## Symmetries I: Abelian

NRG: [Wilson1975], [Toth2008], [Weichselbaum2012b]. DMRG: [McCulloch2001], [McCulloch2002] General tensor network: Singh, Pfeiffer, Vidal [Singh2010] Goal: exploit symmetries of Hamiltonian!

elements within blocks If Hamiltonian has a symmetry,  $\begin{bmatrix} \hat{H} & \hat{Q} \end{bmatrix} = 0$ , then  $\hat{H}$  is block-diagonal in  $\hat{Q}$  eigenbasis  $\hat{Q}|Q_ii\rangle = Q|Q_ii\rangle \implies \hat{H}|Q_ii\rangle = |Q_ii'\rangle H_{[a]}^{i'}$ (l) $\langle a', i'|\hat{H}|a, i\rangle = \mathbf{1}^{a'} H_{iai}^{i'}$ 'multiplicity index'  $\dot{i}$  enumerates different states with same  $\beta$ Separate diagonalization of each block yields simultaneous eigenbasis of  $\hat{\mu}$  and  $\hat{\delta}$ . overbar will indicate energy eigenbasis  $\hat{Q}|Q,\bar{i}\rangle = Q|Q,\bar{i}\rangle$   $\hat{H}|Q,\bar{i}\rangle = E_{Q,\bar{i}}|Q,\bar{i}\rangle$  (2) (For non-Abelian symmetries, degenerate multiplets arise -- next lecture.)  $\langle 0, \overline{1} | \hat{H} | 0, \overline{1} \rangle = \prod_{i=1}^{n} \prod_{j=1}^{\overline{1}} E_{n,\overline{1}}$ Exploiting this structures reduces numerical costs! 1. Example, Abelian symmetry: XXZ-chain (spin 1/2) symmetry group: U(1)  $\hat{H} = \sum_{k} \overline{J}_{k} \hat{S}_{k}^{2} \hat{S}_{l+1}^{2} + \sum_{k} \overline{J}_{k} (\hat{S}_{k}^{\dagger} \hat{S}_{l+1}^{-} + \hat{S}_{k}^{-} \hat{S}_{l+1}^{+}) = \hat{H}^{22} + \hat{H}^{sf}$ (3) Total spin,  $\hat{S}_{tst}^{\dagger} = \sum_{l} \hat{S}_{l}^{\dagger}$ , is conserved:  $\begin{bmatrix} \hat{H} & \hat{S}_{tst}^{\dagger} \end{bmatrix} = 0$  'Abelian U(1) symmetry' (4) For Abelian symmetry, conserved quantum number is often called 'charge':  $Q = 2 S_{tal}^{t}$ to avoid proliferation of  $\frac{1}{2}$  factors Conservation of  $\hat{S}_{lac}^{*}$  is obvious by inspection. But let us check explicitly:  $\hat{S}_{\ell}^{t} = \hat{T}\begin{pmatrix} 1 & I \\ 0 & I \\ 0 & I \end{pmatrix} \qquad \hat{S}_{\ell} = \hat{T}\begin{pmatrix} 0 & 0 \\ 0 & 0 \\ 1 & 0 \end{pmatrix} \qquad \hat{Q}_{\ell} = 2\hat{S}_{\ell}^{2} = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \quad (5)$ One site: Consider matrix representation of operators in the direct-product basis of sites 1 and 2:  $\{| Q_1 \rangle \otimes | Q_2 \rangle\}$  $\hat{Q}_1 + \hat{Q}_2 = \hat{Q}_1 \otimes \hat{I}_1 + \hat{I}_1 \otimes \hat{Q}_2$  $\doteq \begin{pmatrix} + \begin{bmatrix} 1 \\ 1 \end{bmatrix} \\ - \begin{bmatrix} 1 \\ 1 \end{bmatrix} \end{pmatrix} + \begin{pmatrix} + \begin{bmatrix} 1 \\ -1 \end{bmatrix} \\ \begin{bmatrix} 1 \\ 1 \end{bmatrix} \end{pmatrix} = \begin{pmatrix} 2 \\ 1 \\ -1 \end{bmatrix} = \begin{pmatrix} 2 \\ 1 \\ 0 \\ 0 \end{bmatrix} = \begin{pmatrix}$ (6)

 $Q \in \{Z, o, -z\}$ degeneracies match Total charge has 3 eigenvalues, number of ways to arrive (7) specified at total charge: with degeneracies:  $\begin{array}{c} 4 \int \left( \int J_{z} \right)^{2} \\ = \left( \widehat{Q} \right)^{2} \left( \widehat{Q} \right)^{2} \\ = \left( \widehat{Q} \right)^{2} \left( \widehat{Q} \right)^{2} \\ = \left( \int J_{z} \right)^{2} \\ = \left( \int J_{z$ (8) LL IL IT  $\frac{\hat{H}^{sf}}{\frac{t}{2}J} = \hat{S}_{1}^{t}\hat{S}_{2}^{-} + \hat{S}_{1}^{-}\hat{S}_{3}^{+} \doteq \begin{pmatrix} 1 \cdot \begin{pmatrix} \circ & \circ \\ 1 & \circ \end{pmatrix} \\ 0 \end{pmatrix} + \begin{pmatrix} O \\ 1 \cdot \begin{pmatrix} \circ & 1 \\ 0 \end{pmatrix} \\ 1 \cdot \begin{pmatrix} \circ & 1 \\ 0 \end{pmatrix} \end{pmatrix} = \hat{T}_{1} \begin{pmatrix} O \\ 1 \end{pmatrix} \begin{bmatrix} I \\ I \end{pmatrix} \begin{bmatrix} I \\ I \end{pmatrix}$ (9) Both (8) and (9) are block-diagonal  $\Rightarrow \left[\hat{k}_{++}, \hat{k}_{+}\right] = \mathbf{0}$ (10) Eigenstates of  $H_{\mu}$ will carry  $\emptyset$  -eigenvalue as one of their quantum numbers. (using  $\bigotimes = \mathbf{z}$  (Eigenvalue of  $S_{L_{\mathbf{z}}}^{\mathbf{k}}$  as label) Bookkeeping for 2 sites (u)la, i> , where the 'multiplicity label' i enumerate states having the same  ${\sf Q}$  . Label states as List of states needed enumerates states with same charge list index charge to describe 2 sites: Q Y Ż explicit representation state two sites one site no sites Q I 2 R Q I 11)  $(1, o)^{\mathsf{T}} := \begin{pmatrix} i \\ 0 \end{pmatrix}$ 2 T (12)0 ſ 2  $(o, c)^{7} := \begin{pmatrix} o \\ c \end{pmatrix}$ D 2 - 2 1 3 - 2  $H_{12} = \frac{1}{4} J_{z}$ + ½ J 2-site Hamiltonian:

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 $-\frac{1}{4}J_{\overline{e}}(1)+\frac{1}{2}J(1)$ 

(13)

÷ 52

List of sectors ('blocks') arising for 2-site Hamiltonian:

The task of diagonalizing Hamiltonian splits into three separate tasks: diagonalizing three blocks (two of which are trivial).

The direct-product scheme does not automatically produce a block-diagonal structure for  $\hat{\mu}^{sf}$ , because it orders basis states in such a way that not all states with same Q appear in a contiguous block. To arrive at a block-diagonal structure, interchange 4th and 5th basis vectors (switch rows  $4 \leftrightarrow 5$  & columns  $4 \leftrightarrow 5$ ).

In rearranged basis with contigues blocks of Q's, all terms of

are block-diagonal:



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3. Sites

### Bookkeeping for 3 sites



## Summary of lessons learnt from example

For an Abelian symmetry, with  $\begin{bmatrix} \hat{\mu} & \hat{\alpha} \end{bmatrix} = \mathbf{b}$ , the  $\hat{\alpha}$  -eigenstates can be labeled as  $\begin{vmatrix} \hat{\alpha} & \hat{\mathbf{c}} \end{vmatrix}$  (21) • 'Q-label' or 'symmetry label':  $\hat{\mathbf{Q}}$ , eigenvalues of  $\hat{\mathbf{c}}$ 

'i-label' or 'multiplicity label': , enumerates <u>different</u> irreducible multiplets having same Q
 For an abelian symmetry each 'multiplet' contains just a single state, hence Q suffices for labeling states.
 (For nonabelian symmetry, it could contain several states, hence another internal label is needed: Q, i >)
 In group theory language: 10, i > is a 'reducible multiplet' of Q , the index i serves to 'reduce' it.

We need systematic, automatable way of generating all states  $|0,i\rangle$  and computing matrix elements

$$|H_{[0]}^{i'} = \langle Q, i'| H | Q, i \rangle$$
(22)

Diagonalizing  $| \mathbf{L}_{[\mathbf{Q}]}|$  yields symmetry- and energy eigenstates,  $| \mathbf{Q}, \mathbf{\bar{l}} \rangle = | \mathbf{Q}, \mathbf{i} \rangle \mathcal{U}_{[\mathbf{Q}]}|_{\mathbf{\bar{l}}}$  (23) with eigenenergies  $\mathbf{E}_{[\mathbf{Q}]}$  overbar will indicate energy eigenbasis

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#### 2. Iterative diagonalization with Abelian symmetry

Build chain iteratively, in (0, i) basis:  $[\sigma \rangle =: |R\rangle \in \{|\rangle |-1\rangle \}$  for spin-1/2 chain Local basis for each site:  $I_{[1]} I_{[2]} I_{[3]}$ 'sum rule' at each vertex:  $Q_{0}, i_{0} R_{1} Q_{1}, i_{1} R_{2} R_{2}$ 'sum rule' at each vertex:  $Q_{0}, i_{0} R_{1} Q_{1}, i_{1} R_{2} R_{2}$ 'sum rule' at each vertex:  $Q_{1}, i_{0} R_{1} R_{2} R_{2}$ 'sum rule' at each vertex:  $Q_{1}, i_{0} R_{2} R_{2}$ out Ket: (1)The 'identity matrix'  $I_{\ell}$  transforms to 'symmetry eigenbasis':  $|Q_{\ell}, i_{\ell}\rangle = |R_{\ell}\rangle |Q_{\ell-1}, i_{\ell-1}\rangle (I_{\ell}^{Q_{\ell-1}, R_{\ell}} Q_{\ell})^{i_{\ell}-1}, i_{\ell} \qquad Q_{\ell-1}, i_{\ell-1} \qquad Q_{\ell-1}, i_{\ell}$ (2)The i-index is often omitted in diagrams. 'sum rule' at each vertex:  $\frac{\alpha_{o}}{\tau + \alpha_{i}} \stackrel{R_{1}}{\rightarrow} \frac{\alpha_{i}}{\tau + \alpha_{i}} \stackrel{R_{2}}{\rightarrow} \frac{\alpha_{i}}{\tau + \alpha_{i}} \stackrel{R_{3}}{\rightarrow} \frac{\alpha_{j}}{\tau + \alpha_{i}}$  $\mathcal{Q}_{\ell-1} + \mathcal{R}_{\ell} = \mathcal{Q}_{\ell}$ (3) Bra:  $\mathbb{I}_{[\ell]}$  -matrices encode the sum rules, thereby yielding a block-diagonal Hamiltonian. Induction: if  $H_{\ell-1}$  is block-diagonal, so is  $H_{\ell} = H_{\ell-1} \otimes \mathbb{1}_{\ell} + S_{\ell-1}^{+} \otimes S_{\ell}^{-} + S_{\ell-1}^{-} \otimes S_{\ell}^{+}$ :  $\begin{pmatrix} H_{\ell-1} \otimes \mathbf{1}_{\ell} \end{pmatrix} \begin{pmatrix} Q_{\ell} & Q_{\ell-1} \\ = \\ Q_{\ell} & Q_{\ell-1} \end{pmatrix} \begin{pmatrix} Q_{\ell} & Q_{\ell-1} \\ = \\ Q_{\ell-1} & Q_{\ell} \end{pmatrix} \begin{pmatrix} Q_{\ell-1} \\ = \\ Q_{\ell-1} & Q_{\ell-1} \\ Q_{\ell-1} & Q_{\ell-1} \end{pmatrix} \begin{pmatrix} Q_{\ell-1} \\ = \\ Q_{\ell-1} & Q_{\ell-1} \\ Q_{\ell-1} & Q_{\ell-1} \end{pmatrix} \begin{pmatrix} Q_{\ell-1} \\ = \\ Q_{\ell-1} & Q_{\ell-1} \\ Q_{\ell-1} & Q_{\ell-1} \end{pmatrix} \begin{pmatrix} Q_{\ell-1} \\ = \\ Q_{\ell-1} & Q_{\ell-1} \\ Q_{\ell-1} & Q_{\ell-1} \end{pmatrix} \begin{pmatrix} Q_{\ell-1} \\ = \\ Q_{\ell-1} & Q_{\ell-1} \\ Q_{\ell-1} & Q_{\ell-1} \end{pmatrix} \begin{pmatrix} Q_{\ell-1} \\ Q_{\ell-1} \\ Q_{\ell-1} \\ Q_{\ell-1} \end{pmatrix} 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R_{\ell} \\ Q_{\ell-1} & R_{\ell} & Q_{\ell-1} \end{pmatrix} \\ \begin{pmatrix} Q_{\ell} I_{\ell} & Q_{\ell} \\ Q_{\ell} & Q_{\ell-1} & R_{\ell} \end{pmatrix} \begin{pmatrix} Q_{\ell-1} I_{\ell} & Q_{\ell-1} \\ Q_{\ell-1} & Q_{\ell-1} \end{pmatrix} \\ \begin{pmatrix} Q_{\ell} I_{\ell} & Q_{\ell} \\ Q_{\ell-1} & Q_{\ell-1} \end{pmatrix} \\ \begin{pmatrix} Q_{\ell} I_{\ell} & Q_{\ell} \\ Q_{\ell-1} & Q_{\ell-1} \end{pmatrix} \\ \begin{pmatrix} Q_{\ell} I_{\ell} & Q_{\ell} \\ Q_{\ell-1} & Q_{\ell-1} \end{pmatrix} \\ \begin{pmatrix} Q_{\ell} I_{\ell} & Q_{\ell} \\ Q_{\ell-1} & Q_{\ell-1} \end{pmatrix} \\ \begin{pmatrix} Q_{\ell} I_{\ell} & Q_{\ell-1} \\ Q_{\ell-1} & Q_{\ell-1} \end{pmatrix} \\ \begin{pmatrix} Q_{\ell} I_{\ell} & Q_{\ell-1} \\ Q_{\ell-1} & Q_{\ell-1} \end{pmatrix} \\ \begin{pmatrix} Q_{\ell-1} & Q_{\ell-1} \\ Q_{\ell-1} & Q_{\ell-1} \end{pmatrix} \\ \begin{pmatrix} Q_{\ell-1} & Q_{\ell-1} \\ Q_{\ell-1} & Q_{\ell-1} \end{pmatrix} \\ \begin{pmatrix} Q_{\ell-1} & Q_{\ell-1} \\ 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This is no surprise: if every vertex satisfies charge conservation, so does entire diagram!

Sym-I.2

- (i) Add new site, (ii) transform to symmetry eigenbasis to make Hamiltonian block-diagonal.
- (iii) Diagonalize each block, (iv) transform to energy eigenbasis.



(ii) Symmetry eigenbasis:

$$|Q_{\ell}, i_{\ell}\rangle = |R_{\ell}\rangle |Q_{\ell-1}, i_{\ell-1}\rangle \left[I_{\ell}^{Q_{\ell-1}, R_{\ell}} Q_{\ell}\right]^{i_{\ell-1}}_{i_{\ell}}$$

To transform to this basis, attach 'identity matrices' to legs of  $H_{L}$ :



yields diagonal representation:

(iii) Diagonalize block:

to total charge  $\Delta_{I}$ 

(iv) Transform to energy eigenbasis:

 $H_{\{a_{\ell}\}} | a_{\ell}, \overline{i}_{\ell} \rangle = E_{a_{\ell}, \overline{i}_{\ell}} | a_{\ell}, \overline{i}_{\ell} \rangle \qquad (17)$   $| a_{\ell}, \overline{i}_{\ell} \rangle = | a_{\ell}, \overline{i}_{\ell} \rangle \mathcal{U}_{[a_{\ell}]}^{i_{\ell}} \qquad a_{i_{\ell}} \mathcal{U}_{[a_{\ell}]} | a_{i_{\ell}} \langle a_{i_{\ell}} \rangle \langle a_{i_{\ell}} \langle a_{i_{\ell}} \rangle \langle a_{i_{\ell}} \rangle \langle a_{i_{\ell}} \langle a_{i_{\ell}} \rangle \langle a_{i_{\ell}} \rangle$ 

Applying this transformation to  $H_{\rho}$ 

U(QO) Deire (19) diagonal Og-1, 22-1 Les Re, ie Uraez Qo I. Ol-1, 26-1 e, re Re (16) here we need only R'1 those blocks of  $H_{\ell-1}$ R1-1, 11-1 (see 14) which contribute Q1, i'1 R1-1, 21-1

So, transformation from old to new eigenbasis is described by A-matrices (only these need to be saved to disk):

 $\begin{bmatrix} A_{[\ell]}^{\alpha_{\ell-1}, R_{\ell}} \\ e_{\ell} \end{bmatrix}_{\tau}^{\nu_{\ell-1}} = \begin{bmatrix} I_{\ell}^{\alpha_{\ell-1}, R_{\ell}} \\ e_{\ell} \end{bmatrix}_{\tau}^{\nu_{\ell-1}} \begin{bmatrix} U_{(\alpha_{\ell})} \end{bmatrix}_{\tau}^{\nu_{\ell}}$  $\frac{Q_{p-1}, i_{e-1}}{R_{p}} \xrightarrow{A_{[0]}} \frac{Q_{p}, \overline{I}_{p}}{R_{p}} =: \frac{Q_{p-1}, i_{e-1}}{R_{p}} \xrightarrow{I_{[0]}} \frac{Q_{p}, i_{p}}{R_{p}} \underbrace{\mathcal{U}_{[0,p]}}_{R_{p}} \underbrace{Q_{p}, \overline{I}_{p}}_{R_{p}}$ (20)

# 'Identity matrix' relates direct product basis of bond $\ell_{-\ell}$ and site $\ell$ to basis of bond $\ell$ :

$$\begin{pmatrix} \prod_{l \in I}^{R_{\ell-1}, R_{\ell}} \\ K_{\ell} \end{pmatrix}^{i_{\ell-1}} \end{pmatrix}^{i_{\ell-1}}_{i_{\ell}} := \langle \mathbb{Q}_{\ell-1}, i_{\ell-1} | \langle \mathbb{R}_{\ell}, j_{\ell} | \mathbb{Q}_{\ell}, i_{\ell} \rangle$$
Each site hosts just one spin 1/2, hence physical leg needs no multiplet index  $i'_{\ell}$ 

$$\langle \mathbb{Q}_{\ell-1}, K_{\ell} \rangle = \{1 + 1 \rangle, 1 - 1 \rangle \}$$
The second sec

each grey box is 1x1 matrix, since multiplet indices take only one value,  $i_0 = i_1 = i_2$ .  $M_0 = M_1 = i_2$ 

Sites 1 and 2	$\left( \underbrace{I}_{[2]}^{\mathbf{Q}_{1}} \operatorname{R}_{2}^{\mathbf{z}} \right)^{i_{1}}_{i_{2}} = \underbrace{Q}_{i_{1}} \underbrace{I}_{i_{1}} \underbrace{I}_{\mathbf{Q}} \operatorname{Q}_{2}^{\mathbf{z}}_{i_{2}}^{i_{2}}$									$Q_1 \in \{\pm 1\}$ $R_2 \in \{\pm 1\}$ $Q_2 = Q_1 + R_2 \in \{\pm 2, 0\}$		
$\langle Q_{i}, i_{i}   \langle R_{z}  $	10×2, 12/	12,1) 1r	10,17 FL	16,2> LT	1-2,1 15	7	v	QI	Rz	Qz	M1×1, Mz	$\left( \mathbf{I}_{\mathbf{Q}_{1}}^{\mathbf{R}_{1}} \mathbf{R}_{\mathbf{Q}_{2}} \right)^{i}_{i}$
<u> </u>	11	1					(	+1	+1	+2	xr, 1	<u>_</u>
<+1, 11<-11	11		1	0		=: {	Z	+1	-1	ø	1 × ( , 2	10
<- 1, 1   <+ ( )	11		0	I			3	-1	~ (	0	) X I , Z	0 \
< <b>-</b> 1,1}<-1}	11				1		4	- 1	-1	~ 2	1×1, 1	ſ



The scheme for producing such tables can be automated!

A-matrix obtained by diagonalizing H has same structure:



$$\begin{array}{c}
\mathbf{Q}_{2}, i_{2} \xrightarrow{I_{[5]}} U_{[3]} \\
R_{3} \xrightarrow{I_{[5]}} \overline{\mathbf{Q}}_{2}, i_{3} \xrightarrow{I_{3}} = : \begin{array}{c}
\mathbf{Q}_{2}, i_{2} \xrightarrow{A_{[3]}} \mathbf{Q}_{3}, i_{3} \\
R_{3} \xrightarrow{I_{[5]}} \overline{\mathbf{Q}}_{2}, i_{3} \xrightarrow{I_{3}} = : \begin{array}{c}
\mathbf{Q}_{2}, i_{2} \xrightarrow{A_{[3]}} \mathbf{Q}_{3}, i_{3} \\
R_{3} \xrightarrow{I_{[5]}} \overline{\mathbf{Q}}_{2}, i_{3} \xrightarrow{I_{3}} \frac{I_{2}}{I_{2}} \\
\vdots & I_{2} \xrightarrow{I_{2}} I_{1} \xrightarrow{I_{3}} I_{2} \xrightarrow{I_{3}} I_{2} \\
I_{2} \xrightarrow{I_{2}} I_{1} \xrightarrow{I_{3}} I_{2} \xrightarrow{I_{1}} I_{1} \xrightarrow{I_{2}} I_{2} \\
I_{2} \xrightarrow{I_{2}} I_{2} \xrightarrow{I_{1}} I_{1} \xrightarrow{I_{1}} I_{1} \xrightarrow{I_{2}} I_{2} \xrightarrow{I_{2}} I_{2} \\
I_{2} \xrightarrow{I_{2}} I_{2} \xrightarrow{I_{1}} I_{1} \xrightarrow{I_{1}} I_{1} \xrightarrow{I_{2}} I_{2} \xrightarrow{I_{2}} I_$$