

Ludwig-Maximilians-Universität München

## QCD and Standard Model

### Exam Solution

Prof. Dr. Georgi Dvali

Assistants : Dr. Georgios Karananas,  
Emmanouil Koutsangelas, Max Warkentin

2 October 2020

### Guidelines :

- The exam consists of 7 problems.
- The duration of the exam is 4 hours.
- Please write your name or matriculation number on every sheet that you hand in.
- Your answers should be comprehensible and readable.

GOOD LUCK!

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Exercise 1	9 P
Exercise 2	23 P
Exercise 3	13 P
Exercise 4	9 P
Exercise 5	20 P
Exercise 6	16 P
Exercise 7	10 P

Total	100 P
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## Problem 1 (9 points)

Consider the following Lagrangian of a real scalar field  $\Phi$  in 4 spacetime dimensions

$$\mathcal{L} = \frac{1}{2} \partial_\mu \Phi \partial^\mu \Phi + \frac{m^2}{2} \Phi^2 - \frac{\lambda}{4} \Phi^4 ,$$

with  $m^2, \lambda > 0$ .

- a) (2pts) What is the symmetry of the above Lagrangian?

Answer : Since the scalar is real, the Lagrangian is invariant under

$$\Phi \rightarrow -\Phi .$$

- b) (4pts) Minimize the potential and determine the ground state of the system. Is the symmetry broken spontaneously? (1pt for each minimum + 2pts for SSB)

Answer : The potential is minimized by

$$\langle \Phi \rangle = \pm \sqrt{\frac{m^2}{\lambda}} \equiv \pm v .$$

Since the VEV of  $\Phi$  is non-zero, the symmetry is broken spontaneously.

- c) (3pts) How many Goldstone bosons are in the spectrum? Justify your answer. (1pt for Goldstones number + 2pts for justification)

Answer : Since no continuous symmetry is broken, there are no Goldstone bosons.

## Problem 2 (23 points)

Consider a theory invariant under a local SU(2) symmetry with a scalar field in the adjoint representation of the group, i.e.  $\phi = \sum_{i=1}^3 \phi^i T^i$ , where the  $\phi^i$ 's are real and  $T^i$ 's the Hermitian generators of SU(2).

- a) (5pts) Write down the most general renormalizable SU(2)- and Lorentz-invariant Lagrangian in four spacetime dimensions. (1pt for each term + 1pt for covariant derivative)

Answer : The most general gauge invariant & renormalizable Lagrangian reads

$$\mathcal{L} = -\frac{1}{2} \text{Tr}(F_{\mu\nu} F^{\mu\nu}) + \text{Tr}[(D_\mu \phi)^\dagger D^\mu \phi] + m^2 \text{Tr}(\phi^2) - \lambda (\text{Tr}(\phi^2))^2 , \quad (1)$$

where the covariant derivative for the field in the adjoint representation reads

$$D_\mu \phi = \partial_\mu \phi - ig[A_\mu, \phi] , \quad (2)$$

and as usual the square brackets  $[\cdot, \cdot]$  stand for the commutator.

- b) (4pts) Arrange the potential such that the vacuum expectation value (vev) of the scalar field is non-zero. Find the vev by explicitly minimizing the potential. (2pts for coefficients+2pts for vev)

Answer : The potential that allows for spontaneous symmetry breaking reads

$$V(\phi) = -m^2 \text{Tr}(\phi^2) + \lambda (\text{Tr}(\phi^2))^2 , \quad (3)$$

with  $m^2, \lambda > 0$ . Taking the derivative w.r.t.  $\phi$ , we obtain

$$V'(\phi) = -2m^2 \phi + 4\lambda \text{Tr}(\phi^2) \phi . \quad (4)$$

We now require that for  $\phi = \phi_0 \neq 0$  the above vanishes. This is the case for

$$\text{Tr}(\phi_0^2) = \frac{m^2}{2\lambda} . \quad (5)$$

Since the field lives in the adjoint of the group, we have

$$\phi = \sum_{i=1}^3 \phi^i T^i = \frac{1}{2} \begin{pmatrix} \phi^3 & \phi^1 - i\phi^2 \\ \phi^1 + i\phi^2 & -\phi^3 \end{pmatrix} , \quad (6)$$

and we immediately find

$$(\phi_0^1)^2 + (\phi_0^2)^2 + (\phi_0^3)^2 = \frac{m^2}{\lambda} . \quad (7)$$

Without loss of generality (due to the SU(2) symmetry), we may choose  $\phi_0^1 = \phi_0^2 = 0$  and  $\phi_0^3 = \frac{m}{\sqrt{\lambda}}$ , meaning that

$$\phi_0 = \frac{v}{2} \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} , \quad (8)$$

with  $v = \frac{m}{\sqrt{\lambda}}$ . To verify that this saddle point corresponds to a (local) minimum, we take the second derivative of the potential

$$V''(\phi) \Big|_{\phi=\phi_0} = 2m^2 \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 1 \end{pmatrix} . \quad (9)$$

- c) (5pts) What is the unbroken symmetry group? How many gauge bosons acquire a mass and how many remain massless? Justify your answer. (3pts for U(1)+2pts for spectrum)

Answer : To find the unbroken symmetry group, we have to study the action of the generators on the vev  $\phi_0$ . Since the field lives in the adjoint, we have to consider its commutators with the generators :

$$\begin{aligned} [\phi_0, T^1] &= v \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix} , \\ [\phi_0, T^2] &= -i v \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} , \\ [\phi_0, T^3] &= \begin{pmatrix} 0 & 0 \\ 0 & 0 \end{pmatrix} . \end{aligned} \tag{10}$$

The unbroken symmetry group is therefore U(1). Out of the 3 gauge bosons, two will get a mass and one will be massless.

- d) (6pts) Determine the masses of the gauge bosons. (3pts for formula+3pts for result)

Answer : The masses of the gauge bosons are generated via the covariant derivative of the scalar field and more specifically from the term

$$\text{Tr}([A_\mu, \phi_0][A_\mu, \phi_0]) = \frac{g^2 v^2}{2} ((A_\mu^1)^2 + (A_\mu^2)^2) , \tag{11}$$

meaning that the masses of the physical gauge bosons  $W_\mu^\pm = \frac{1}{\sqrt{2}}(A_\mu^1 \mp A_\mu^2)$  are equal to  $gv$ , while the mass of  $A_\mu^3$  is zero. The above is of course in agreement with our expectation that only two of the gauge bosons will acquire a mass, while the third will remain massless.

- e) (3pts) Can this model alone describe the gauge electroweak interactions? Justify your answer. (1pt for answer+2 for justification)

Answer : This model alone cannot describe the gauge electroweak sector of the Standard Model, because it cannot account for the Z boson.

### Problem 3 (13 points)

- a) (4pts) Add a right-handed neutrino to the Lagrangian of the SM, which only has a Majorana mass term. Write down the corresponding Lagrangian. Does this particle conserve lepton number? Verify your statement by an explicit calculation. (1pt for kinetic + 1pt for mass +

1pt for lepton number + 1pt for justification)

Answer :

$$\mathcal{L}_{\text{add}} = i\bar{\nu}_R\gamma^\mu\partial_\mu\nu_R - \frac{1}{2}m_M(\nu_R^T C\nu_R + \text{h.c.}),$$

where  $\nu_R$  is the right-handed neutrino and  $C = i\gamma^2\gamma^0$  is the charge conjugation matrix.

Since  $\nu_R$  is a lepton, it transforms under  $U(1)_{\text{lepton}}$  as

$$\nu_R \rightarrow e^{i\alpha}\nu_R.$$

But then, the above mass term is not invariant :

$$\nu_R^T C\nu_R \rightarrow e^{2i\alpha}\nu_R^T C\nu_R,$$

so lepton number is not conserved.

- b) (3pts) Is a Majorana mass term invariant under parity transformations? Verify your statement by an explicit calculation. (1pt for answer+2pts for computation)

*Hint : Do not forget the phase.*

Answer : A Majorana fermion transforms under parity as

$$\nu_R(x) \xrightarrow{P} i\gamma^0\nu_R(x'),$$

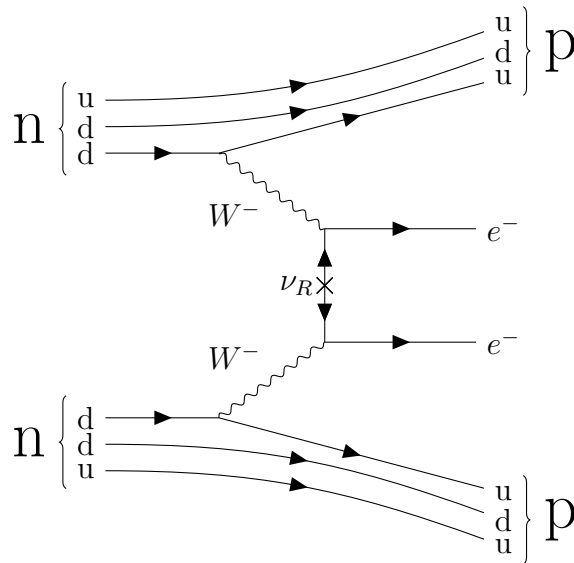
where  $x' = (t, -\vec{x})$ . So the mass term transforms as

$$\nu_R^T(x)C\nu_R(x) \rightarrow (i)^2\nu_R^T(x')\gamma^0 \underbrace{C\gamma^0}_{-\gamma^0 C} \nu_R(x') = \nu_R^T(x')C\nu_R(x').$$

Hence the action (after performing  $x' \rightarrow x$ ) is invariant.

- c) (6pts) Draw a Feynman diagram for the process  $n + n \rightarrow p + p + e^- + e^-$ . Can this process happen within the SM (including the above modification)? (4pts for diagram + 2pts for answering)

Answer :

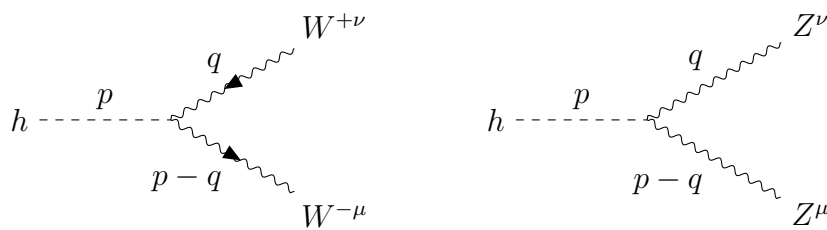


Yes, the process can happen, because  $\nu_R$  is a Majorana particle and hence lepton number is not conserved.

#### Problem 4 (9 points)

- a) (4pts) Draw two tree-level Feynman diagrams that describe the decay of the Higgs particle to the  $W$  and  $Z$  gauge bosons (one each). (2pts for each diagram)

Answer :



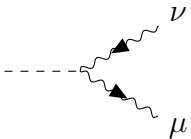
- b) (3pts) Are these processes kinematically allowed?  
Answer : No, because  $m_h \simeq 126 \text{ GeV}$ ,  $m_W \simeq 80 \text{ GeV}$  and  $m_Z \simeq 91 \text{ GeV}$ , so the Higgs cannot decay into any of the two on-shell.
- c) (2pts) Write down the interaction vertex governing the Higgs- $W^+W^-$  coupling.

Answer : If we write the Higgs doublet as  $H = \frac{1}{\sqrt{2}} \begin{pmatrix} 0 \\ v + h \end{pmatrix}$  (in unitary gauge), the kinetic term  $(D_\mu H)^\dagger D^\mu H$  in the SM Lagrangian contains

the term

$$\frac{2m_W^2}{v} h W_\mu^+ W^{-\mu}.$$

From this we can read off the vertex



$$= i \frac{2m_W^2}{v} g^{\mu\nu}.$$

### Problem 5 (20 points)

Let us focus on the fermionic sector of the SM (without right-handed neutrinos).

- a) (10pts) Set all the Yukawa couplings to zero. What is the global symmetry group in this case (apart from the gauged  $SU(2) \times U(1)$ )? (5pts for result (2.5 if only subgroups or less symmetries+5pts for justification))

Answer : Setting all Yukawa coupling to zero, the fermionic sector only consists of kinetic terms, i.e.,

$$\begin{aligned} \mathcal{L}_F = & (Q_L)^a i\gamma^\mu D_\mu (Q_L)_a + (u_R)^a i\gamma^\mu D_\mu (u_R)_a + (d_R)^a i\gamma^\mu D_\mu (d_R)_a \\ & + (L_L)^a i\gamma^\mu D_\mu (L_L)_a + (e_R)^a i\gamma^\mu D_\mu (e_R)_a, \end{aligned}$$

where  $a = 1, 2, 3$  is a generation and  $D_\mu$  is the standard covariant derivative for the corresponding fermionic field. In this form we can see that the Lagrangian is invariant under

$$\begin{aligned} (Q_L)^a & \longrightarrow (U_{Q_L})_b^a (Q_L)^b \\ (u_R)^a & \longrightarrow (U_{u_R})_b^a (u_R)^b \\ (d_R)^a & \longrightarrow (U_{d_R})_b^a (d_R)^b \\ (L_L)^a & \longrightarrow (U_{L_L})_b^a (L_L)^b \\ (e_R)^a & \longrightarrow (U_{e_R})_b^a (e_R)^b, \end{aligned}$$

where  $U_{Q_L}, U_{u_R}, U_{d_R}, U_{L_L}, U_{e_R} \in U(3)$  and independent of each other. Thus, the global Flavor symmetry is

$$G_F = U(3)_{Q_L} \times U(3)_{u_R} \times U(3)_{d_R} \times U(3)_{L_L} \times U(3)_{e_R}$$

- b) (10pts) Assume that the Yukawa couplings are non-zero diagonal matrices. What is the global symmetry group in this case? (5pts for result (2.5 if only subgroups or less symmetries+5pts for justification))

Answer : The Yukawa terms are

$$\begin{aligned} \mathcal{L}_Y = & - H(y^{(d)})_{ab}(\bar{Q}_L)^a(d_R)^b - \tilde{H}(y^{(u)})_{ab}(\bar{Q}_L)^a(u_R)^b \\ & - \tilde{H}(y^{(e)})_{ab}(\bar{L}_L)^a(e_R)^b + h.c. . \end{aligned}$$

We want to stress that the Yukawa matrices are taken to be non-zero diagonal matrices. In this case, the flavor symmetry is explicitly broken down to the vectorlike subgroups

$$U(1)_{Q^1} \times U(1)_{Q^2} \times U(1)_{Q^3} \times U(1)_{e^1} \times U(1)_{e^2} \times U(1)_{e^3} \quad (12)$$

i.e,

$$\begin{aligned} (Q_L)^a & \longrightarrow \exp(i\alpha^{(a)})(Q_L)^a \\ (u_R)^a & \longrightarrow \exp(i\alpha^{(a)})(u_R)^a \\ (d_R)^a & \longrightarrow \exp(i\alpha^{(a)})(d_R)^a \\ (L_L)^a & \longrightarrow \exp(i\beta^{(a)})(L_L)^a \\ (e_R)^a & \longrightarrow \exp(i\beta^{(a)})(e_R)^a , \end{aligned}$$

where  $\exp(i\alpha^{(a)}) \in U(1)_{Q^a}$  and  $\exp(i\beta^{(a)}) \in U(1)_{e^a}$ . In the leptonic sector the U(1)s correspond to the conservation of electrons + electron neutrinos (electron number), myons + myon neutrinos (myon number), and tau + tau neutrinos (tau number). In the quark sector the U(1)s correspond to the conservation of up quarks + down quarks, charm quarks + strange quarks, and top quarks + bottom quarks.

If the Yukawa couplings were non-diagonal, the mixing would lead to a further breaking of the three quark U(1)s into the  $U(1)_B$  subgroup, where  $\alpha^1 = \alpha^2 = \alpha^3$ , corresponding to the conservation of Baryon number.

## Problem 6 (16 points)

Consider the following Lagrangian of a complex scalar field  $\phi$  in 4 spacetime dimensions

$$\mathcal{L} = \partial_\mu \phi^* \partial^\mu \phi + m^2 \phi^* \phi - \lambda(\phi^* \phi)^2 + \mu^2(\phi^* + \phi)^2 .$$

Here  $m^2, \lambda, \mu^2 > 0$  and  $\mu \ll m$  are real parameters.



a) (4pts) Determine the ground state of the theory.

Answer : The potential reads

$$V = -m^2\phi^*\phi + \lambda(\phi^*\phi)^2 - \mu^2(\phi^* + \phi)^2 . \quad (13)$$

Let us introduce the real fields  $\phi_1$  and  $\phi_2$ , such that

$$\phi = \frac{1}{\sqrt{2}}(\phi_1 + i\phi_2) . \quad (14)$$

The potential becomes

$$V = -\frac{m^2 + 4\mu^2}{2}\phi_1^2 - \frac{m^2}{2}\phi_2^2 + \frac{\lambda}{4}(\phi_1^2 + \phi_2^2)^2 . \quad (15)$$

First we take the derivatives of the above w.r.t.  $\phi_1$  and  $\phi_2$  and we require that they vanish

$$\partial_{\phi_1} V \Big|_{\phi_1=\phi_1^0, \phi_2=\phi_2^0} = \partial_{\phi_2} V \Big|_{\phi_1=\phi_1^0, \phi_2=\phi_2^0} = 0 . \quad (16)$$

The above equations admit the following solutions

$$s_1 = \{ \phi_1^0 = \phi_2^0 = 0 \} , \quad (17)$$

$$s_2 = \left\{ \phi_1^0 = 0, \phi_2^0 = \pm \frac{m}{\sqrt{\lambda}} \right\} , \quad (18)$$

$$s_3 = \left\{ \phi_1^0 = \pm \sqrt{\frac{m^2 + 4\mu^2}{\lambda}}, \phi_2^0 = 0 \right\} , \quad (19)$$

To determine which solution corresponds to a (local) minimum of the potential, we compute the “mass matrix” of the theory, i.e. the matrix of the second derivatives of the potential on top of the saddles  $s_i$ .

First consider  $s_1$ , for which

$$M(s_1) = \begin{pmatrix} \partial_{\phi_1, \phi_1} V & \partial_{\phi_1, \phi_2} V \\ \partial_{\phi_2, \phi_1} V & \partial_{\phi_2, \phi_2} V \end{pmatrix} \Big|_{s_1} = - \begin{pmatrix} m^2 & 0 \\ 0 & m^2 + 4\mu^2 \end{pmatrix} . \quad (20)$$

The eigenvalues of the above are negative, meaning that  $s_1$  is not a minimum of the potential.

Turning to  $s_2$ , we obtain

$$M(s_2) = \begin{pmatrix} \partial_{\phi_1, \phi_1} V & \partial_{\phi_1, \phi_2} V \\ \partial_{\phi_2, \phi_1} V & \partial_{\phi_2, \phi_2} V \end{pmatrix} \Big|_{s_2} = 2 \begin{pmatrix} -2\mu^2 & 0 \\ 0 & m^2 \end{pmatrix} . \quad (21)$$

Since  $\mu^2 > 0$ ,  $s_2$  does not minimize the potential.  
 Finally for  $s_3$ , we obtain

$$M(s_3) = \left( \begin{array}{cc} \partial_{\phi_1, \phi_1} V & \partial_{\phi_1, \phi_2} V \\ \partial_{\phi_2, \phi_1} V & \partial_{\phi_2, \phi_2} V \end{array} \right) \Big|_{s_3} = 2 \begin{pmatrix} m^2 + 4\mu^2 & 0 \\ 0 & 2\mu^2 \end{pmatrix} > 0, \quad (22)$$

implying that  $s_3$  corresponds to the vacuum of the theory.

- b) (7pts) Find the masses of the particles when the Lagrangian is expanded around the vacuum. (4pts computation + 3pts for result)  
Answer : The masses squared of the particles are the eigenvalues of the matrix  $M(s_3)$ , i.e.

$$m_1^2 = 4\mu^2, \quad m_2^2 = 2(m^2 + 4\mu^2). \quad (23)$$

- c) (5pts) What happens in the limit  $\mu \rightarrow 0$ ? Why? Explain. (3pts for U(1)+2pts for explanation (Goldstone))

Answer : If we take  $\mu \rightarrow 0$ , the theory acquires a global (continuous) U(1) symmetry. The ground state  $s_3$  (or equivalently  $s_2$ ) breaks this symmetry spontaneously, so there is one massless Goldstone boson in the spectrum. This is explicitly verified by setting  $\mu = 0$  in the expressions for masses of the particles from point b), which now boil down to

$$m_1 = 0, \quad m_2 = \sqrt{2}m, \quad (24)$$

as they should.

## Problem 7 (10 points)

Let us assume that in the Standard Model there are two Higgs doublets  $H^1$  and  $H^2$  with the same hypercharge as the conventional Higgs. Let the fields take the following vacuum expectation values

$$H_0^1 = \begin{pmatrix} 0 \\ v_1 \end{pmatrix}, \quad H_0^2 = \begin{pmatrix} v_2 \\ 0 \end{pmatrix}.$$

- a) (4pts) Write down the unbroken generators, if there are any.

Answer : Let us first study the action of the generators on  $H_0^1$  :

$$\begin{aligned}
 T_1 H_0^1 &= \frac{1}{2} \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \begin{pmatrix} 0 \\ v_1 \end{pmatrix} = \frac{1}{2} \begin{pmatrix} v_1 \\ 0 \end{pmatrix} , \\
 T_2 H_0^1 &= \frac{1}{2} \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix} \begin{pmatrix} 0 \\ v_1 \end{pmatrix} = -\frac{i}{2} \begin{pmatrix} v_1 \\ 0 \end{pmatrix} , \\
 T_3 H_0^1 &= \frac{1}{2} \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \begin{pmatrix} 0 \\ v_1 \end{pmatrix} = -\frac{1}{2} \begin{pmatrix} 0 \\ v_1 \end{pmatrix} , \\
 Y H_0^1 &= \frac{1}{2} \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \begin{pmatrix} 0 \\ v_1 \end{pmatrix} = \frac{1}{2} \begin{pmatrix} 0 \\ v_1 \end{pmatrix} .
 \end{aligned} \tag{25}$$

We immediately notice that

$$GH_0^1 \equiv (T_3 + Y)H_0^1 = 0 , \tag{26}$$

meaning that the vev of the first doublet breaks  $SU(2) \times U(1)$  down to  $U(1)$ . When we act with  $G$  on the second doublet, we get

$$GH_0^2 = \begin{pmatrix} v_2 \\ 0 \end{pmatrix} \neq 0 , \tag{27}$$

so the residual  $U(1)$  symmetry is also broken.

Note that no other generator  $G'$  can be found, which would leave both vev's invariant.

b) **(3pts)** What is the unbroken group ?

Answer : There is no unbroken group.

c) **(3pts)** How many gauge bosons acquire mass and how many remain massless ?

Answer : Since the symmetry is completely broken, there will be 4 massive gauge bosons.