^b Q_{\sigma_{l'} y}^b Q_{\sigma_{l'} z}^b$$

(5)



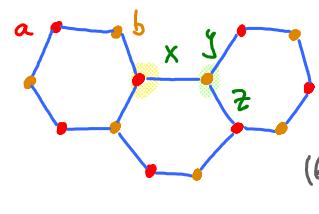
$$T_{[e]}^{a,b} x y z = \sum_{e'} \alpha_{e'x} \alpha_{e'y} \alpha_{e'z}$$



Then partition function takes the form

$$Z = \sum_{\{e\}} \prod_{\{e, e'\}} \Theta_{e, e'} = \text{Tr} \prod_{\{e, e'\}} T_{[e]}^{a, b} x_e y_e z_e$$

sum over virtual indices on all (suitably contracted) nearest-neighbor bonds



All statistical physics models with short-range interactions can be expressed as tensor network models, i.e.

$$Z = \text{Tr} \Pi T \quad \text{(for more examples, see [Zhao2010, section II]).}$$

Contract out the tensor network by coarse-graining

[Levin2007]

'rewire': switch from T-vertices with external leg pairings (i,j), (l,k) to S-vertices with pairings (i,l), (j,k):

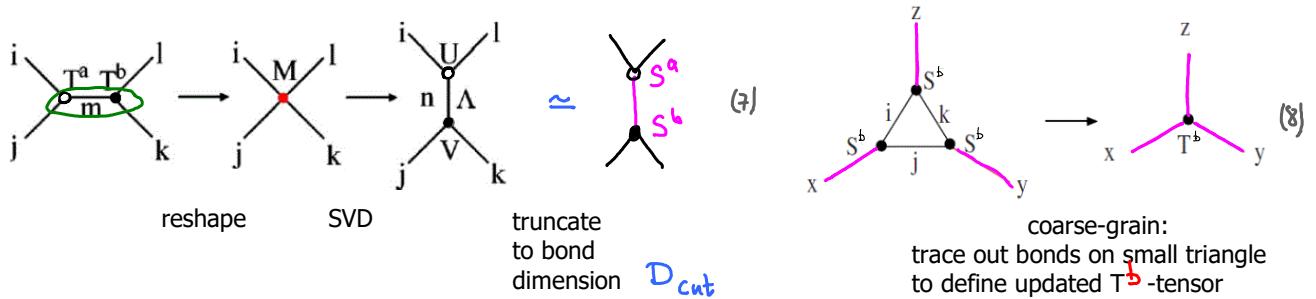
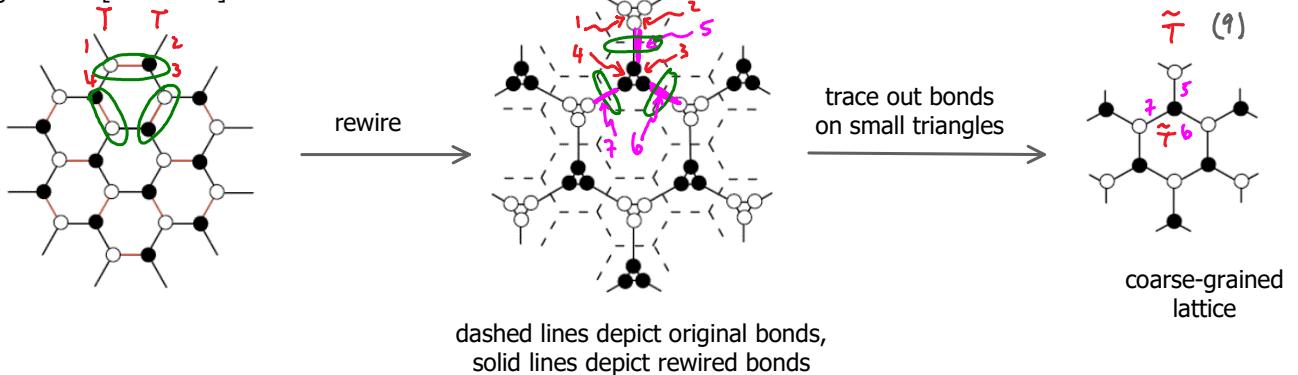


Fig. 3 from [Zhao2010]

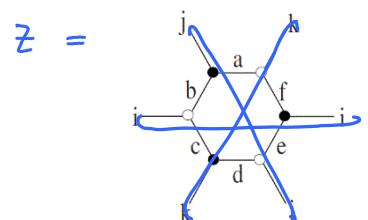


Iterate this procedure, thereby coarse-graining lattice step by step, until  $T^a, T^b$  reach fixed point values,

$T^{a*}, T^{b*}$ . Use these to compute partition function via

$$F = -\frac{1}{N\beta} \ln Z$$

and from there the free energy per spin,



and the magnetization, etc.

Goal: compute ground state of 2D quantum lattice model

Strategy: iterative projection via  $e^{-H\tau}$ , compress by 'simple update';

compute  $\langle 4|4 \rangle$  and  $\langle 4|\hat{\phi}|4 \rangle$  using TRG of Levin & Nave.

Model:  $S = \frac{1}{2}$  Heisenberg on honeycomb lattice.

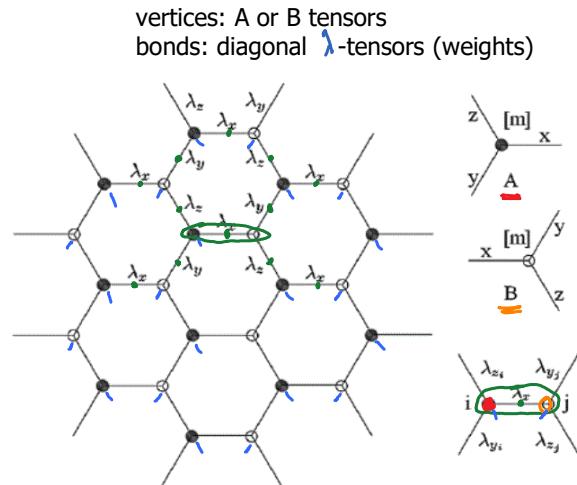
## iPEPS-type tensor network Ansatz for ground state:

$$|14\rangle = \text{Tr} \underbrace{\pi \pi}_{\substack{\text{leb} \\ \text{lw}}} \underbrace{\lambda_x \lambda_y \lambda_z}_{\substack{\text{le} \\ \text{lw}}} \underbrace{\lambda_x \lambda_y \lambda_z}_{\substack{\text{le} \\ \text{lw}}} \quad (1)$$

black  $\rightarrow$  white

weight factors associated with bc

tensors associated with vertices



## Ground state projection via simple update

$$H = H_x + H_y + H_z \quad (\text{living on } x, y, \text{ or } z \text{ bonds})$$

$$\text{Suzuki-Trotter: } e^{-H\tau} \simeq \underbrace{e^{-H_x\tau}}_{g_x} \underbrace{e^{-H_y\tau}}_{g_y} \underbrace{e^{-H_z\tau}}_{g_z}$$

Sequentially update x, y, z bonds using these three gates.

truncate  $D^2 d$  to  $D$

$$S \simeq U \tilde{\lambda}_x V^+, \quad \tilde{A} = \tilde{\lambda}_z^{-1} \tilde{\lambda}_y^{-1} U, \quad \tilde{B} = \tilde{\lambda}_z^{-1} \tilde{\lambda}_y^{-1} V^+ \quad (5)$$

SVD, truncate

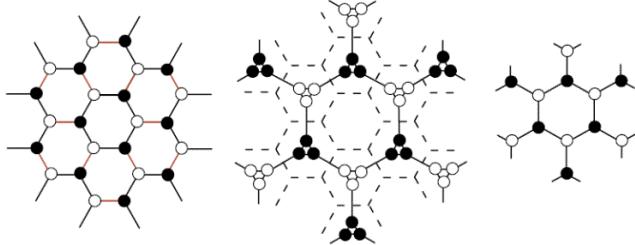
'simple update': outer legs of  contain  , which account for the 'environment' of 

in mean-field fashion. Without including these  $\lambda$  factors in definition of  $S$ , procedure does not converge.

- Similarly update y and z bonds. This concludes one iteration.
- Iterate simple update many times.
- Start with  $\tau \sim 10^{-3}$ , gradually reduce it to  $\tau \sim 10^{-5}$ .
- Number of iterations needed until convergence:  $10^5 - 10^6$

$\langle 414 \rangle$  is a double-layer tensor network.

Use TRG (á la Levin & Nave) to contract bond indices of double-layer network:



$$T_{[l]} = \begin{array}{c} \text{Diagram of a tensor network node with indices} \\ \lambda_x, \lambda_y, \lambda_z \text{ and} \\ \lambda_x', \lambda_y', \lambda_z' \text{ connected to a central} \\ \sigma_l \text{ block.} \end{array}$$

$$Z = \begin{array}{c} \text{Diagram of a tensor network node with indices} \\ j, k, i, a, b, c, d, e, f \text{ connected to a central} \\ \text{block.} \end{array}$$

Start with a finite system, and iterate until only six sites are left; then trace out final bond indices.

## Results

[Jiang2008]

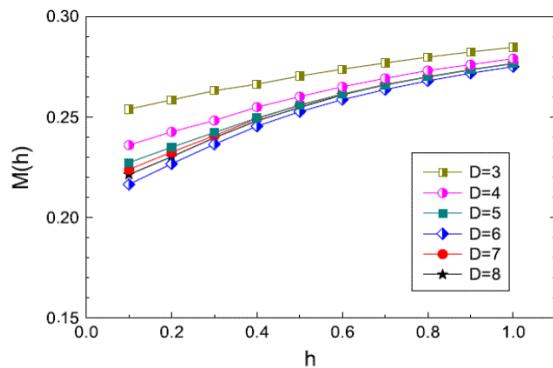


FIG. 5 (color online). The staggered magnetization  $M(h)$  as a function of the staggered magnetic field, at different  $D$ .

TABLE II. Comparison of our results with those obtained by other approaches for the ground state energy per site  $E$  and the staggered magnetization  $M$  of the Heisenberg model with  $h = 0$ .

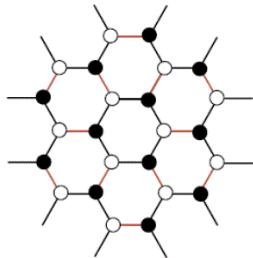
Method	$E$	$M$
Spin wave [12]	-0.5489	0.24
Series expansion [13]	-0.5443	0.27
Monte Carlo [14]	-0.5450	0.22
Ours $D = 8$	-0.5506	$0.21 \pm 0.01$

Goal: include influence of environment when doing update

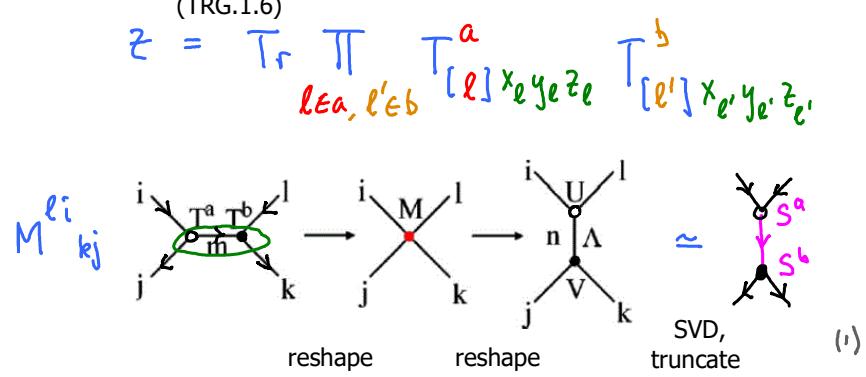
'global optimization', 'full update'.

Two applications: (i) partition function of classical 2D models  
(ii) 2D quantum ground states

(i) Classical tensor network model



rewrite:



SVD minimizes truncation error for rewiring  $M$ . However, we should minimize truncation error of  $Z$ .

Renormalize environment

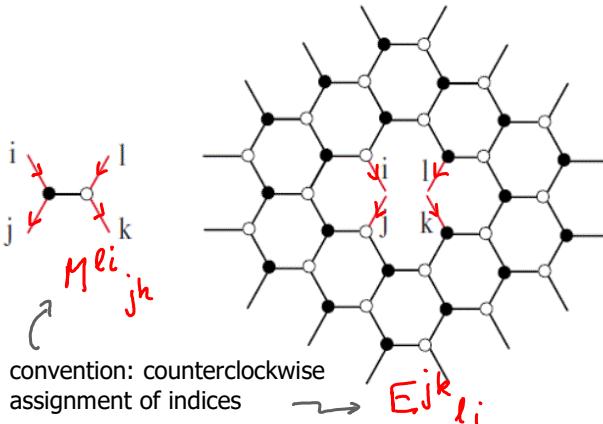
Partition function:

$$Z = \text{Tr} ME$$

$$= \sum_{ijkl} M_{li}^{ji} E_{jk}^{ki}$$

(1)

Goal: minimize truncation error of  $Z$ .



Strategy:

(i) Compute  $E$

- ✓ (a) cheap mean-field approach ('single update')
- (b) on finite lattices
- ✓ (c) more expensive forward/backward TRG ('full update')

(ii) Do SVD on  $ME$ , Let's discuss (ii) first.

Minimize truncation error of  $ME$

[Zhao2010, Sec. III.B]

$$Z = M_{li}^{ji} E_{jk}^{ki} = \text{SVD} \quad \text{SVD} \quad \text{truncate}$$

(3)

Diagram illustrating the SVD of  $ME$ . It shows the tensor  $ME$  being approximated by a sum of two terms, each with a central node  $M$  and a loop structure. The loop is approximated by a sum of two terms, each with a central node  $U$  and a loop structure. The final result is  $\text{Tr} \tilde{M}$ , which is approximately equal to  $\text{Tr} M$ .

with  $E \stackrel{\text{SVD}}{=} U \Lambda V^t$

(4)

Diagram illustrating the SVD of  $E$ . It shows a sequence of tensors  $E$  with indices  $ik$  and  $li$  being approximated by a sum of four terms, each with a central node  $\tilde{D}^2$  and a loop structure. The final result is  $\tilde{D}^2 li$ .

$$\begin{aligned}
 \text{and } \tilde{M} &= \Lambda'^2 V^+ M U \Lambda'^2 \\
 &\text{--- trace in (3) connects these ---} \\
 &\text{SVD } \approx \tilde{U} \tilde{\Lambda} \tilde{V}^+ = \tilde{M} \quad (5) \\
 &\text{truncate from } \tilde{D}^2 \text{ back to } D^2 \quad (6)
 \end{aligned}$$

Since  $Z = \text{Tr } \tilde{M}$ , this truncation directly controls error in partition function!

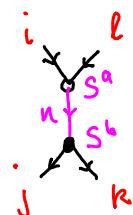
It knows not only about  $M$ , but also about its environment, via  $U, \Lambda, V^+$

Now express  $M$  in terms of truncated objects,  $\tilde{U}, \tilde{\Lambda}, \tilde{V}^+$

To this end, first invert relation between  $M$  and  $\tilde{M}$ , using  $U^+ U = V^+ V = 1$

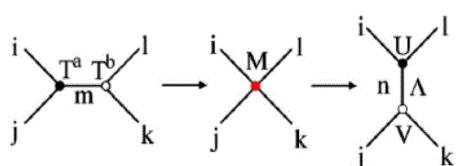
$$\begin{aligned}
 M &\stackrel{(5)}{=} V \tilde{\Lambda}'^2 \tilde{M} \tilde{\Lambda}'^2 U^+ \\
 \text{then insert truncated version of } \tilde{M}: &= S^a \tilde{\Lambda}^2 S^b \\
 \text{and write as product of two vertices:} &= S^a \tilde{\Lambda}^2 S^b
 \end{aligned}$$

with indices:  $M^{li}{}_{jk} = S^{a,li}{}_n S^{b,n}{}_{jk}$

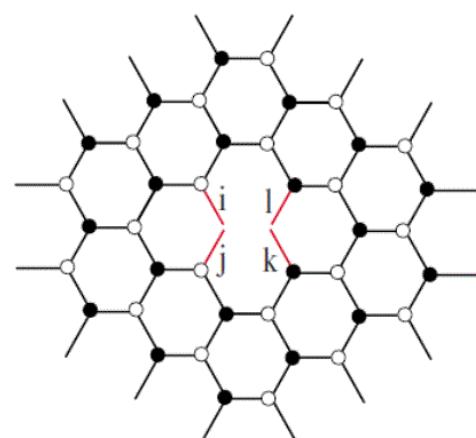


Now we return to (i): actually computing the environment

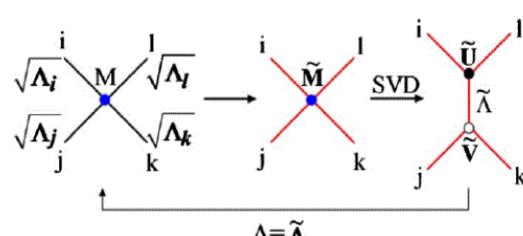
(a) Computing environment tensor  $E$  using simple update (mean-field approach) [Xie2009]



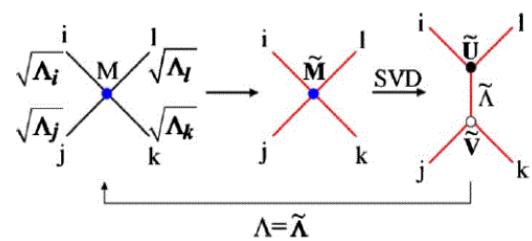
$M = U \Lambda V^+$  defines the 'singular bond vector'  $\Lambda$ , which measures entanglement between two sites. It can be used directly to obtain a cheap, mean-field approximation of environment ('simple update'):



- Take  $E \approx \sqrt{\Lambda_i \Lambda_j \Lambda_k \Lambda_l}$
- Compute  $\tilde{M}$ , then do SVD:  $\tilde{M} = \tilde{U} \tilde{\Lambda} \tilde{V}^+$   
new bond vector

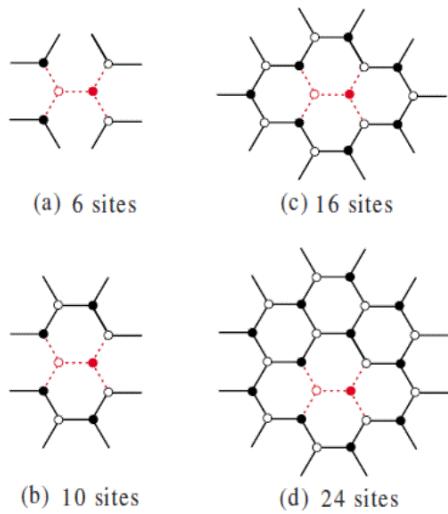


- Take  $E \simeq \lambda_i \lambda_j \lambda_k \lambda_l$
- Compute  $\tilde{M}$ , then do SVD:  $\tilde{M} = \tilde{U} \tilde{\Lambda} \tilde{V}^T$   
new bond vector
- Use new  $\Lambda = \tilde{\Lambda}$  to recalculate  $E, \tilde{M}, \tilde{\Lambda}$ , etc.



- Iterate until convergence (typically 2 to 3 iterations suffice; near critical point, more are needed).

### (b) Computing environment tensor $E$ using finite lattices



$$\delta f(T) = 1 - \frac{f(T)}{f_{\text{exact}}(T)}$$

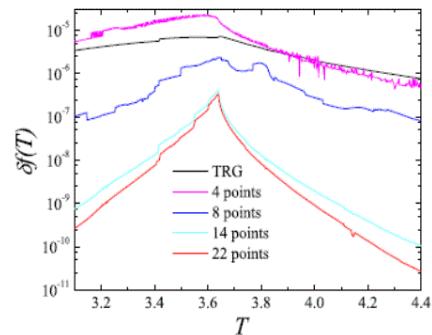


FIG. 10. (Color online) Relative errors of the free energy for the Ising model on a triangular lattice obtained by considering the second renormalization effect from four finite environment lattices which contains 4, 8, 14, and 22 sites, respectively. The configurations of these environments are shown in Fig. 9. The TRG result is also shown for comparison.

Including even just a few environmental sites already leads to big improvements!

### (c) Computing environment tensor $E$ using TRG

[Zhao2010]

'Forward iteration':

(a)  $\rightarrow$  (b): Rewire environment  $E^{(k)}_{li}$   
using data at iteration n:

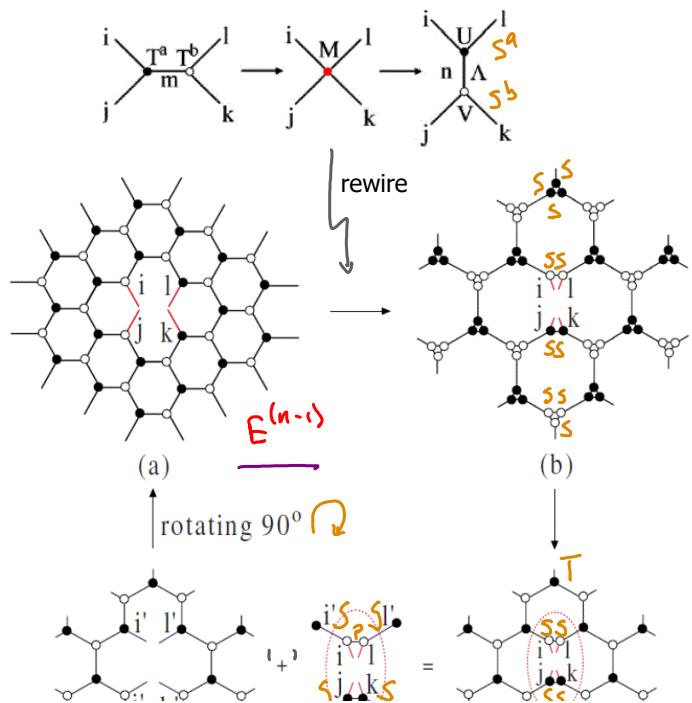
$$T^{(k)} T^{(n)} = m^{(n)} = U^{(n)} \Lambda^{(n)} V^{(n)T}$$

(b)  $\rightarrow$  (c): Trace out small triangles,  $T = SSS$   
four S are left over

(c)  $\rightarrow$  (d) + (e): Identify new environment

(e) looks same as (a), only rotated by 90 degrees, and rescaled.

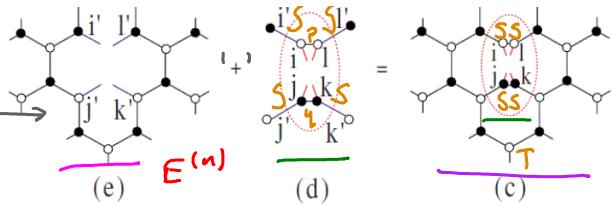
Iteration relation expressing



degrees, and rescaled.

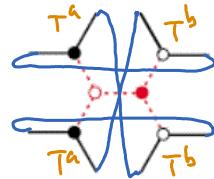
Iteration relation expressing old through new environment:

$$E_{i,j,k}^{(n-1)} = E_{i',j',k'}^{(n)} S_{epe'}^a S_{iip}^a S_{jj}^b S_{kk'}^b$$



- Start with a very large but finite number of sites.
- Iterate until only 4 environmental sites are left:
- Compute final environment,  $E^{(n)}$ , by tracing out open indices:

$$E^{(n)} = \text{Tr } T^a T^b T^a T^b$$



'Backward iteration':

- Start from current values of tensors  $T^a, T^b$  and bond vectors  $\Lambda$ .
- Use them to compute  $E^{(N)}, E^{(N-1)}$ , etc., all the way back to  $E^{(0)} = E$  = desired result.

This completes step (i). Now go to step (ii), compute  $\tilde{\mu}, \tilde{\lambda}, \tilde{M}$ , and iterate, until  $\tilde{\lambda}$  have converged.

### Results for SRG (2nd renormalization) for classical 2D system

Ising model on triangular lattice:

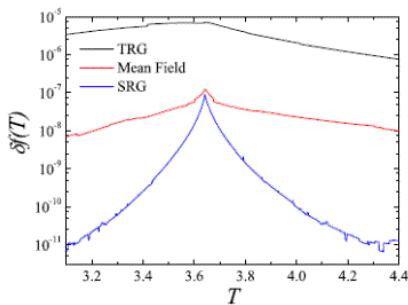


FIG. 12. (Color online) Comparison of the relative error of the free energy for the Ising model on triangular lattices obtained using TRG (red), the mean-field approximated SRG (blue), and the SRG (black) methods with  $D_{cut}=24$ , respectively. The critical temperature is  $T_c=4/\ln 3$ .

critical state is hardest to simulate

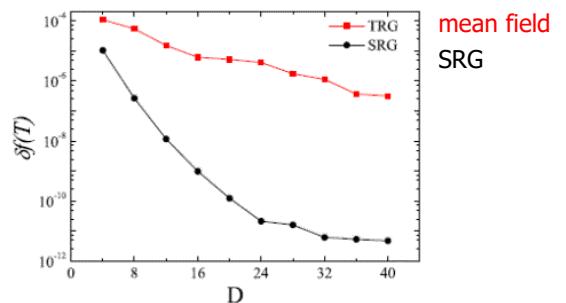


FIG. 13. (Color online) The relative error of the free energy as a function of the truncation dimension  $D_{cut}$  for the Ising model on triangular lattices obtained using the TRG (black) and SRG (blue), respectively.  $T=3.2$ .

error drops with increasing D  
much more quickly for SRG than TRG

### Results for SRG (2nd renormalization) for quantum ground state search

Optimize by imaginary time evolution; contractions performed using SRG.

Compute expectation values such as  $\langle \psi | \psi \rangle$ ,  $\langle \psi | \hat{\psi} | \psi \rangle$  using SRG, too.

[Xie2009] : Heisenberg on honeycomb

SRG yields more stable results than TRG!

[Zhao2010]

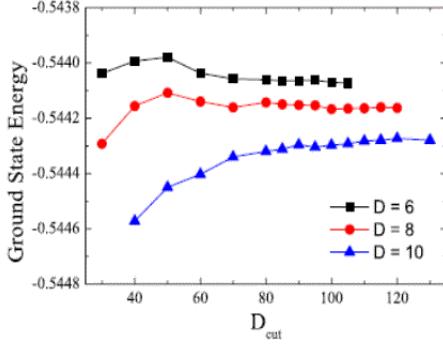


FIG. 19. (Color online) The SRG result of the ground-state energy as a function of the truncation dimension  $D_{cut}$  for the Heisenberg model on a honeycomb lattice.  $D$  is the bond dimension of the wave function.

Energy does not decrease with  $D_{cut}$ , because  
imaginary time-evolution / SRG is not variational!

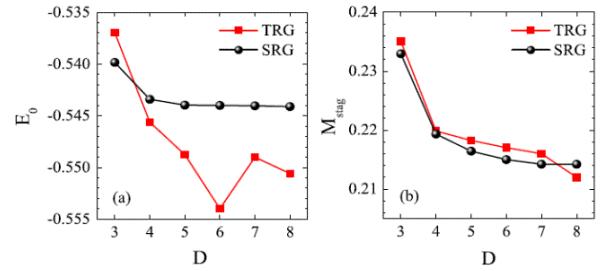


FIG. 5 (color online). (a) The ground state energy per site  $E_0$  and (b) the staggered magnetization  $M_{stag}$  as functions of the bond degrees of freedom  $D$  on honeycomb lattices.

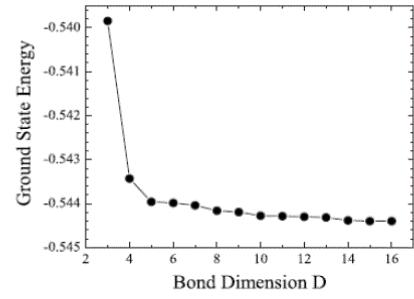


FIG. 20. The ground-state energy of the Heisenberg model on a honeycomb lattice as a function of the bond dimension  $D$  obtained by the SRG with  $D_{cut}=130$ .

$$E_{SRG} = -0.54440 \quad E_{D_{cut}} = -0.54455(20)$$

#### 4. Core tensor renormalization group

[Lan2019]

TRG-I.4

Goal: reduce computational cost of TRG from  $\mathcal{O}(x^6)$  to  $\mathcal{O}(x^4)$

Strategy: shrink lattice linearly rather than exponentially with each coarse-graining step.

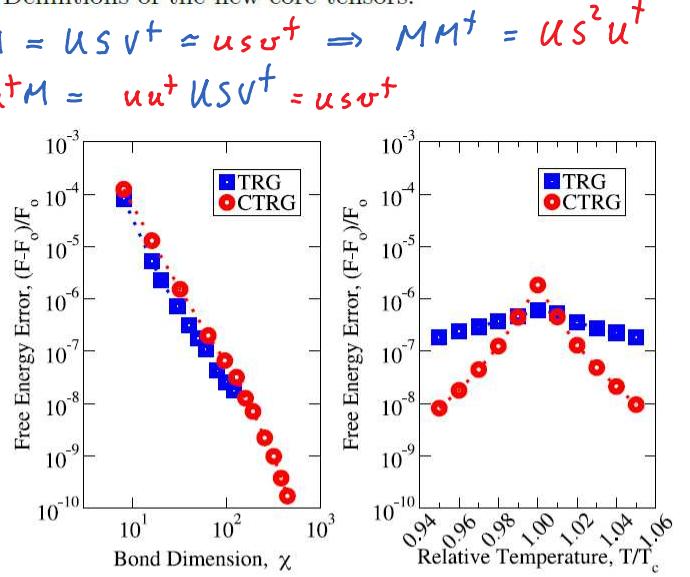
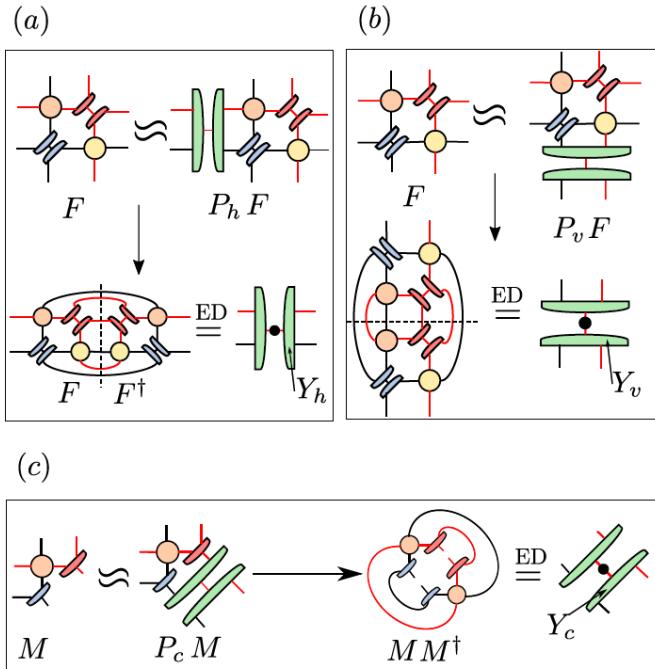
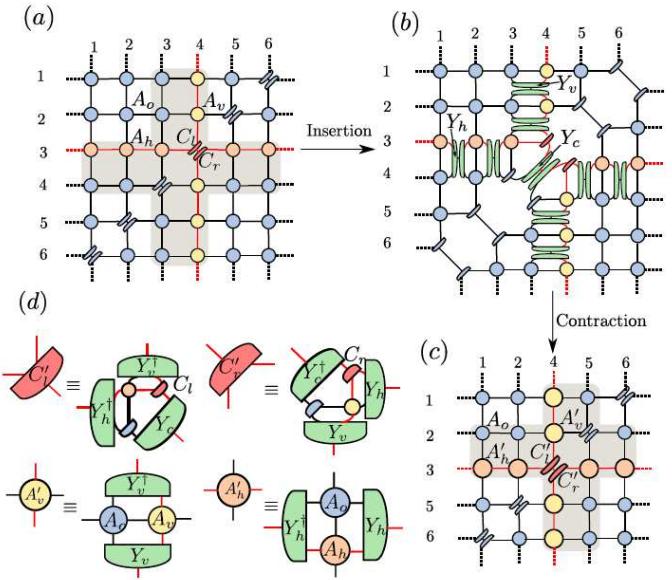
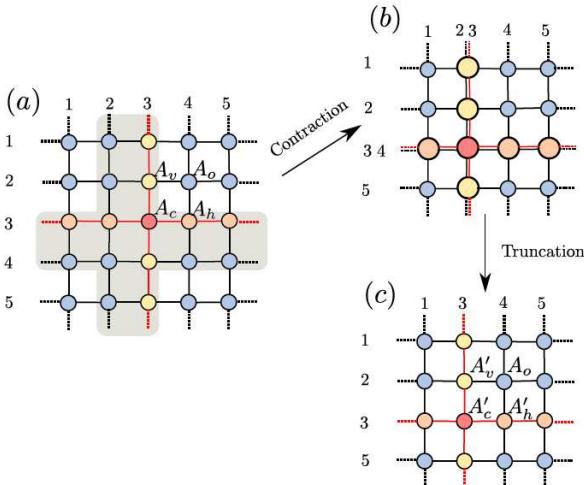


FIG. 5. (a) A comparison of the accuracy of the free energy density produced by TRG and CTRG for the Ising model on an infinite strip of width  $L = 128$  sites at critical temperature. Both methods produce comparable accuracy for the same bond dimension  $\chi$ , with TRG giving only slightly more accurate energies. (b) Comparison between TRG and CTRG for accuracy of the free energy density as a function of temperature with fixed bond dimension  $\chi = 30$ .