

## Sheet 5:

Hand-out: Friday, May 27, 2022<sup>1</sup>

### Problem 1 Goldstone mode in the Heisenberg ferromagnet

In this problem we discuss an example of spontaneous symmetry breaking in the ground state of the one-dimensional Heisenberg model, and show that it features a non-relativistic gapless Goldstone mode.

- (1.a) As a warmup, consider the *classical* 1D Heisenberg ferromagnet ( $J < 0$ ), with the classical energy

$$E = J \sum_j \mathbf{S}_j \cdot \mathbf{S}_{j+1}. \quad (1)$$

Find all classical ground state configurations  $\{\mathbf{S}_j\}$  which minimize the energy functional  $E[\{\mathbf{S}_j\}]$  and determine the ground state energy  $E_0$ . Show that  $E$  is invariant under global  $O(3)$  rotations. Are the ground states minimizing  $E[\{\mathbf{S}_j\}]$  symmetric under  $O(3)$ ?

- (1.b) Now we move on to the *quantum* 1D Heisenberg ferromagnet ( $J < 0$ ), with the Hamiltonian

$$\hat{\mathcal{H}} = J \sum_j \hat{\mathbf{S}}_j \cdot \hat{\mathbf{S}}_{j+1}. \quad (2)$$

Using the variational principle, show that the classical ground states  $|\{\boldsymbol{\sigma}_j\}\rangle$ , obtained by multiplying the positive-eigenvalue eigenstates of  $\boldsymbol{\sigma} \cdot \hat{\mathbf{S}}_j$ , are true ground (and thus eigen-) states of  $\hat{\mathcal{H}}$ .

- (1.c) Consider again the *quantum* 1D Heisenberg ferromagnet ( $J < 0$ ) from (1.b). Choose the classical ground state  $|\text{FM}_z\rangle$  with all spins pointing along  $z$  and define the following set of all states with total magnetization  $S_{\text{tot}}^z = L/2 - 1$ ,

$$\{\hat{S}_j^- |\text{FM}_z\rangle\}_{j=1\dots L}. \quad (3)$$

Show that the Hamiltonian  $\hat{\mathcal{H}}$  is block-diagonal in  $S_{\text{tot}}^z = \sum_j \hat{S}_j^z$  and diagonalize the block with  $S_{\text{tot}}^z = L/2 - 1$ . Show that the resulting one-magnon states have a dispersion relation

$$\omega_k = -J(1 - \cos(k_x)) \simeq -\frac{J}{2}k_x^2 + \mathcal{O}(k_x^4). \quad (4)$$

This is the gapless (non-relativistic) Goldstone mode of this model.

<sup>1</sup>If you would like to present your solution(s), feel free to send them to Henning Schlömer until Fri, June 03.

## Problem 2 Jordan-Wigner transformation

In the lecture we solve the 1D XY model by mapping it to a free-fermion Hamiltonian. In this problem we consider the XXZ Hamiltonian,

$$\hat{\mathcal{H}}_{\text{XXZ}} = -J_{\perp} \sum_j \left( \hat{S}_{j+1}^x \hat{S}_j^x + \hat{S}_{j+1}^y \hat{S}_j^y \right) - J_z \sum_j \hat{S}_{j+1}^z \hat{S}_j^z, \quad (5)$$

where  $\hat{S}_j^{\mu} = \hat{\sigma}_j^{\mu} \hbar/2$  is a spin-1/2 operator.

- (2.a) Apply the Jordan-Wigner transformation to derive an equivalent Hamiltonian to  $\hat{\mathcal{H}}_{\text{XXZ}}$  expressed in terms of spin-less Jordan-Wigner fermions. Write out the interactions in momentum modes and show that the resulting Hamiltonian becomes

$$\hat{\mathcal{H}}_{\text{XXZ}} = \sum_k \omega_k \hat{c}_k^{\dagger} \hat{c}_k - \frac{J_z}{L} \sum_{k,k',q} \cos(q) \hat{c}_{k-q}^{\dagger} \hat{c}_{k'+q}^{\dagger} \hat{c}_{k'} \hat{c}_k \quad (6)$$

where  $L$  is the total number of lattice sites (assume periodic boundary conditions), and

$$\omega_k = J_z - J_{\perp} \cos k. \quad (7)$$

- (2.b) Assume  $J_z = J_{\perp} = J > 0$  and describe the ground state and low-energy excitations in terms of Jordan-Wigner fermions. How does the state relate to the Heisenberg ferromagnet discussed in Problem 1?
- (2.c) Assume  $J_z = 0$  (as in the lecture, XY model) and describe the low-energy excitations of the model. Does the model have a gapless low-energy mode?
- (2.d) Assume now  $J_{\perp} = 0$  (Ising model). Does the model have a gapless low-energy mode?

## Problem 3 Attaching strings

Here we study hard-core particles  $\hat{d}_j^{(\dagger)}$  on the sites of a one-dimensional chain, coupled to additional spin-1/2 particles  $\hat{\sigma}_{\langle i,j \rangle}$  on the links  $\langle i, j \rangle$  of the chain. The system is described by the Hamiltonian:

$$\hat{\mathcal{H}} = -t \sum_j \left( \hat{d}_{j+1}^{\dagger} \hat{\sigma}_{\langle j+1,j \rangle}^z \hat{d}_j + \text{h.c.} \right) - \mu \sum_j \hat{d}_j^{\dagger} \hat{d}_j \quad (8)$$

(this is a so-called  $\mathbb{Z}_2$  lattice gauge theory).

- (3.a) Assume first that the particles  $\hat{d}_j \equiv \hat{a}_j$  are bosons,  $[\hat{a}_i, \hat{a}_j^{\dagger}] = \delta_{i,j}$ . Introduce new operators  $\hat{\alpha}_i = \hat{a}_i \prod_{j>i} \hat{A}_j$ , with an appropriately chosen string of operators  $\hat{A}_j$ , such that the system Hamiltonian can be written as a free boson model:

$$\hat{\mathcal{H}}_a = -t \sum_j \left( \hat{\alpha}_{j+1}^{\dagger} \hat{\alpha}_j + \text{h.c.} \right) - \mu \sum_j \hat{\alpha}_j^{\dagger} \hat{\alpha}_j. \quad (9)$$

Assume an infinite system for simplicity.

- (3.b) Now assume that the particles  $\hat{d}_j \equiv \hat{c}_j$  are fermions,  $\{\hat{c}_i, \hat{c}_j^{\dagger}\} = \delta_{i,j}$ . Find new operators  $\hat{\eta}_i = \hat{c}_i \prod_{j>i} \hat{B}_j$  with appropriate  $\hat{B}_j$ , such that a free-fermion Hamiltonian can be obtained. Assume an infinite system again.