# <u>1. Overlaps, matrix elements</u> $\langle \hat{\psi} | \psi \rangle$

We first consider general quantum states, then matrix product states (MPSs):

General ket: 
$$|\Psi\rangle = |\xi_{2}\rangle \dots |\xi_{2}\rangle |\epsilon_{1}\rangle C^{\epsilon_{1},\dots,\epsilon_{2}} =: |\overline{\delta}\rangle C^{\overline{\sigma}}$$
  
summation over repeated indices implied  
General bra:  $\langle\Psi| = \overline{C^{\epsilon_{1},\dots,\epsilon_{2}}} \langle\epsilon_{1}|\langle\epsilon_{1}|\dots\langle\epsilon_{2}\rangle| =: \overline{C^{\epsilon_{p}}} \langle\overline{\delta}|$   
 $Cverlap: \langle\overline{\Psi}|\Psi\rangle = \overline{C^{\epsilon_{1},\dots,\epsilon_{2}}} \langle\epsilon_{1}|\langle\epsilon_{1}|\dots\langle\epsilon_{2}\rangle| \leq \sum_{i=1}^{k} \overline{C^{\epsilon_{i}}}$   
 $Cverlap: \langle\overline{\Psi}|\Psi\rangle = \overline{C^{\epsilon_{1},\dots,\epsilon_{2}}} \langle\epsilon_{1}|\langle\epsilon_{1}|\dots\langle\epsilon_{2}\rangle| \leq \sum_{i=1}^{k} \overline{C^{\epsilon_{i}}}$   
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 $Cverlap: \langle\overline{\Psi}|\Psi\rangle = \overline{C^{\epsilon_{1},\dots,\epsilon_{2}}} \langle\epsilon_{1}|\Psi\rangle \langle\epsilon_{1}|\Psi\rangle \langle\epsilon_{1}|\Psi\rangle \langle\epsilon_{1}|\Psi\rangle \langle\epsilon_{2}|\Psi\rangle (\epsilon_{1}|\Psi\rangle) \langle\epsilon_{2}|\Psi\rangle (\epsilon_{2}|\Psi\rangle) \langle\epsilon_{2}|\Psi\rangle (\epsilon_{1}|\Psi\rangle) (\epsilon_{1}|$ 

Now consider matrix product states:



MPS-I.1

Add dummy sites at left and right, so that first and last M's have two virtual indices, just like other M's .



We expressed all matrices via their Hermitian conjugates by transposing indices and inverting arrows. To recover a matrix product structure, we ordered the Hermitian conjugate matrices to appear in the <u>opposite</u> order as the vertices in the diagram.

Recipe for bra formula: as chain grows, attach new matrices  $M_{\sigma}^{\dagger}$  on the left, (in opposite order as vertices in diagram), resulting in a matrix product of  $M_{\sigma}^{\dagger}$  matrices.



Recipe: contract all physical indices with each other, and all virtual indices of neighboring tensors.



Exercise: derive this result algebraically from (7a), (8a)!

If we would perform the matrix multiplication first, for fixed  $\vec{r}$ , and then sum over  $\vec{r}$ , we would get  $d^{t}$  terms, each of which is a product of 2t matrices. Exponentially costly!

But calculation becomes tractable if we rearrange summations, to keep number of 'open legs' as small as possible (here = 2):

$$\langle \hat{\psi} | \psi \rangle = C_{[\chi]} - ... C_{[\iota]} - ...$$

$$= \widetilde{M}_{[\mathcal{X}]}^{\dagger} \widetilde{G}_{\mathcal{X}} \mu' \cdots \widetilde{M}_{[\mathcal{X}]}^{\dagger} \widetilde{G}_{\mathcal{Z}} \mu'}^{\dagger} \widetilde{M}_{[\mathcal{X}]}^{\dagger} \widetilde{G}_{\mathcal{X}}^{\dagger} \cdots \widetilde{M}_{[\mathcal{X}]}^{\dagger} \widetilde{G}_{\mathcal{X}}^{\dagger} \widetilde{M}_{[\mathcal{X}]}^{\dagger} \widetilde{G}_{\mathcal{X}}^{\dagger} \cdots \widetilde{M}_{[\mathcal{X}]}^{\dagger} \widetilde{G}_{\mathcal{X}}^{\dagger} \widetilde{M}_{[\mathcal{X}]}^{\dagger} \widetilde{G}_{\mathcal{X}}^{\dagger} \cdots \widetilde{M}_{[\mathcal{X}]}^{\mathcal{X}} \widetilde{G}_{\mathcal{X}}^{\dagger} \cdots \widetilde{M}_{[\mathcal{X}]}^{\mathcal{X}} \widetilde{G}_{\mathcal{X}}^{\dagger} \cdots \widetilde{M}_{[\mathcal{X}]}^{\mathcal{X}} \widetilde{G}_{\mathcal{X}}^{\dagger} \cdots \widetilde{M}_{[\mathcal{X}]}^{\mathcal{X}} \widetilde{G}_{\mathcal{X}}^{\dagger} \cdots \widetilde{G}_{\mathcal{X}}^{\dagger} \cdots \widetilde{G}_{\mathcal{X}}^{\dagger} \widetilde{G}_{\mathcal{X}}^{$$

Diagrammatic depiction: 'closing zipper' from left to right.

$$C_{[\alpha]} \xrightarrow{\alpha} \beta \xrightarrow{\beta} \beta \xrightarrow{\alpha} \beta \xrightarrow{\beta} \beta \xrightarrow{\alpha} \beta \xrightarrow{\beta} \beta$$

The set of two-leg tensors  $C_{[\ell]}$  can be computed iteratively:

Initialization:

$$C_{[o]} \begin{pmatrix} k \\ k \end{pmatrix} = \begin{pmatrix} k \\ k \end{pmatrix}$$
 (identity)

$$C_{[\circ]}^{1} = I \qquad (14)$$

Iteration step: sum over 🗸 yields C(1)





116)

Final answer:

 $\langle \tilde{\psi} | \psi \rangle = C'_{[\psi]}$ 

## Cost estimate (if all A's are $p_{\gamma}$ D):

One iteration:







Remark: a similar iteration scheme can be used to 'close zipper from right to left':



'Closing the zipper' is also useful for computing expectation values of local operators, i.e. operators acting non-trivially only on a few sites (e.g. only one, or two nearest neighbors).



Matrix element between two MPS:

$$\langle \hat{\psi} | \hat{O}_{[\ell]} | \psi \rangle = \begin{cases} x \\ \epsilon_1 \\ x \\ \epsilon_2 \\ x \\ \epsilon_3 \\ \epsilon_4 \\ \epsilon_5 \\ \epsilon_6 \\ \epsilon_6$$

Close zipper from left using  $C_{[\ell-1]}$  [see (15)] and from right using  $D_{[\ell+1]}$  [see (20)].

$$= \widetilde{M}_{\beta' \sigma_{\ell}' \alpha'}^{\dagger} C_{[\ell-1] \alpha}^{\alpha'} M^{\alpha \sigma_{\ell} \beta} D_{[\ell+1]_{\beta}}^{\beta'} O_{\delta_{\ell}}^{\sigma_{\ell}'}$$
(26)

<u>Two-site operator</u> (acts nontrivally only on two sites,  $\ell$  and  $\ell+1$ ) [e.g. for spin chain:  $\vec{S}_{\ell}$ ,  $\vec{S}_{\ell+1}$ ]

Action on  
sites 
$$\ell$$
,  $\ell+1$ :  $\hat{O}_{[\ell,\ell+1]} = |\sigma_{\ell+1}| |\sigma_{\ell}| > 0^{\sigma_{\ell}|\sigma_{\ell+1}|} |\sigma_{\ell}| < \sigma_{\ell}| < \sigma_{\ell+1}| |\sigma_{\ell}| < \sigma_{\ell+1}|$  (10)

Matrix elements:  

$$\langle \tilde{\psi} | \hat{O}_{[\ell_{l},\ell_{l}]} | \psi \rangle = \langle \tilde{\psi} | \hat{O$$

$$= \widetilde{M}_{\beta'\sigma'_{\ell+1}}^{\dagger} \widetilde{M}_{\gamma'\sigma'_{\ell}}^{\dagger} \widetilde{C}_{[\ell-1]}^{\sigma'} (1 + M^{\alpha}\sigma'_{\ell}) M^{\alpha}\sigma'_{\ell} M^{\sigma}_{\gamma} M^$$

Computation of normalization and matrix elements of local operators is simpler if the MPS is built from tensors with special normalization properties, called 'left-normalized' or 'right-normalized' tensors.

#### Left-normalization



Consider a 'left-normalized MPS', i.e. one constructed purely from left isometries:

$$|\Psi\rangle = \frac{1}{2} \frac{1}{2$$

Then, closing the zipper left-to-right is easy, since all  $\int_{\ell}$  reduce to identity matrices:

$$C_{[o]} = \begin{bmatrix} 1 \\ 1 \end{bmatrix}, \quad C_{[i]\alpha}^{\alpha'} = \begin{bmatrix} 1 \\ 0 \end{bmatrix}, \quad C_{[e]\alpha}^{\alpha'} = \begin{bmatrix} 1$$

We suppress arrows for C, too, since they can be reconstructed from arrows of constitutent As. Hence:

We suppress arrows for C, too, since they can be reconstructed from arrows of constitutent As. Hence:

$$\langle \Psi | \Psi \rangle = \prod_{x} = \prod_{x} = \prod_{x} = \prod_{x} = \begin{bmatrix} x \\ x \end{bmatrix}$$
 (4b)

Moreover, the matrices for site 1 to any site  $\ell = 1, ..., N$  define an <u>orthonormal state space</u>:

$$|\Psi_{\lambda}\rangle_{\ell} = |\overline{\sigma}_{1\cdots\ell}\rangle \left(A^{\sigma_{1}}A^{\sigma_{2}}\cdots A^{\sigma_{\ell}}\right)'_{\lambda}$$
(5)

$$\langle \Psi^{\lambda'} | \Psi_{\lambda} \rangle_{\ell} = \Pi^{\lambda'}{}_{\lambda} \qquad \textcircled{O} \qquad (6)$$

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Call this state space

$$V_{e} = \operatorname{span} \left\{ |\Psi_{\lambda}\rangle_{e} \right\} \subseteq V_{1} \otimes V_{2} \otimes \cdots \otimes V_{e}$$
(7)

where

close the zipper

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V0 =

A A 1

span 
$$\{\delta_{\ell}\}$$
 is local state space of site

These state spaces are built up iteratively from left to right through left-isometric maps:

Each 
$$\frac{A}{\xi}$$
 defines an isometric map  
to a new (possibly smaller) basis:  
 $A_{\ell}: \bigvee_{\ell} \otimes \bigvee_{\ell-1} \longrightarrow \bigvee_{\ell}, \qquad | \underbrace{f_{\ell}} \vee [ \underbrace{f_{\ell}} \vee [ \underbrace{f_{\ell}} \vee ]_{\ell-1} \longrightarrow ] \underbrace{f_{\ell}} \vee [ \underbrace{f_{\ell}} \vee ]_{\ell} \vee ]_{\ell} = | \underbrace{f_{\ell}} \vee [ \underbrace{f_{\ell}} \vee ]_{\ell-1} A^{\lambda} \otimes_{\ell} A^{\lambda} \otimes_{$ 

Even if truncation is involved, the resulting MPS are useful, precisely because they are parametrized by a limited number of parameters (namely elements of  $\Re$  tensors). E.g., they can be optimized variationally by minimizing energy  $\Longrightarrow$  DMRG).

### **Right-normalization**

So far we have viewed an MPS as being built up from left to right, hence used right-pointing arrows on ket diagram. Sometimes it is useful to build it up from right to left, using left-pointing arrows.

Building blocks:

$$|\varphi\rangle = |\varphi_{X}\rangle M_{X} \int_{1}^{\varphi_{X}} |\varphi|^{2} |$$

More compact notation: draw 'right-pointing diagonals' at vertices

Page 8





Again, right-angled triangles complete information on arrows, so arrows can be suppressed.

For 'right-normalized MPS', constructed purely from right isometries, closing zipper right-to-left is easy:

$$\langle 4|2\rangle = \frac{1}{x} = \frac{1}{$$

Moreover, the matrices for site N to any site  $\ell = 1, ..., N$  define an orthonormal state space:



$$e^{\left\langle \Phi^{\lambda'} | \Phi_{\lambda} \right\rangle_{\ell}} = \mathbf{1}^{\lambda'} \qquad \bigcirc \qquad (21)$$

Call this state space

L

close the zipper

We

= span 
$$\{|\overline{\Phi}_{\lambda}\rangle_{\ell}\} \subseteq \mathbb{V}_{\ell} \otimes \mathbb{V}_{\ell+1} \otimes \cdots \otimes \mathbb{V}_{\ell}$$
 (22)

These state spaces are built up iteratively from right to left through right-isometric maps:

Each 
$$\frac{B}{P}$$
 defines an isometric map  
to a new (possibly smaller) basis:  
 $\lambda_{\ell}$   $\lambda_{\ell}$ 

Summary: MPS built purely from left-normalized A 's or purely from right-normalized  $\mathcal{B}$  's are automatically normalized to 1. Shorter MPSs built on subchains automatically define orthonormal state spaces. Ü

(i) Left-canonical (Ic-) MPS:

Any matrix product can be expressed in infinitely many different ways without changing the product:

$$M M' = (M U U M') = \widetilde{M} \widetilde{\widetilde{M}}'$$
 'gauge freedom' (1)

A

A

Gauge freedom can be exploited to 'reshape' MPSs into particularly convenient, 'canonical' forms:

MPS-I.3

The states  $(\alpha, \beta) := |\Phi_{\beta}\rangle_{\ell_{1}} |\Psi_{\alpha}\rangle$  form a

an orthonormal set: 
$$\langle \alpha', \beta' | \alpha, \beta \rangle = \mathbf{1}_{\alpha}^{\alpha'} \mathbf{1}_{\beta}^{\beta'}$$
 (13)

How can we bring an arbitrary MPS into one of these forms?

#### Transforming to left-normalized form

Given:  $[\psi] = [\overline{\sigma}]_{\mathcal{X}} (M^{6'} \dots M^{6'}_{\mathcal{X}})$   $[or with index: |\Psi_{w}\rangle \approx \xrightarrow{\chi_{1}} |\Psi_{w}\rangle \approx 1$   $[or with index: |\Psi_{w}\rangle \approx \xrightarrow{\chi_{1}} |\Psi_{w}\rangle \approx 1$   $[or with index: |\Psi_{w}\rangle \approx \xrightarrow{\chi_{1}} |\Psi_{w}\rangle \approx 1$   $[or with index: |\Psi_{w}\rangle \approx \xrightarrow{\chi_{1}} |\Psi_{w}\rangle \approx 1$   $[or with index: |\Psi_{w}\rangle \approx \xrightarrow{\chi_{1}} |\Psi_{w}\rangle \approx 1$   $[or with index: |\Psi_{w}\rangle \approx \xrightarrow{\chi_{1}} |\Psi_{w}\rangle \approx 1$   $[or with index: |\Psi_{w}\rangle \approx \xrightarrow{\chi_{1}} |\Psi_{w}\rangle \approx 1$   $[or with index: |\Psi_{w}\rangle \approx \xrightarrow{\chi_{1}} |\Psi_{w}\rangle \approx 1$   $[or with index: |\Psi_{w}\rangle \approx \xrightarrow{\chi_{1}} |\Psi_{w}\rangle \approx 1$   $[or with index: |\Psi_{w}\rangle \approx \xrightarrow{\chi_{1}} |\Psi_{w}\rangle \approx 1$   $[or with index: |\Psi_{w}\rangle \approx \xrightarrow{\chi_{1}} |\Psi_{w}\rangle \approx 1$   $[or with index: |\Psi_{w}\rangle \approx \xrightarrow{\chi_{1}} |\Psi_{w}\rangle \approx 1$   $[or with index: |\Psi_{w}\rangle \approx 1$   $[or with |\Psi_{w}\rangle \approx 1$   $[or with |\Psi_{w}\rangle \approx 1$ 

Strategy: take a pair of adjacent tensors, MM', and use SVD to yield left isometry on the left:

$$MM' = USV^{\dagger}M' =: A\widetilde{M}', \quad \text{with} \quad A := U, \quad \widetilde{M}' := SV^{\dagger}M' \quad (7)$$

$$\alpha \xrightarrow{M} \underset{f}{\overset{M'}{\longrightarrow}} \alpha' \xrightarrow{S \vee D} \alpha \xrightarrow{H} \underset{f}{\overset{M'}{\longrightarrow}} \overset{M'}{\xrightarrow{S \vee T}} \overset{M'}{\overset{M'}{\longrightarrow}} \alpha' = \alpha \xrightarrow{A} \underset{f}{\overset{M'}{\xrightarrow{M'}}} \alpha' \quad (8)$$

$$M^{\alpha \sigma} \beta M^{i} \beta \sigma' \alpha i = (U^{\alpha \sigma} \lambda) (\beta^{\lambda} \lambda' \vee \gamma^{j} \lambda' \beta M^{i} \beta \sigma' \alpha i) = A^{\alpha \sigma} \lambda \widetilde{M}^{i} \lambda^{\sigma'} \alpha' \qquad (9)$$

The property  $\mathcal{U}^{\dagger}\mathcal{U} \approx \mathbf{1}$  ensures left-normalization:



Truncation, if desired, can be performed by discarding some of

The smallest singular values,

$$\sum_{A=1}^{n} \sum_{A=1}^{n}$$

(but (10) remains valid!)



Note: instead of SVD, we could also me QR (cheaper!)

By iterating, starting from  $M^{\circ}$ ,  $M^{\circ2}$ , we left-normalize

 $M^{61}$  to  $M^{6}R^{-1}$ 

 $\times \frac{M}{1} \frac{$ 

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To left-normalize the <u>entire MPS</u>, choose  $\mathcal{L} = \mathcal{L}$ . As last step, left-normalize last site using SVD on final  $\widetilde{\mathcal{M}}$ :

$$\widetilde{M}^{\lambda}\delta_{x} = \underbrace{\mathcal{U}^{\lambda}}_{A^{\lambda}\delta_{x}}, \underbrace{S', \mathcal{V}^{\dagger}}_{A^{\lambda}\delta_{x}}, \underbrace{\lambda^{\dagger}}_{S', 1}, \underbrace{\lambda^{\dagger}}_{S'} = \underbrace{\mathcal{U}, S, \mathcal{V}^{\dagger}}_{S', 1}, \underbrace{\lambda^{\dagger}}_{S'} = \underbrace{\mathcal{U}, S, \mathcal{V}^{\dagger}}_{S', 1}, \underbrace{\lambda^{\dagger}}_{S', 2}, \underbrace{\lambda^{\dagger}}$$

diamond indicates single number

Ic-form:  $(\psi) = (\overline{\sigma})_{\ell} (A^{6}, A^{6})_{\ell} S_{\ell}$ 

The final singular value, *S*, determines normalization:

$$\langle \psi | \psi \rangle = | S_1 |^2$$
. (12)

## Transforming to right-normalized form

Given:  $(\psi) = (\vec{e}) (M^{e_1} \dots M^{e_k})$ [or with index:  $|S_1\rangle = S_1 + (-++)$ Goal : right-normalize  $M^{e_k}$  to  $M^{e_{k+1}}$ 





Strategy: take a pair of adjacent tensors, M M', and use SVD to yield right isometry on the right:

$$MM' = MUSU^{\dagger} = \widetilde{M}B, \text{ with } \widetilde{M} = MUS, B = V^{\dagger}. \quad (3)$$

$$\alpha \xrightarrow{M} M = \alpha' SVD \propto M = U = SV^{\dagger} + \alpha' = \alpha \xrightarrow{\widetilde{M}} B = \sigma' \quad (4)$$

$$M_{\alpha} \xrightarrow{\sigma} M_{\beta} \xrightarrow{\sigma'} = (M_{\alpha} \xrightarrow{\sigma} U_{\beta} \xrightarrow{S} X')(V^{\dagger} \times \sigma' \times \sigma') = \widetilde{M}_{\alpha} \xrightarrow{\sigma} X' = \delta \times \sigma' \quad (4)$$
Here,  $V^{\dagger}V = I$  ensures right-normalization:  $B = I = I.$  (16)  
Starting form  $M \xrightarrow{\ell_{d-1}} M^{\ell_{d}}$ , move leftward up to  $M \xrightarrow{\ell_{d}} M^{\ell_{d+1}}.$   
To right-normalize entire chain, choose / and at last site,  $\ell = I$ 

 $\widetilde{\mathcal{M}}_{1}^{\sigma,\lambda} = \mathcal{U}_{1}^{\sigma,\lambda} S_{1}^{\prime} V_{1}^{\dagger} S_{1}^{\prime} \lambda_{1}^{\prime}$ .  $S_{1}^{\prime}$  determines normalization. (17)

=' S, B, G, J

Summary: using SVD, products of two matrices can be converted into forms containing a left isometry on the left or right isometry on the right:

$$M M' = A \widetilde{M}' = \widetilde{M} \mathcal{B}$$
 (18)

This can be used iteratively to convert any of the four canonical forms into any other one.

Examples [self-study!]

(a) Right-normalize a state with right-pointing arrows!

Hint: start at

and note the up 🗠 down changes in index placement.

MG2-1 MGZ



Then right-normalize sites  $\mathcal{L}$  to  $\mathcal{L}_{+1}$  , starting from site  $\mathcal{L}$  .

Result:

$$|\psi\rangle = |\sigma_{N}\rangle \dots |\sigma_{l+1}\rangle (B^{\sigma_{l+1}} B^{\sigma_{N}})' |\sigma_{l}\rangle |\sigma_{l-1}\rangle |\sigma_{l-1}\rangle (A^{\sigma_{l-1}} A^{\sigma_{l-1}})' |\sigma_{l}\rangle |\sigma_{l-1}\rangle |\sigma_{l-1}\rangle$$

$$= |\Phi_{\beta}\rangle_{l+1} |G_{\beta}\rangle |\Psi_{\alpha}\rangle_{l-1} \widetilde{M}^{\alpha} G_{\beta} \beta$$
(24)

The states

res  $\langle \alpha, \sigma_{\ell}, \beta \rangle := \langle \Phi_{\beta} \rangle_{\ell+1} \langle \sigma_{\ell} \rangle \langle \Psi_{\alpha} \rangle_{\ell-1}$  form an orthonormal set:

(Exercise: verify this, using  $A \stackrel{t}{A} = 1$  and  $B \stackrel{t}{B} \stackrel{t}{=} 1$ .) This is 'local site basis' for site  $\ell$ . Its dimension  $\mathcal{D}_{a} \cdot d \cdot \mathcal{D}_{\beta}$  is usually  $4 \cdot \mathcal{D}_{\beta}$  is usually  $4 \cdot \mathcal{D}_{\beta}$  is usually  $4 \cdot \mathcal{D}_{\beta}$ .

(d) Transforming to bond-canonical form

Start from (e.g.) sc-form, use SVD for  $\overline{M} \approx U S V^{\dagger}$ , combine  $O V^{\dagger}$  with neighboring  $\mathcal{B}$ , or  $O V^{\dagger}$  with neighboring  $\mathcal{A}$ .

The states

 $\lambda_{\lambda'} \rightarrow = \lambda_{\lambda'+1} + \lambda_{\lambda'}$  form an orthonormal set.

$$\langle \bar{\lambda}, \bar{\lambda}' | \lambda, \lambda' \rangle = S \bar{\lambda} S \bar{\lambda}'$$
(28)

This is called the 'local bond basis for bond  $\lambda$  ' (from site  $\lambda$  to  $\lambda$  ). It has dimension  $\gamma$ .